



Universidade de Brasília
Instituto de Ciências Biológicas
Programa de Pós-Graduação em Ecologia

Canto em dueto e sistema de acasalamento do João-de-barro (*Furnarius rufus*)

PEDRO DINIZ ALVES

Orientadora: Regina H. F. Macedo

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Tese apresentada ao Programa de Pós-Graduação em Ecologia, do Departamento de Ecologia da Universidade de Brasília, como parte dos requisitos para a obtenção do grau de Doutor em Ecologia

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RESUMO

A seleção sexual é um dos principais mecanismos de evolução do canto em aves. No entanto, evidências apoiando essa ideia são amplamente baseadas em estudos com canto em machos, mesmo considerando que fêmeas cantem em mais de 70% das espécies de passeriformes, e parceiros reprodutores coordenem seus cantos em duetos em mais 18% das espécies de aves. A função adaptativa do dueto ainda não é bem compreendida e mais de oito hipóteses já foram propostas. O dueto pode surgir através de cooperação ou conflito entre parceiros reprodutores, e pode ser direcionado ao parceiro ou a indivíduos externos ao par reprodutor. Neste estudo, investiguei a função adaptativa dos cantos de fêmeas e machos em uma espécie que canta em dueto, analisando como a expressão desses cantos varia em diferentes contextos de territorialidade, socialidade e reprodução. O modelo de estudo foi o João-de-barro (*Furnarius rufus*; Aves: Furnariidae), uma espécie socialmente monogâmica, territorial durante todo o ano, aparentemente monocromática e com cantos sexo-específicos. Meus objetivos foram: 1) testar se as funções dos cantos em dueto variam com o sexo, papel no dueto (início ou resposta de canto) e nível de organização do dueto (individual ou casal), avaliando a relação entre expressão do canto, sazonalidade reprodutiva e ocorrência de interações territoriais; 2) investigar a resposta de parceiros reprodutores em grupos com ou sem filhotes jovens ao *playback* de solo de fêmea, solo de macho e dueto, testando indiretamente as funções desses tipos de canto; 3) descrever o sistema de acasalamento genético do João-de-barro e testar se o canto nessa espécie se correlaciona com a qualidade territorial e sucesso reprodutivo; 4) testar se o nível de coordenação do dueto sinaliza a qualidade ou motivação do casal em competir por territórios, por meio de um experimento de *playback* de cantos com níveis variados de coordenação temporal; e 5) testar se existe dimorfismo sexual e pareamento seletivo em tamanho do corpo ou coloração da plumagem, o que indicaria um papel da seleção sexual nessa outra modalidade sensorial. Os principais resultados do estudo foram: 1) parceiros reprodutores coordenaram a maioria dos seus cantos em duetos (61%), e machos iniciaram mais cantos do que fêmeas; a função do canto variou em função da interação entre sexo, papel no dueto e nível de organização do dueto, mas, em geral, foi relacionada à defesa de território e do vínculo social do casal; 2) parceiros foram

coordenados e equivalentes na resposta aos playbacks de coespecíficos; playbacks de solos foram mais ameaçadores do que playbacks de duetos para casais sem jovens, enquanto playbacks de duetos ameaçaram mais casais com jovens do que playbacks de solos, indicando que a defesa do vínculo social é importante para casais sem jovens e a defesa de territórios é importante para casais com jovens; 3) a taxa de paternidade extrapar foi baixa (<4% dos ninhegos) e o sucesso reprodutivo foi alto (100% dos casais produziram pelo menos um juvenil); o investimento em canto pela fêmea e a duração do dueto se correlacionaram com a qualidade dos territórios, mas não com o sucesso reprodutivo do casal; 4) o nível de coordenação do dueto não indicou a qualidade ou motivação do casal na defesa territorial, visto que parceiros responderam de forma coordenada e equivalente a todos os playbacks coespecíficos; 5) foi encontrada evidência de monocromatismo sexual e pequeno (~4%) dimorfismo em tamanho, além de ausência de pareamento seletivo. Em conclusão, este estudo demonstra que parceiros reprodutores de João-de-barro coordenam seus cantos em dueto de forma cooperativa e por múltiplas razões, principalmente para defenderem território e o próprio vínculo social do casal.

Palavras-chave: canto de fêmeas, defesa de territórios, guarda de parceiro, monogamia, paternidade extrapar, seleção social, seleção sexual, dimorfismo sexual, Furnariidae, Aves.

ABSTRACT

Sexual selection is one of the main forces driving the evolution of bird song. However, evidence supporting this idea comes mainly from studies conducted on male song, despite the fact that female song occurs in 71% of bird species and mated partners coordinate their songs in more than 18% of bird species. The function of duetting is poorly understood and more than eight hypotheses have been proposed to explain duet function. Duets may arise from cooperation or conflict between breeding partners and the duet participation may be directed at either partners or strangers. Here, I investigated the adaptive function of female and male songs in a duetting species, and associated song expression to different contexts of territoriality, sociality and reproduction. The study species was the Rufous Hornero (*Furnarius rufus*; Aves: Furnariidae), a Neotropical, socially monogamous, year-round territorial and apparently monochromatic bird species. Duets are composed by sex-specific song types in this species. My objectives were to: 1) verify whether song function in duets varies with sex, singing role (song initiation or song answering), and level of duet organization (individual or pair), examining the relation among song expression, breeding seasonality and occurrence of territorial interactions; 2) investigate the response of mated pairs with and without juveniles to the playback of female solo, male solo and duet, testing for functions of these song types; 3) describe the genetic mating system of the Rufous Horneros and test for fitness consequences (territorial quality and reproductive success) of song expression; 4) test the coalition quality hypothesis to explain the function of the degree of duet coordination in this species, through a playback experiment with duet stimuli varying in the degree of phrase coordination; and 5) test for sexual dimorphism and assortative mating relative to body size and plumage coloration, which could indicate a role of sexual selection in this sensory modality. Our main results were: 1) partners coordinated most of their songs into duets (61%), and males initiated more songs than females; song function depended on an interaction of sex, singing role and level of duet organization, but, in general, song function was related to territory defense and mutual mate guarding; 2) partners were coordinated and equivalent in playback responses; playback of solos were more threatening than playback of duets to pairs without juveniles, while the playback of duets threatened more pairs with juveniles than

did playback of solos, indicating that mutual mate guarding is important to pairs without juveniles, and territory defense is important to pairs with juveniles; 3) extra-pair paternity was low (<4% of the nestlings) and breeding success was high (100% of the pairs produced at least one fledgling); female song rate and duet duration were correlated with territory quality, but not to breeding success; 4) the degree of duet coordination did not signal coalition quality or motivation of breeding partners to fight for territorial resources, because partners responded with equal aggressiveness and coordination all conspecific playbacks; 5) I found evidence of sexual monochromatism, slight (~4%) sexual dimorphism in body size and lack of assortative mating. In conclusion, this study showed that Rufous Hornero's breeding partners coordinate their songs into duets in a cooperative way and for multiple purposes, especially for territory defense and guarding the social pair bond.

Keywords: female song, territory defense, mate guarding, monogamy, extra-pair paternity, social selection, sexual selection, sexual dimorphism, Furnariidae, Aves.

INTRODUÇÃO GERAL

REFERENCIAL TEÓRICO

Evolução do canto em aves

Em 1871, Charles Darwin propôs a seleção sexual como um mecanismo para explicar a evolução de armas e ornamentos na natureza (Darwin, 1871). A seleção sexual abrange dois mecanismos: a seleção intrasexual, ou competição intrasexual, e a seleção intersexual, ou escolha de parceiro (Andersson, 1994). No desenvolvimento de sua teoria, Darwin foi muito influenciado por padrões de coloração e canto dos pássaros (ordem Passeriformes), em que frequentemente se pressupõe que machos exibam plumagens mais brilhantes e coloridas, e vocalizações mais elaboradas e complexas, do que fêmeas (Darwin, 1871; Catchpole, 1987; Owens e Hartley, 1998; Dunn *et al.*, 2001). Incontáveis estudos empíricos confirmaram o papel da seleção sexual na evolução do canto em aves (revisões em Gil e Gahr 2002, Catchpole e Slater 2008).

Apesar da aparente ubiquidade do papel da seleção sexual na evolução do canto em aves (Andersson, 1994), a ampla maioria dos estudos empíricos apoiando essa ideia foi realizada em machos, em espécies sexualmente dimórficas ou em espécie em que apenas os machos cantam (Catchpole, 1987; Kroodsma e Byers, 1991; Langmore, 1998; Catchpole e Slater, 2008). Essa pode ser a razão da cristalização de dois pressupostos em estudos com seleção sexual e canto em aves. O primeiro pressuposto é de que o canto ocorre somente em machos é o caráter ancestral e o padrão mais comum entre as aves modernas (Searcy e Andersson, 1986; Kroodsma e Byers, 1991; Gil e Gahr, 2002). O próprio Darwin sugeria a predominância do canto em machos e o papel da escolha da fêmea na sua evolução: "... female birds, by selecting, during thousands of generations, the most melodious or beautiful males, according to their standard of beauty, might produce a marked effect" (Darwin 1859 p. 8, Odom *et al.* 2014).

Um segundo pressuposto nos estudos sobre evolução do canto em aves é de que os mesmos mecanismos de seleção atuantes em machos podem ser extrapolados para explicar a evolução do canto em fêmeas (Clutton-Brock e Huchard, 2013). O papel da seleção sexual na evolução de ornamentos e exibições mútuas nos dois sexos ou apenas em fêmeas, nas espécies em que não há reversão dos papéis sexuais, é discutível e controverso (Lyon e Montgomerie, 2012; Roughgarden, 2012; Rubenstein, 2012;

Clutton-Brock e Huchard, 2013). Enquanto teoria e estudos empíricos indicam que o acesso a acasalamentos é o principal limitante do sucesso reprodutivo de machos (Bateman, 1948), o sucesso reprodutivo de fêmeas parece depender principalmente do acesso a recursos que influenciam a fecundidade dessas fêmeas (Brown *et al.*, 2009). Portanto, o sucesso de acasalamento pode ter um papel importante na evolução do canto em machos, enquanto a fecundidade seria uma pressão seletiva importante para a evolução do canto em fêmeas.

Em estudo comparativo, que incluiu 1141 espécies e 44 famílias de pássaros, Odom e colaboradores (2014) mostraram que o canto em fêmeas é presente em 71% das espécies estudadas (em 32 famílias) e que o canto em ambos os sexos muito provavelmente seria o caráter ancestral dos pássaros modernos. Esses resultados sugerem que as diferenças sexuais na ocorrência de canto entre as espécies de pássaros atuais decorrem principalmente da perda do canto em fêmeas e, portanto, de pressões seletivas contrárias ao canto em fêmeas (Odom *et al.*, 2014). Esses resultados também destacam a necessidade de mais esforço de pesquisa sobre o canto em fêmeas, não somente para uma compreensão mais abrangente da evolução do canto em aves (Price, 2015), mas para entender a evolução de qualquer característica ornamental em ambos os sexos (Tobias *et al.*, 2012b; Soma e Garamszegi, 2015).

Além da seleção sexual, outras formas mais abrangentes de seleção, como seleção social e seleção natural, são igualmente candidatas para explicar a evolução do canto em fêmeas (Lyon e Montgomerie, 2012; Tobias *et al.*, 2012b; Webb *et al.*, 2016). A teoria de seleção social abrange a teoria de seleção sexual e é definida como sendo a pressão seletiva que resulta em variação no sucesso reprodutivo decorrente da competição social (West-Eberhard, 1983). A teoria de seleção social sugere que interações sociais, não necessariamente relacionadas ao acasalamento (i.e., seleção sexual), podem prever a evolução do canto em fêmeas (Lyon e Montgomerie, 2012; Tobias *et al.*, 2012b; Webb *et al.*, 2016). A competição por recursos alimentares não direcionados à prole (Chaine *et al.*, 2011), territórios não reprodutivos e dominância social são exemplos de pressões de seleção social que podem atuar na evolução de ornamentos em fêmeas (Rubenstein e Lovette, 2009).

Diversidade e estrutura do dueto

Ainda mais intrigante do que a evolução de ornamentos em fêmeas, é a evolução de exibições sociais envolvendo mais de um indivíduo, como duetos e coros (i.e. coordenação de vocalizações entre três ou mais indivíduos) (Farabaugh, 1982; Langmore, 1998, 2002; Hall, 2004). O dueto consiste na coordenação temporal de cantos de dois indivíduos, normalmente o casal reprodutor (Farabaugh, 1982). O dueto evoluiu diversas vezes em aves, está presente em aproximadamente 18% (1830 espécies) das espécies de aves modernas, e apresenta uma grande diversidade estrutural (Farabaugh, 1982; Hall, 2004, 2009; Dahlin e Benedict, 2013; Tobias *et al.*, 2016).

O dueto também evoluiu em insetos, anfíbios e primatas. Duetos em insetos são caracterizados por respostas rápidas de fêmeas aos chamados iniciados por machos, e por funcionarem no contexto de encontro com o parceiro para a cópula (Bailey, 2003; Bailey e Hammond, 2003; Hall, 2009). O dueto em anfíbios é raro e também funciona no contexto de localização do parceiro (Tobias *et al.*, 1998). Primatas realizam duetos bem coordenados e de alta amplitude, que refletem a sincronização comportamental e a duração do pareamento do casal (Geissmann e Orgeldinger, 2000; Méndez-Cárdenas e Zimmermann, 2009).

Os duetos podem ser classificados em três tipos quanto à organização acústica dos cantos dos dois indivíduos: antifônicos, simultâneos, ou sobrepostos (Dahlin e Benedict, 2013). Em duetos antifônicos, machos e fêmeas alternam suas notas ou frases no dueto com pouca ou nenhuma sobreposição temporal entre elementos (e.g., *Grallina cyanoleuca*, Hall 2000). Em duetos simultâneos, machos e fêmeas cantam notas ou frases distintas simultaneamente (e.g., *Thamnophilus doliatus*, Koloff e Mennill 2012). Por fim, em duetos sobrepostos, indivíduos cantam as mesmas frases ou notas simultaneamente (e.g., dupla de machos em *Chiroxiphia linearis*, Trainer *et al.* 2002).

Estima-se que na maioria das espécies de aves o dueto possa ser iniciado e concluído por machos e fêmeas, e que os cantos de machos e fêmeas difiram no dueto (Dahlin e Benedict, 2013). Estima-se que em aproximadamente metade das espécies de aves a estrutura do dueto difira consistentemente entre indivíduos ou casais (Dahlin e

Benedict, 2013). Machos e fêmeas podem possuir um repertório de mais de 20 tipos de notas que são comumente combinadas não aleatoriamente para a criação de códigos de dueto (Dahlin e Benedict, 2013). Finalmente, os duetos em aves normalmente envolvem uma exibição visual (Dahlin e Benedict, 2013).

Evolução e função do dueto

A evolução de exibições complexas, como duetos e coros, intriga cientistas há décadas (Farabaugh, 1982; Langmore, 1998, 2002; Hall, 2004). O dueto em aves é comum nos trópicos e raro em regiões temperadas (Slater e Mann, 2004), e sua evolução está associada à ocorrência de territorialidade durante todo o ano e vínculos sociais estáveis (Tobias *et al.* 2016, mas consultar Najar and Benedict 2015, Odom *et al.* 2015). A evolução de dueto e coro em aves não parece estar relacionada à latitude, migração, clima ou habitat (Tobias *et al.*, 2016). Esses estudos sugerem que a defesa conjunta de territórios por parceiros reprodutores pode ser determinante para a evolução do dueto em aves (Logue e Hall, 2014; Tobias *et al.*, 2016).

Por que um indivíduo cria o dueto, ou seja, responde a um canto iniciado por outro indivíduo, ao invés de permanecer em silêncio (Hall 2009)? A função adaptativa primária do dueto é foco de considerável discussão, porque não existe um consenso se o dueto surge através de cooperação sexual (Logue, 2005; Hall, 2009), conflito sexual (Tobias e Seddon, 2009) ou ambos (Benedict, 2010; Dahlin e Benedict, 2013). Atualmente, existem oito hipóteses, não mutuamente excludentes, para explicar a função primária do dueto, e mais quatro hipóteses sobre funções secundárias do dueto (Hall, 2004).

As possíveis funções primárias do dueto baseadas em cooperação sexual são: manter o contato entre parceiros (Thorpe 1963; e.g., Logue 2007), garantir a sincronia reprodutiva (Armstrong, 1947), evitar que o parceiro seja substituído no par social, defesa conjunta de território ou recursos (Robinson, 1949), e sinalização de comprometimento com o parceiro (Smith, 1994; Hall, 2004). As possíveis funções primárias do dueto baseadas em conflito sexual são: guarda de parceiro (Levin, 1996), guarda de paternidade (Sonnenschein e Reyer, 1983) e sinalização de qualidade (Smith, 1994; Hall, 2004). Funções secundárias do dueto incluem reconhecimento sexual, manutenção de isolamento reprodutivo, apaziguamento ritualizado e proteção contra

predadores (Hall, 2004). Funções secundárias do dueto não são suficientes para explicar a sua evolução (Hall, 2004). A seguir, descrevo as principais hipóteses para a função primária do dueto, detalhando suas previsões e evidências.

A hipótese de defesa conjunta de recursos sugere que parceiros realizam duetos para defenderem um recurso ou território comum de outros coespecíficos (Robinson, 1949; Hall, 2004). Essa hipótese sugere que a probabilidade de manutenção ou ocupação de um território é maior se os parceiros o defenderem em conjunto (permanecendo próximos um do outro e realizando duetos) do que se eles não coordenarem a defesa (permanecendo distantes um do outro e realizando cantos solo) (Levin, 1996; Hall, 2009). A defesa conjunta de recurso prevê que o dueto por intrusos represente uma maior ou equivalente ameaça à posse do território em comparação com cantos solo (Bradley e Mennill, 2009; Dahlin e Wright, 2012; Koloff e Mennill, 2013).

Alternativamente, o dueto pode representar conflito sexual. Segundo a hipótese de defesa de parceiro, um indivíduo guarda o parceiro de outros indivíduos do mesmo sexo através da criação do dueto (i.e., resposta a um canto iniciado pelo parceiro) (Levin, 1996; Seddon *et al.*, 2002; Hall, 2009). Nesse caso, a guarda tem um custo para o parceiro, por exemplo, evitando a atração de indivíduos para cópulas extrapar (Tobias e Seddon, 2009). Evidências indiretas para a hipótese de defesa de parceiro advêm de estudos que mostram que indivíduos respondem mais agressivamente a cantos solo de indivíduos do mesmo sexo, do que a cantos solo de indivíduos do sexo oposto ou duetos (Rogers *et al.*, 2006).

A hipótese de defesa de paternidade para explicar a função do dueto é similar à hipótese de defesa de parceiro. No entanto, a primeira restringe-se aos casos em que o macho cria o dueto, e ao período fértil da fêmea (Sonnenschein e Reyer, 1983; Hall, 2004). De acordo com a hipótese de defesa de paternidade, a criação do dueto pelo macho restringe a fêmea de cópulas extrapar, porque sinaliza o estado pareado da fêmea, afastando outros machos (Hall, 2004; Topp e Mennill, 2008; Baldassarre *et al.*, 2016; Dowling e Webster, 2017). No entanto, em nenhuma espécie de ave com dueto, este se restringe ao período fértil da fêmea, sugerindo que essa hipótese, mesmo que

explicando parcialmente o fenômeno do dueto, não é suficiente para explicar sua evolução (Hall, 2009).

O dueto pode funcionar como um sinal de comprometimento com o parceiro, uma vez que o dueto normalmente exige alto nível de coordenação com o parceiro (Wickler, 1980). Esse comprometimento com o parceiro deve refletir o investimento que o indivíduo faz em funções ligadas à do parceiro, como no cuidado da prole do casal (Wickler, 1980). O investimento no vínculo do par social pode levar a um investimento recíproco pelo parceiro (Wickler, 1980; Hall, 2004). Alguns estudos sugerem que essa hipótese é coerente com a baixa taxa de paternidade extrapar em espécies de aves socialmente monogâmicas que cantam em dueto. Um exemplo ocorre na cambaxirra *Pheugopedius felix* (Troglodytidae), em que a fêmea opta por responder ao *playback* do canto do parceiro ao invés de sobrepor seu canto com o *playback* de outra fêmea, quando os dois estímulos são emitidos simultaneamente (Templeton *et al.*, 2013). Por fim, o dueto pode sinalizar a qualidade do indivíduo se a habilidade individual de percepção e produção rápida de som estiver relacionada à qualidade individual (Smith, 1994). Essa qualidade pode ser objeto de seleção de parceiro ou mediar lutas por defesa de territórios (Hall, 2004).

A dificuldade em compreender a evolução do dueto talvez ocorra porque o dueto tenha múltiplas funções adaptativas na maioria das espécies (Marshall-Ball *et al.*, 2006; Benedict, 2010; Dahlin e Benedict, 2013). Múltiplas funções podem ocorrer em uma mesma espécie em diferentes circunstâncias sociais e reprodutivas (Dahlin e Benedict, 2013). Estudos extensos, de longo prazo, testando múltiplas hipóteses em uma mesma espécie, poderão contribuir para compreensão da multifuncionalidade do dueto.

ESPÉCIE DE ESTUDO

O João-de-barro (*Furnarius rufus*; Aves: Furnariidae) é uma espécie de ave Neotropical, sexualmente monocromática (aparentemente), forrageadora de artrópodes de solo, e socialmente monogâmica (Fraga, 1980; Sick, 2001; Remsen e Bonan, 2017). Ambos os pais investem no cuidado parental (Braga, 2012; Massoni *et al.*, 2012), desde a construção de um ninho maciço de matriz de barro (Shibuya *et al.*, 2015),

até um longo período de compartilhamento de território com os filhotes (~8 meses) (Bobato, 2012). O casal de João-de-barro tende a permanecer unido por mais de uma estação reprodutiva, e constrói o ninho normalmente durante pelo menos dois a três meses antes da postura dos ovos (Fraga, 1980). O período de nidificação ocorre entre setembro e dezembro, tanto no centro-leste da Argentina (Fraga, 1980) como no sudeste do Brasil (Braga, 2012).

O comportamento vocal do João-de-barro é ainda pouco conhecido. Estudos anteriores sugerem a existência de dois tipos de canto nessa espécie, um do macho e outro da fêmea (Laje e Mindlin, 2003; Amador *et al.*, 2005; Roper, 2005). Acreditava-se que os cantos seriam sempre executados juntos, sobrepostos temporalmente, em um dueto (Burger, 1979). Machos contribuem com uma nota grave e curta, repetida em ascensão no dueto, enquanto fêmeas cantam uma ou duas notas agudas e longas (Laje e Mindlin, 2003; Amador *et al.*, 2005; Roper, 2005).

Esses estudos também sugerem que apenas machos iniciam o dueto e que os ritmos dos cantos de machos e fêmeas se relacionam não linearmente nos duetos e são produzidos por um substrato neural relativamente simples (Laje e Mindlin, 2003; Amador *et al.*, 2005). Entretanto, esses estudos não relatam a possibilidade de existência de cantos solo realizados por machos ou fêmeas (Laje e Mindlin, 2003; Amador *et al.*, 2005; Roper, 2005). Adicionalmente, esses estudos não investigaram o dueto em contextos reprodutivos, sociais ou ecológicos.

O João-de-barro é um modelo ideal para estudos do dueto, por fazer parte de um grupo filogenético (subordem Tyranni) com muitas espécies que cantam em dueto, mas que é relativamente pouco conhecido em todos os aspectos do dueto (ontogenia, mecanismos, função e evolução) (Seddon e Tobias, 2006; Koloff e Mennill, 2012). O João-de-barro faz parte da família Furnariidae, uma das mais ricas em espécies e menos conhecidas do mundo com relação aos sistemas de acasalamento social e genético (Tobias *et al.*, 2012a). O João-de-barro é abundante em áreas urbanas na região centro-meridional da América do Sul (Marreis e Sander, 2006), e os casais defendem territórios e cantam durante todo o ano em poleiros conspícuos em habitats abertos (Burger, 1979; Sick, 2001), tornando-os modelos ideais para o estudo do dueto.

OBJETIVOS DA TESE

Neste estudo, investiguei a função do canto em fêmeas e machos de João-de-barro, com atenção especial aos cantos coordenados em duetos. Investiguei diversas hipóteses para explicar a função do canto no João-de-barro, com destaque para as hipóteses de defesa de território e guarda de parceiro. Testei se a função do canto varia entre os cantores, sexos, papéis no dueto (i.e., se o indivíduo inicia o dueto ou responde a um canto iniciado pelo parceiro), nível de organização do dueto (individual ou do casal) e estrutura do grupo social. Além disso, investiguei a relação entre a expressão do canto e o sucesso reprodutivo de fêmeas e machos, e o papel do nível de coordenação do dueto na mediação de interações agressivas entre grupos. Para atingir esses objetivos, utilizei diversas abordagens que incluíam observações focais, gravações de vocalizações e experimentos de *playback* em campo, análises acústicas e análises genéticas de paternidade.

No primeiro capítulo, avaliei a variação sazonal nas interações territoriais e na expressão de cantos nos níveis individual (início de canto e resposta ao parceiro) e do par reprodutor (e.g., quantidade de duetos), abrangendo as estações reprodutiva e não-reprodutiva. Se esses tipos de canto têm funções similares, previ que as expressões deles variariam em paralelo ao longo do tempo. Também avaliei o pico sazonal esperado para cada tipo de canto de acordo com cinco hipóteses funcionais: coordenação do cuidado parental, garantia da sincronia reprodutiva, defesa territorial, guarda de parceiro e guarda de paternidade. Por exemplo, de acordo com a hipótese de guarda de paternidade, esperava que um macho responderia mais aos cantos iniciados pela parceira (criando duetos) no período fértil dessa fêmea.

No segundo capítulo, observei a resposta agressiva de machos e fêmeas adultos de casais reprodutores à invasão simulada (i.e., *playback*) de território por macho (solo), fêmea (solo) e casal (dueto). Adicionalmente, avaliei se essa resposta dos adultos à invasão territorial variava entre casais focais com jovens e casais focais sem jovens, assumindo que a presença de jovens poderia influenciar a função do canto. Nesse capítulo, testei as hipóteses de defesa territorial e guarda de parceiro sobre função do dueto, incluindo variações dessas duas hipóteses. Por exemplo, de acordo com a

hipótese de guarda unilateral de parceiro, esperava uma resposta mais agressiva ao playback de canto solo do mesmo sexo do que ao playback de dueto ou canto solo do sexo oposto.

No terceiro capítulo, investiguei o sistema de acasalamento genético do João-de-barro e as consequências do canto na aptidão dos adultos dessa espécie, explorando a relação entre expressão de canto, qualidade do território e sucesso reprodutivo dos cantores. Esperava encontrar uma relação positiva entre a expressão do canto e a aptidão dos cantores, que poderia ocorrer por meio da prevenção de cópulas extra-par do parceiro (i.e., guarda de parceiro), sucesso de acasalamento extra-par (i.e., atração de parceiros), aquisição e/ou defesa de territórios de alta qualidade (i.e., defesa conjunta de territórios).

No quarto capítulo, explorei se o nível de coordenação dos cantos no dueto do João-de-barro teria um papel em mediar interações territoriais. Para cumprir esse objetivo, realizei um experimento de *playback*, expondo os indivíduos focais a estímulos de duetos com níveis manipulados de coordenação e sobreposição dos cantos. A ‘hipótese de qualidade da coalisão’ (Hall e Magrath, 2007) assume que a coordenação do dueto requer uma atenção especial ao comportamento do parceiro e, portanto, pode sinalizar a habilidade conjunta ou motivação dos parceiros em engajarem em interações territoriais. De acordo com a ‘hipótese de qualidade da coalisão’, preví uma resposta mais agressiva a duetos com cantos altamente coordenados e/ou sobrepostos em comparação com duetos com cantos pouco coordenados e/ou sobrepostos e cantos não coordenados e/ou não sobrepostos.

No quinto e último capítulo, investiguei a possibilidade de existência de sinais sexuais crípticos no João-de-barro, explorando outra modalidade sensorial: a visual. Utilizei medidas morfométricas, sexagem molecular, espectrofotometria e modelos visuais para testar se o João-de-barro é uma espécie sexualmente dimórfica em relação ao tamanho e à coloração da plumagem. Adicionalmente, testei se existe pareamento seletivo (*assortative mating*) com relação a essas características, ou seja, se fêmeas e machos de tamanhos ou cores de plumagem similares tenderiam a se parear mais do que o esperado ao acaso.

ÁREA DE ESTUDO

Estudei uma população urbana de João-de-barro no campus Darcy Ribeiro da Universidade de Brasília, Brasil (15°45' S, 47° 51' O). O campus Darcy Ribeiro foi fundado em 1962 e possui uma área de 395 ha. A área total construída e a área com vegetação abrangem, respectiva e aproximadamente, 13% e 42% da área do campus (Universidade de Brasília, 2012). A área com vegetação é composta principalmente por árvores ornamentais de médio e grande porte isoladas em uma matriz composta por um baixo estrato de gramíneas, que é periodicamente podado. O campus Darcy Ribeiro também comporta uma área de vegetação nativa de Cerrado típico no Centro Olímpico. Pares de João-de-barro estão distribuídos por todo o Campus, nidificando em árvores ou postes.

EQUIPE DE TRABALHO

O presente estudo foi realizado no Laboratório de Comportamento Animal da Universidade de Brasília, coordenado pela Dra. Regina Macedo, e em colaboração com o laboratório do Dr. Michael Webster da Universidade de Cornell, Estados Unidos da América. Realizei uma visita técnica ao laboratório do Dr. Michael Webster entre junho e agosto de 2016 para a realização de análises genéticas de parentesco (Capítulo 3).

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CAPÍTULO 1

Duetting attributes in a Neotropical bird: seasonal variation and adaptive signaling functions²

²Uma versão deste capítulo será submetida para publicação em *Journal of Avian Biology* como “Diniz P, Silva-Júnior EF, Webster MS & Macedo, RH. Duetting attributes in a Neotropical bird: seasonal variation and adaptive signaling functions.”

1 **ABSTRACT**

2 An important step toward understanding the adaptive function of bird song is to
3 determine if and how singing behavior varies seasonally. This leads to particularly
4 insightful information when considering duetting species, where song function may
5 vary according to the level of organization (individual vs. pair) and singing role (initiator
6 vs. responder). We tested the idea that seasonal variation in duetting behavior
7 depends on sex, level of duetting organization and singing role. We studied social pairs
8 of a Neotropical bird species (Rufous Hornero *Furnarius rufus*) for seven consecutive
9 months, recording vocal and territorial behaviors. Overall, partners coordinated most
10 of their songs (61%) into duets and many song traits (song initiation rate, song output
11 and duet rate) peaked in territorial contexts. Males engaged in territorial interactions
12 more often, initiated more songs, and answered proportionately more of their
13 partners' songs than did females. At a finer scale, seasonal variation in singing behavior
14 depended on a complex interaction among sex, singing role and levels of duetting
15 organization. Male song initiation rate peaked during the pre- and post-breeding
16 stages, whereas females initiated more songs during the non-breeding season. Both
17 sexes answered partner songs faster and at higher rates during the pre-breeding and
18 female fertile stages. Partners duetted at a higher rate during the pre- and post-
19 breeding stages. Finally, song initiation rates and duet rate, but not song answering
20 rates, correlated with frequency of territorial interactions. Our findings indicate that
21 song function may vary with sex, singing role and level of duet organization in duetting
22 species.

23 *Key words:* **bird song, female song, duet, territoriality, joint territory defense,**
24 ***Furnarius rufus.***

25 INTRODUCTION

26 Understanding the adaptive function of bird song relies on knowing the phenology
27 and context in which the bird sings (Catchpole and Slater 2008). This is well
28 documented for males in north-temperate bird species, whose song rate usually peaks
29 during the spring before pairing (Catchpole 1973, Amrhein et al. 2002) and is
30 associated with territoriality (Nowicki et al. 2016), suggesting that songs function to
31 attract females and repel rivals (i.e., the dual function of bird song; Catchpole and
32 Slater 2008). However, the singing and breeding phenology of tropical birds differ
33 largely from north-temperate birds: females sing year-round and join male songs to
34 create duets in many tropical species (Slater and Mann 2004, Odom et al. 2014, Tobias
35 et al. 2016). To better understand variation in the phenology of singing effort, we must
36 study species that exhibit female song (Langmore 1998, Cain and Langmore 2015) and
37 coordinated song, such as duetting (Hall 2006, 2009, Topp and Mennill 2008, Bradley
38 and Mennill 2009a, Dowling and Webster 2013, Odom et al. 2016).

39 Duetting occurs mainly when mated pairs coordinate vocal behaviors (Farabaugh
40 1982). The function of duetting is often difficult to discern (Hall 2004), because
41 duetting is a collective behavior composed of two levels of organization: the individual
42 level and the pair level (Logue and Krupp 2016). The individual level includes behaviors
43 such as song initiation (solo songs plus initiated duets) and song answering (proportion
44 of partner's songs answered), whereas the pair level includes behaviors such as duet
45 rate and duration (Logue and Krupp 2016). Either or both levels may influence
46 individual fitness independently or as a whole. For example, duet rate may vary due to
47 changes in song initiation, song answering, or both behaviors, in one or both sexes, but
48 only duet rate may affect fitness for these individuals (Logue and Krupp 2016). Song
49 initiation can be considered as a solo if not answered by the partner, and thus may be
50 under similar selection as the usual solo songs (Hall 2009, Logue and Krupp 2016).
51 Therefore, it is important to consider the possibility that each "duetting" behavior
52 (song initiation, song answering and pair-level duetting) may have different functions
53 (Logue and Krupp 2016). However, most studies investigate the seasonal variation only
54 at the pair-level (e.g., duet and solo song rates) (Bradley and Mennill 2009a, Benedict

55 2010, Tobias et al. 2011, Koloff and Mennill 2012, Dowling and Webster 2013, but see
56 Odom et al. 2016).

57 Duetting can reflect conflict or cooperation between partners and may be used in
58 within- or extra-group communication (Hall 2004, 2009). At the pair level, duetting
59 may function to defend common resources (Bradley and Mennill 2009b, Koloff and
60 Mennill 2013) or as a mutual mate guarding display (van den Heuvel et al. 2014). At
61 individual and inter-group levels, song answering might have evolved as a mate
62 guarding strategy, whether to prevent the partner from attracting extra-pair mates or
63 to repel same-sex rivals (Grafe and Bitz 2004, Rogers et al. 2006) – thus song answering
64 and song initiation could have different functions for each sex in this scenario. Finally,
65 duetting may have a role in communication between partners, such as stimulating and
66 coordinating breeding activities in environments that have little seasonality (Dilger
67 1953; Hall 2009).

68 Mate guarding and territorial defense hypotheses predict higher signal expression
69 during aggressive interactions with conspecifics (Hall 2004). A few species exhibit
70 peaks in duet rate in the pre-breeding stage, which decrease as the breeding season
71 progresses (Sonnenschein and Reyer 1983, Hall 2009, Dowling and Webster 2013,
72 Odom et al. 2016), generally resulting from a decreasing female song rate (Hall 2006,
73 Topp and Mennill 2008). However, studies rarely assess if these peaks match a peak in
74 aggressive interaction with strangers (Dowling and Webster 2013). Without recording
75 seasonal variation in aggressive interactions, it is difficult to distinguish if seasonal
76 peak in singing effort is due to communication within (e.g., to ensure reproductive
77 synchrony) or between social pairs (e.g., territory defense).

78 Here, we investigated seasonal variation in territory interactions and singing
79 behavior in the Rufous Hornero *Furnarius rufus*, a socially monogamous, Neotropical
80 bird species, in which males and females sing solo songs and also can combine their
81 songs into duets. This species breeds seasonally (Fraga 1980, Massoni et al. 2012), but
82 both sexes apparently sing year round, allowing us the opportunity to study the
83 selective pressures shaping the evolution of bird song (Odom et al. 2016). If a signal is
84 used only in the breeding season, it is probably shaped by sexual selection (Price et al.
85 2008, Illes and Yunes-Jimenez 2009, Odom et al. 2016). However, if a bird also sings

86 during the non-breeding season, this may represent social or natural selection (Tobias
87 et al. 2012, Odom et al. 2016).

88 We studied social pairs of horneros across seven months and recorded vocal
89 behavior and aggressive interactions with strangers throughout this period. At the
90 individual level, we measured the number and duration of territorial interactions,
91 singing effort (rates of song initiation, song output and phrase duration in coordinated
92 songs) and song attentiveness to partner songs (i.e. song answering rate and latency to
93 answer). At the pair level, we recorded duet rate and duration. We tested the general
94 hypothesis that seasonal variation in singing behavior depends on sex, singing role and
95 level of duet organization. Our study innovates by treating three signals, song
96 initiation, song answering and pair-level duetting, as fairly independent behaviors
97 (Logue and Krupp 2016; but see Odom et al. 2016) and brings together hypotheses
98 from both the solo song and duetting literatures (Table 1-1). If these three signals are
99 evolving under the same selective forces, we expect them to vary in parallel across
100 breeding and non-breeding stages.

101 **METHODS**

102 **Study species**

103 The Rufous Hornero is a sexually monochromatic and socially monogamous bird
104 species from southern South America (Sick 2001, Diniz et al. 2016, Remsen and Bonan
105 2016). Social pairs maintain territories year-round, build a domed nest and normally
106 produce a single brood (3-4 eggs) per year (Fraga 1980, Massoni et al. 2012). Both
107 parents contribute similarly to parental care (Massoni et al. 2012) and nesting success
108 is high (Fraga 1980). Little is known about their vocal behavior: male and female
109 overlap sex-specific phrases in duets, which can be initiated by males (Laje and Mindlin
110 2003, Roper 2005, Amador et al. 2005) or females (P Diniz, unpublished data). In
111 addition, adults and juveniles can coordinate their songs into chorus (i.e. three or more
112 individuals coordinating songs; P Diniz, unpublished data). Vocal repertoire is
113 determined by variations of one or a few song types for each sex, and duet phrases are
114 similar to solos in structure, but differ in tempo (P Diniz, unpublished data).

115 **Study area and field methods**

116 We studied 12 social groups from an urban and banded population of the Rufous
117 Hornero on the campus of the Universidade de Brasilia, central Brazil (15°45'S,
118 47°51'W). The study area has a seasonal climate, which includes a dry season (from
119 May to September) and a wet season (from October to April). The Rufous Hornero
120 breeds seasonally across its distribution, mainly between September and December
121 (Fraga 1980, Massoni et al. 2012) (Supplementary material, Figure 1-5s).

122 Group size varied from two to six individuals (mean \pm SD = 2.83 \pm 0.82, n = 163
123 trials), which at the beginning of the study were composed of adults only (social pair, 2
124 groups) or adults and juveniles (10 groups). All studied juveniles hatched in the
125 previous breeding season and stayed in their natal territories (see Fraga 1980).
126 Although the juveniles may help the parents to build a new nest during the year, they
127 disperse before the nesting stage and never incubate or feed nestlings (Fraga 1980,
128 Massoni et al. 2012). Group size varied across the study period due to juvenile
129 dispersal and recruitment of new offspring (Supplementary material, Figure 1-5sB,
130 Figure 1-5sC).

131 We monitored 11 social groups for seven months (June-December 2015), which
132 covered non-breeding and breeding seasons (Supplementary material, Figure 1-5sC).
133 One additional group was monitored for 3.5 months (seven focal sessions), from June
134 to September, when this group lost its territory to an unbanded pair. We observed
135 each of these groups during one hour at 15-day \pm 0.15 (mean \pm s.e., n = 149) intervals,
136 for a total of 14 focal sessions per group (except the one group that lost its territory).
137 Before starting the trials, we determined the order of observation at random. We
138 maintained this order during the study period to preserve equality of sampling
139 intervals within groups. All focal sessions occurred from zero until five hours after
140 sunrise (preliminary observations reveal that there is no dawn chorus in the species).

141 Observations were generally carried out by two observers (range = 1-4) and always
142 focused on the mated pairs. During each 1h-focal session, we followed the pair and
143 recorded their vocalizations using a Marantz PMD 660 recorder combined with a
144 Sennheiser ME66 or Yoga HT-81 microphone. We also recorded behaviors, including

145 aggressive interactions (chasing, approach followed by vocalization, song overlapping,
146 displacement and/or fights) involving adult focal individual(s) against strangers
147 (normally neighbors), and estimated the duration of these interactions whenever
148 possible. We could not measure sex-specific duration of these interactions when both
149 sexes participated and thus considered the same duration for both sexes in this case.

150 Finally, we recorded the occurrence of breeding behaviors in the same 1-hour focal
151 sessions, which included nest building, incubation, brooding, feeding nestlings or
152 fledglings (Massoni et al. 2012). Incubation and brooding phases were defined when at
153 least one adult visited its nest, without bringing any nest building material (e.g. mud)
154 or food, and spent five or more minutes inside the nest chamber. When a parent was
155 observed bringing food to the nest, we considered it to be at the nestling phase. We
156 used these behavioral data to estimate the breeding stages (see below).

157 **Breeding phenology**

158 We classified the study period in five stages: non-breeding, pre-breeding, fertile,
159 nesting and post-breeding. We considered the non-breeding stage from 31-120 days
160 before the first sign of incubation; pre-breeding from 16-30 days before the first sign
161 of incubation; and fertile stage from 1-15 days before the first sign of incubation. The
162 nesting stage comprised both incubation and nestling stages. The post-breeding stage
163 comprised 1-90 days after fledging, when juveniles stayed in their natal territories.
164 Seven study groups bred once and two groups bred twice during the study period. One
165 group renested after its nest was depredated, and another group renested after the
166 young from the first brood fledged. Because this latter group had juveniles from the
167 current breeding season while the adults were incubating a new clutch, we classified
168 them as being both in the 'nesting stage' and 'post-breeding stage'. Accordingly, we
169 classified them as both 'fertile stage' and 'nesting stage' in the prior focal session (~15
170 days before). We did not consider a category for nest building, since Rufous Horneros
171 build their nests throughout the year and building rhythms seem to vary daily as a
172 function of precipitation regimes (Fraga 1980).

173 **Acoustic analyses**

174 Acoustic analyses were performed in Raven Pro 1.5 (Bioacoustics Research
175 Program 2014). Because Rufous Hornero partners overlap sex-specific phrases in duets
176 (Amador et al. 2005), we could identify male and female contributions (Figure 1-1). We
177 used the waveform and the spectrogram (Hann window, window size = 256, overlap in
178 time = 50%) to demarcate the start and end of each phrase and song of each adult
179 whenever possible. Then, we counted the number of initiated and answered songs in
180 each 1h recording, obtained the duration of phrases and songs, and the latency to
181 answer the partners' songs in coordinated songs.

182 We classified initiated songs as solos plus initiated duets or chorus (Hall and Peters
183 2008a), where solo songs were those not coordinated with the social partner. Song
184 answering was computed when the focal individual answered partner-initiated duets
185 or chorus (reviewed by Logue and Krupp 2016). Importantly, since we focused on the
186 adults, we ignored the phrase contributions and the solos of juveniles (see Dowling
187 and Webster 2013). We computed adult initiated songs even when a juvenile initiated
188 the song and was answered by the adult. Similarly, we computed adult answered
189 songs of its partner even if a juvenile phrase preceded the partner phrase. Therefore,
190 we classified all choruses involving both adults as duets. We adopted this approach
191 because Rufous Horneros have a very low song output (< 2% of the time singing, see
192 Results), juveniles initiated a minority of group songs (< 5%) and rarely answered a
193 parent song before the other parent had sung. We argue that by overlooking the
194 juvenile songs we increased our statistical power to explain seasonal variation of
195 singing behavior without a detrimental effect on the reliability of results.

196 Rufous Hornero females, and especially males, can emit long solo song bouts (P
197 Diniz, unpublished data) composed of subunits of monosyllabic and accelerated trills
198 (Figure 1-1A, Figure 1-1B). We considered such a solo song bout as a single initiated
199 song, if the intervals between their consecutive subunits were no longer than 30s (an
200 interval value rarely reached by consecutive subunits) – otherwise we classified these
201 subunits, separated by more than 30s intervals, as separately initiated solo songs. We
202 considered as two independent solos those cases where partners overlapped their

203 long monosyllabic song bouts if there was a very low degree of overlap (<5%) and no
204 clear coordinating structure (Hall 2004; P Diniz, unpublished data). When an individual
205 joined a partner solo song bout longer than 1 min, we computed this as both a solo
206 song bout and a duet, using as a marker the beginning of the partner's last accelerated
207 trill before the individual joined the vocalization. Low amplitude solo songs lasting less
208 than 2s and short songs emitted during chases across territories in aggressive territory
209 interactions were not counted. Individual's songs overlapping by only one or two
210 partner notes were classified as solos.

211 Since Rufous Horneros may emit long solo song bouts, song rate does not fully
212 represent song output in this species. We measured song output as the total time each
213 adult spent singing at each 1h focal session. We could not measure phrase or song
214 duration from 15% of the vocalizations recorded ($N = 208$ of 1395) due to poor quality
215 of some recordings. Thus, we estimated the missing phrase durations using the mean
216 duration of the other phrases during the same 1h recording. We then used the real
217 measured duration plus the estimated duration values to obtain the song output for
218 each recording.

219 **Statistical analyses**

220 Statistical analyses were performed in R (R Core Team 2015). We analysed
221 separately each of the following seven individual-level response variables: (1) number
222 and (2) duration of territorial aggressive interactions, (3) song initiation and (4)
223 answering rates, (5) song output, (6) latency to answer partner's song, and (7) phrase
224 duration in duets. We also analyzed two response variables at the pair-level: (8) duet
225 rate (number of duets/h) and (9) duet duration. Song initiation rate means the number
226 of initiated songs in a 1h focal session. Song answering rate was considered as the
227 proportion of partner's initiated songs that were answered by the focal bird (reviewed
228 by Logue and Krupp 2016).

229 We analyzed our dataset with linear (LMM) or generalized mixed modelling
230 (GLMM) depending on the scale of our response variable (according to Zuur *et al.*
231 2009). We modelled the response variables (1), (3) and (8) assuming a Poisson
232 distribution of errors, and the variable (4) according to the Binomial family for

233 proportional data. The remaining response variables were analyzed according to the
234 Gaussian family. We log-transformed the response variable when necessary to achieve
235 a normal distribution of errors.

236 We included breeding phenology as a fixed effect in all models. We included sex to
237 model individual-level song behaviors. We also included the identity of the social pair
238 (random factor), group composition (adults or adults plus juveniles) and decimal hour
239 at the beginning of each focal trial (covariates) in all models. Additionally, we added
240 aggressive context as a fixed effect to model song variables. Aggressive context during
241 the 1h focal sessions was a factor composed by three levels: aggressive, non-
242 aggressive and unknown role. Aggressive context occurred when the focal bird
243 engaged in one or more agonistic interactions with strangers whereas non-aggressive
244 context consisted of the lack of such agonistic interactions. Unknown role meant the
245 focal bird engaged in aggressive interaction, but we did not know if the bird interacted
246 with strangers or other group member(s). We kept this “unknown role” level to avoid
247 decreasing sample size and statistical power.

248 We added song type (i.e., duet or chorus) as a fixed effect to model latency to
249 answer partner’s songs and phrase duration in duets. We included the identity of the
250 focal session nested within the identity of the social pair as a random nested effect to
251 model the variables (2), (6), (7) and (9). Finally, we added the interaction sex ×
252 breeding phenology in all models, and the interaction sex × aggressive context to
253 model song variables.

254 We tested the ‘attraction of extra-pair mates hypothesis’ for male song initiation
255 rate and male song output. We created two new global models (one for each response
256 variable), exclusively for males, to perform these analyses. To these models we added
257 all the variables included in the global models for both sexes, except the variable ‘sex’.
258 We also added the proportion of studied females that were fertile in each 15-day study
259 period (e.g., August 1-15) as an estimate of the number of fertile females in the
260 studied population.

261 We used the function ‘dredge’ from the ‘MuMIn’ (Barton 2015) package to select
262 the best-fit models based on the AICc criteria ($\Delta AICc < 2$; Burnham and Anderson 2002).

263 For continuous predictors, we obtained β estimates for the retained variables from the
264 top model in which the variable occurred. For categorical predictors, we used the
265 commands 'glht' (multcomp package, Hothorn et al. 2008) and 'lsmeans' (lsmeans
266 package, Lenth 2015) to obtain post-hoc comparisons among factor levels, using the
267 top model in which the variable occurred. We controlled for false discovery rate in
268 post-hoc comparisons according to Benjamini and Hochberg (1995).

269 **RESULTS**

270 **Territorial interactions**

271 We recorded 162 territorial aggressive interactions involving focal adult birds and
272 strangers (mean \pm s.e. = 0.73 ± 0.05 interactions/h). Most interactions involved song by
273 the focal individuals or strangers (74%, $n = 150$ focal sessions) and/or chasing (64%, $n =$
274 148); a few interactions involved displacement (without song or chasing) (8%, $n = 162$)
275 or physical fights (3%, $n = 151$). We identified the aggressive role in 65 (40%) of these
276 territorial interactions. Focal males performed most aggressive behaviors towards
277 strangers (40%), but social pairs also coordinated attacks (25%). Strangers, normally
278 neighbors, also started some aggressive interactions (26%). Unaccompanied females
279 conducted a few aggressive interactions directed towards strangers (9%).

280 For both sexes, the number and duration of aggressive interactions with strangers
281 did not vary across breeding and non-breeding stages (Table 1-2). On average, males
282 engaged in aggressive interactions 1.5 times more than did females ($\beta = 0.43 \pm 0.13$;
283 mean \pm s.e.; male = 0.89 ± 0.08 ; female = 0.58 ± 0.07), although the sexes did not differ
284 in the time spent in each territorial interaction (mean \pm s.e.; male = 32.89 ± 6.49 s;
285 female = 41.09 ± 9.75 s; Figure 1-2).

286 **Song rate**

287 We recorded, on average, 10 adult songs/h ($n = 1611$ adult songs, 12 social
288 groups), including solos and duet phrases. For 184 recorded songs (10% of total songs
289 recorded, $n = 1795$), we could not determine if the singer(s) was (were) from the focal

290 group. We determined the singing role (i.e. initiator or responder) in 88% of the
291 recorded and identified songs ($n = 1611$). Overall, most songs (61%) were duets.

292 *Song initiation*

293 Song initiation rate was associated with breeding phenology and aggressive
294 context, but sexes differed in how their song behavior varied relative to breeding
295 phenology (Table 1-3; Figure 1-3A). Female song initiation rate peaked during non-
296 breeding (mean \pm s.e. = 1.94 ± 0.22 songs/h) and post-breeding stages (mean \pm s.e. =
297 2.51 ± 0.25 songs/h), and gradually decreased from the non-breeding season through
298 the breeding season. In contrast, male song initiation rate peaked in the post-breeding
299 stage (mean \pm s.e. = 5.10 ± 0.54 songs/h) and, less noticeably, in the pre-breeding
300 stage (mean \pm s.e. = 4.42 ± 0.68 songs/h). Females tended to initiate fewer songs in the
301 nesting stage (mean \pm s.e. = 0.75 ± 0.15 songs/h), and males initiated songs at their
302 lowest rate in the non-breeding stage (mean \pm s.e. = 2.84 ± 0.36 songs/h).

303 Males and females initiated more songs in contexts that included at least one
304 territorial aggressive interaction (mean \pm s.e: female = 2.02 ± 0.21 songs/h, male = 4.53
305 ± 0.34 songs/h) compared to those without any territorial interaction involving the
306 focal individual ($\beta \pm$ s.e. = 0.25 ± 0.08 ; mean \pm s.e: female = 1.56 ± 0.16 songs/h, male =
307 2.02 ± 0.16 songs/h). The third best-ranked model ($\Delta AICc = 1.77$) indicates this result is
308 exclusively for males, which suggests that females initiate fewer songs than males in
309 response to aggressive contexts. Although sexes differed in their singing initiation
310 behavior relative to breeding phenology, males initiated, on average, twice the
311 number of songs that females initiated, irrespective of breeding stage and the
312 occurrence of aggressive interactions (pooled data, $\beta \pm$ s.e. = 0.90 ± 0.10 ; mean \pm s.e.:
313 male = 3.74 ± 0.24 songs/h; female = 1.76 ± 0.13 songs/h).

314 In the subset model that included only male song data, we found a negative
315 correlation between male song initiation rate and the estimated proportion of females
316 that were fertile in the population ($\beta \pm$ s.e. = -0.16 ± 0.07). The parameter, estimated
317 proportion of fertile females, occurred in the two top-ranked models for male song
318 initiation rate ($\Delta AICc < 2$); the results for the other variables of interest (breeding
319 phenology and aggressive context) remained qualitatively unchanged.

320 *Song answering*

321 Male and female song answering rates differed but were similarly associated with
322 breeding phenology (Table 1-3; Figure 1-3B). On average, males answered 73% (± 2.97
323 s.e.) of partner songs, whereas females answered 61% (± 2.59 s.e.) of male-initiated
324 songs ($\beta \pm \text{s.e.} = 0.56 \pm 0.16$). For both sexes, song answering rate clearly peaked
325 during pre-breeding (mean \pm s.e.; male = 0.97 ± 0.03 , female = 0.77 ± 0.08) and female
326 fertile stages (mean \pm s.e.; male = 0.97 ± 0.03 , female = 0.78 ± 0.08). Song answering
327 rate did not differ between non-breeding, nesting and post-breeding stages (sexes
328 pooled, mean \pm s.e.: non-breeding = 0.62 ± 0.03 ; nesting = 0.65 ± 0.05 ; post-breeding =
329 0.62 ± 0.03). Finally, song-answering rates did not correlate with territorial interaction
330 for either males or females.

331 *Song output*

332 Males differed from females in time spent singing, irrespective of breeding
333 phenology or aggressive context (Table 1-3; Figure 1-3C). Males spent, on average,
334 twice the amount of time singing compared to females ($\beta = 0.40 \pm 0.10$; mean \pm s.e.;
335 male = 63.62 ± 8.46 s/h; female = 30.64 ± 2.70 s/h). For both sexes, song output varied
336 with aggressive context and across breeding and non-breeding stages. First, individuals
337 spent more time singing when engaged in aggressive interactions with strangers (sexes
338 pooled, $\beta \pm \text{s.e.} = 0.37 \pm 0.11$; mean \pm s.e.: aggressive context = 59.89 ± 8.04 s/h; not
339 aggressive context = 35.87 ± 4.57 s/h). Second, individuals spent less time singing in
340 the nesting stage compared to pre-breeding and post-breeding stages.

341 We found no correlation between male song output and the estimated number of
342 fertile females in the study population ($\Delta\text{AICc} > 2$), for the subset of models including
343 only male song data.

344 *Duet rate*

345 Duet rate varied with breeding phenology and aggressive context (Table 1-3; Figure
346 1-3D). Duets peaked in the pre- (mean \pm s.e = 4.67 ± 0.79 duets/h) and post-breeding
347 stages (mean \pm s.e = 4.20 ± 0.31 duets/h), and were less frequent in the nesting stage
348 (mean \pm s.e = 2.69 ± 0.31 duets/h). Duetting peaked during the pre-breeding stage was

349 mainly a result of high song initiation by males and high answering rates by both sexes.
350 Differently, the duetting peak during the post-breeding stage was mainly a result of
351 high song initiation by both sexes despite the moderate song answering rates at this
352 stage.

353 Partners duetted at highest rate when one pair member engaged in one or more
354 aggressive interactions with strangers ($\beta \pm \text{s.e.} = 0.21 \pm 0.10$; mean $\pm \text{s.e.}$: non-
355 aggressive context = 2.45 ± 0.28 duets/h, aggressive context = 3.74 ± 0.20 duets/h).
356 However, the second best-ranked model ($\Delta\text{AICc} = 0.37$) does not indicate this
357 relationship.

358 **Song latency and duration**

359 *Latency to answer partner's songs*

360 Song latency to answer partner's initiated songs varied with sex, breeding
361 phenology and song type (i.e., duet or chorus), but did not vary with the occurrence of
362 aggressive encounters (Table 1-3; Figure 1-4A). Males answered partner's initiated
363 songs faster than females, irrespective of breeding phenology or song type ($\beta = 0.56 \pm$
364 0.11 ; mean $\pm \text{s.e.}$; male = 0.84 ± 0.08 s; female = 1.46 ± 0.10 s). Both sexes answered
365 partner initiated songs more quickly during the female fertile and nesting stages (and,
366 less clearly, in the pre-breeding stage), compared with the post-breeding stage. Both
367 sexes tended to have lower latency in answering their partner's song in duets
368 compared with chorus (sexes pooled, $\beta \pm \text{s.e.} = 0.27 \pm 0.15$; mean $\pm \text{s.e.}$: duets = $1.13 \pm$
369 0.07 s; chorus = 1.85 ± 0.24 s).

370 *Song duration*

371 The duration of phrases emitted in duets varied with sex and breeding phenology
372 (Table 1-3; Figure 1-4B). Males emitted longer phrases than females, irrespective of
373 breeding phenology ($\beta = 0.95 \pm 0.10$; mean $\pm \text{s.e.}$; male = 6.37 ± 0.08 s; female = $5.41 \pm$
374 0.07 s). For both sexes, the duration of phrases peaked in the pre-breeding and female
375 fertile stages (mean $\pm \text{s.e.}$; pre-breeding = 6.43 ± 0.16 s; fertile = 6.63 ± 0.15 s), and
376 tended to be higher in the nesting stage compared to the non-breeding stage (sexes

377 pooled, $\beta = 0.54 \pm 0.21$; mean \pm s.e.; nesting = 6.03 ± 0.15 s; non-breeding = 5.50 ± 0.10
378 s).

379 Duet duration varied with breeding phenology, but not with the occurrence of
380 aggressive interactions with strangers. The duration of duets was higher in the
381 breeding season relative to the non-breeding season (Table 1-3; Figure 1-4C; see
382 Supplementary material for detailed results of model selection).

383 **DISCUSSION**

384 **Seasonal patterns of song vary with sex, singing role and duet organization**

385 Both males and females engaged in territorial aggressive interactions, sang solo
386 songs, and initiated and answered songs in duets during both the non-breeding and
387 breeding stages. Overall, partners coordinated most (61%) of their songs into duets,
388 and many song traits (song initiation rate, song output and duet rate) peaked when
389 there was a territorial interaction involving the focal individual or pair. In general,
390 these results indicate that Rufous Hornero males and females use song to defend year-
391 round territories, one of the suggested functions for duets (Hall 2004, 2009).

392 We found remarkable sex differences in aggressive and singing behavior,
393 regardless of seasonality. Males engaged more in territorial interactions, and sang at
394 higher rates and for longer periods than did females. In addition, males initiated more
395 songs, answered their partner's songs more quickly to create duets, and did so at
396 higher rates, compared with females. Male bias in song effort is common among other
397 duetting species (Mennill et al. 2005, Rogers 2005, Valderrama et al. 2008, Tobias et al.
398 2011, Koloff and Mennill 2012, Odom et al. 2016). These results suggest that sexual
399 selection almost certainly plays a role in the evolution of song in the Rufous Hornero
400 and other duetting species (see Mennill et al. 2005; Odom et al. 2016).

401 Seasonal variation in the Rufous Hornero's singing behavior also varies between
402 singing roles (initiation vs. answering) and levels of organization of duetting behavior
403 (individual-level vs. pair-level) (see Topp and Mennill 2008). We found sex-specific
404 seasonal variation in song initiation but not in answering behavior, suggesting that the

405 function of duetting components may differ between the sexes. Indeed, the few
406 studies that focus on this issue have shown component-specific seasonal variation in
407 duetting behavior (Topp and Mennill 2008, Odom et al. 2016). For example, in the
408 Rufous-and-white wrens (*Thryothorus rufalbus*), female song answering and output
409 decrease as the breeding season progresses, whereas males keep singing solo songs at
410 high rates through the breeding season (Topp and Mennill 2008). In the Venezuelan
411 troupial (*Icterus icterus*), male song initiation is higher during the breeding season,
412 whereas duetting and female songs occur more often during the non-breeding season
413 (Odom et al. 2016). Therefore, song function may vary with sex, singing role and level
414 of duetting organization (Logue and Krupp 2016).

415 **Song initiation behavior**

416 Song initiation rate peaked during territorial interactions for both sexes, regardless
417 of phenological stage. However, for females, song initiation rate peaked during the
418 non-breeding stage, whereas for males it peaked during the pre-breeding stage, and
419 for both males and females, in the post-breeding stages. Because females initiated
420 songs more often in the non-breeding season, and both sexes initiated songs more
421 often in the post-breeding stage, our results only partially support the ‘territory
422 defense’ hypothesis for the function of male and female song initiation behaviors. Our
423 data also partially support the ‘mate guarding’ and ‘ensure reproductive synchrony’
424 hypotheses for the function of male song initiation behavior (Table 1-1).

425 Singing effort in birds usually peaks in the pre-breeding and egg-laying stages
426 (Amrhein et al. 2002, 2004, Dowling and Webster 2013), sometimes in the nesting
427 stage (Cain and Langmore 2015, Chiver et al. 2015), but rarely if ever in the post-
428 breeding stage. The Rufous Honero starts to build a new nest for the next breeding
429 season just after its current seasonal nesting stage has ended (P Diniz, unpublished
430 data; Fraga 1980). Also, some of the juveniles might disperse during the post-breeding
431 stage (P Diniz, unpublished data). These two events may increase the competition for
432 nest sites, territories (for both sexes) and social mates (for males) during the post-
433 breeding stage, which could explain the unexpected peak in male and female song
434 initiation rates in this stage. Alternatively, high song initiation rate may encourage

435 juvenile singing in the post-breeding stage in order to join parents in territory defense
436 or stimulate vocal learning in juveniles.

437 Male song initiation did not peak during their partner's fertile stage and it was not
438 associated with the estimated phenological variation in the number of fertile females
439 in the studied population. These results indicate that Rufous Hornero males do not
440 initiate songs to assure paternity or attract extra-pair mates (Table 1-1) (Forstmeier
441 and Balsby 2002). Finally, the peak in male song initiation during the pre-breeding
442 season provides some evidence for the 'ensure reproductive synchrony' hypothesis
443 (Table 1-1), indicating that male song initiation could also function to stimulate or
444 respond to their partner's reproductive activity (Leboucher et al. 1998, Bentley et al.
445 2000).

446 **Song attentiveness behavior**

447 Both sexes answered their partner's initiated songs quicker and at much higher
448 rates (100%, males; ~80%, females) during the pre-breeding and female fertile stages.
449 In contrast, other studies have found that males and females do not increase their
450 duet responsiveness during the female fertile stage (Hall and Magrath 2000, Gill et al.
451 2005, Hall 2006, Hall and Peters 2008b). One exception is the Rufous-and-white wren,
452 where males answered their partner-initiated songs at the highest rate during the
453 fertile stage, although females showed very low responsiveness during this period
454 (Topp and Mennill 2008).

455 Song attentiveness behaviors (i.e. song answering rate and latency) may have a
456 similar function for male and female Rufous Horneros, since they varied in parallel
457 across non-breeding and breeding stages. Our results provide support for the
458 hypothesis that song attentiveness functions to ensure reproductive synchrony (Table
459 1-1), and in mutual partnership guarding, despite the fact that we did not find a link
460 between song answering and territorial interactions, required for full support of this
461 last hypothesis (Rogers et al. 2006). We also did not find a higher rate of territorial
462 interactions during the pre-breeding and female fertile stages, providing no support
463 for the territory defense hypothesis (Table 1-1). Thus, song answering in itself should
464 not be enough to promote territory defense in the Rufous Hornero.

465 Contrary to predictions of the ‘paternity guarding’ hypothesis (Table 1-1), male
466 song answering rate and latency were not higher in the female fertile stage compared
467 with the pre-breeding stage (Hall 2009). Similarly, the proportion of answered songs
468 did not differ between pre-fertile and fertile stages in the Buff-breasted wren (Gill et
469 al. 2005). Thus, acoustic paternity assurance is probably not driving these song
470 components in the Rufous Hornero.

471 **Duets**

472 Duet rate peaked in the pre- and post-breeding stages and was associated with
473 territorial aggressive context, providing partial support for the ‘territory defense
474 hypothesis’ (Table 1-1). Seasonal patterns in duet rate vary among species, but usually
475 peaks in the pre-breeding stage (Rufous-and-white wrens, Topp and Mennill 2008b,
476 Red-backed Fairy-wrens, Dowling and Webster 2013; Rufous Hornero), and other
477 breeding stages (Barred Antshrike, Koloff and Mennill 2012; California towhee,
478 Benedict 2010). Our study confirms that duetting can also peak in the non-breeding
479 season (Venezuelan troupial, Odom et al. 2016), such as the post-breeding stage
480 (Rufous Hornero).

481 Considering that song answering rates were not associated with territorial
482 interactions, our results also partially support the mutual mate-guarding hypothesis
483 (Table 1-1), and suggest that the pair-level component of duetting should be more
484 important for defending a territory or the pair bond than an individual-level duetting
485 property (i.e. song answering). This means that duetting is important for territory,
486 mate guarding or both, regardless of which sex initiates a duet, and that unilateral
487 acoustic mate-guarding through song answering (Rogers et al. 2006) does not seem to
488 occur in the Rufous Hornero. Finally, the higher duet duration during the breeding
489 season indicates that duet duration should mediate territorial or mate disputes during
490 the breeding season, or facilitate within-pair communication in this period.

491 **Conclusions**

492 Our data suggest that seasonal variation in duetting behavior is dependent upon a
493 complex interaction between sex, singing role (song initiation vs song answering) and

494 levels of duetting organization (individual vs pair-level). Males seem to use song
495 initiation to defend the territory, their mate or both and to stimulate female
496 reproductive activity. In contrast, females seem to use song initiation to defend
497 territorial resources, especially in the non-breeding season. Our study does not
498 support the idea that song answering is associated with territory defense, but instead
499 that it may function in mutual partnership guarding and stimulation of reproduction
500 (e.g., hormonal profiles). Finally, seasonal variation in duetting at the pair-level
501 partially supported the territory defense and mutual mate guarding hypotheses.

502 Our study supports the concept that singing roles and levels of duetting
503 organization may vary across time in different ways and thus may have unique
504 adaptive functions. In addition, we suggest that the pair-level component of duetting
505 contains information arising from the combination of individual-level components
506 (song initiation or answering). Consequently, we suggest that a better understanding
507 of bird song evolution may be attained through studies of duetting behavior at both
508 the individual and pair levels.

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Figure legends

Figure 1-1. Examples of female solo (A), male solo (B) and male-female duet (C) in the Rufous Hornero. Panel C: lines above and below notes indicate female and male notes, respectively.

Figure 1-2. (A) Number and (B) duration (mean \pm s.e.) of territorial, aggressive interactions between focal adults and stranger conspecifics. Phenological stages: Non-B = non-breeding (31-120 days before incubation started); Pre-B = pre-breeding (16-30 days before incubation started); Fertile (1-15 days before incubation started); Nesting (incubation and nestling stages); Post-B = post-breeding (1-90 days after fledgling). Numbers without parentheses indicate sample sizes: total focal sessions in Fig. 1A and total number of interactions in Fig. 1B. Numbers of social pairs are shown within parentheses.

Figure 1-3. Seasonal variation in song rate and output (means \pm s.e.) in the Rufous Hornero. Seasonal stages: Non-B = non-breeding (31-120 days before incubation started); Pre-B = pre-breeding (16-30 days before incubation started); Fertile (1-15 days before incubation started); Nesting (incubation and nestling periods); Post-B = post-breeding (1-90 days after fledgling). Different letters indicate post-hoc differences in the response variable between factor levels. Numbers without parentheses indicate total focal sessions. Numbers of social pairs are shown within parentheses.

Figure 1-4. Seasonal variation in song latency and song duration (means \pm s.e.) in the Rufous Hornero. Phenological stages: Non-B = non-breeding (31-120 days before incubation started); Pre-B = pre-breeding (16-30 days before incubation started); Fertile (1-15 days before incubation started); Nesting (incubation and nestling periods); Post-B = post-breeding (1-90 days after fledgling). Different letters indicate differences in the response variable between factor levels. Numbers without parentheses indicate total focal sessions. Numbers of social pairs are shown within parentheses.

Figure 1-1

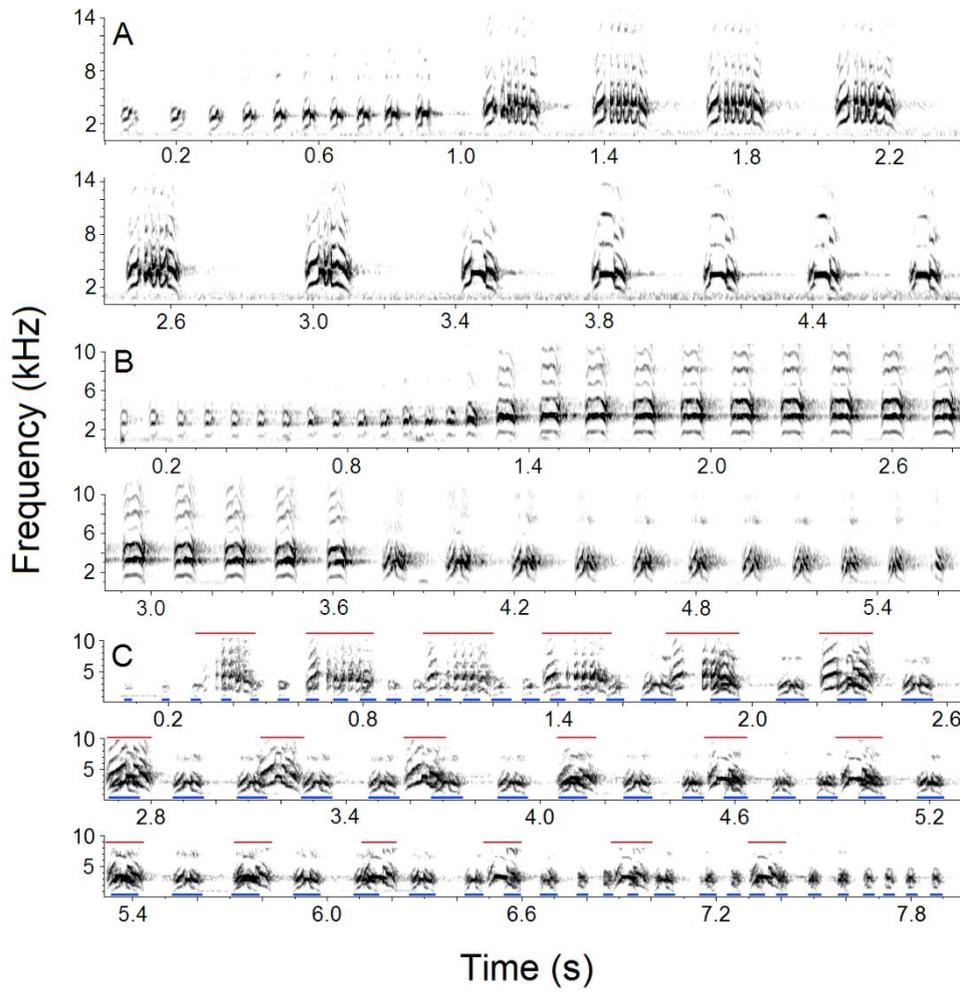


Figure 1-2

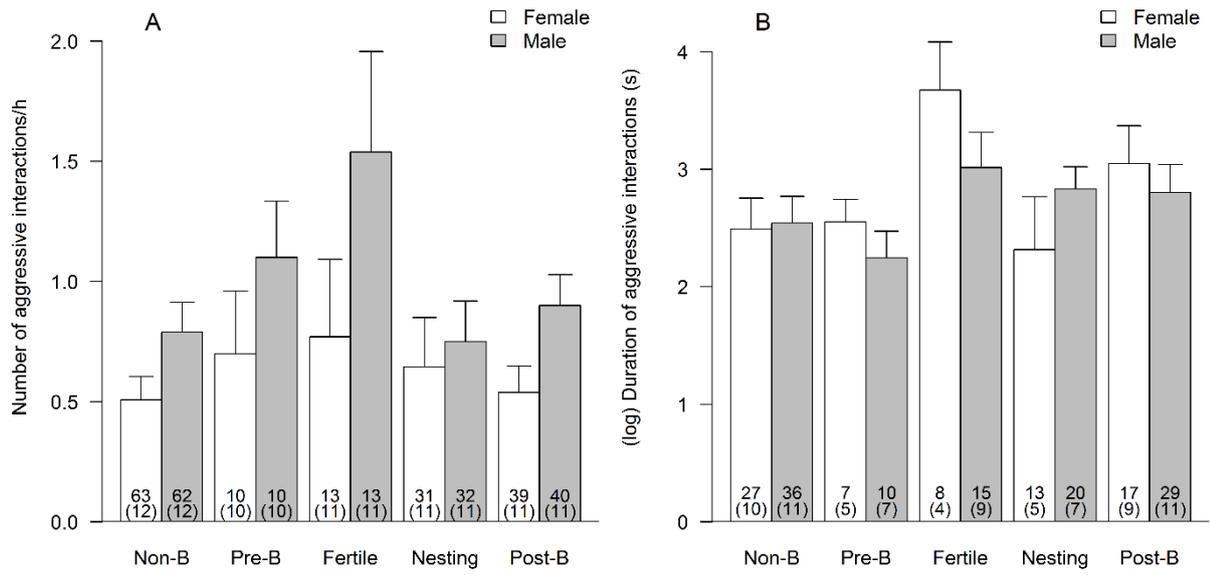


Figure 1-3

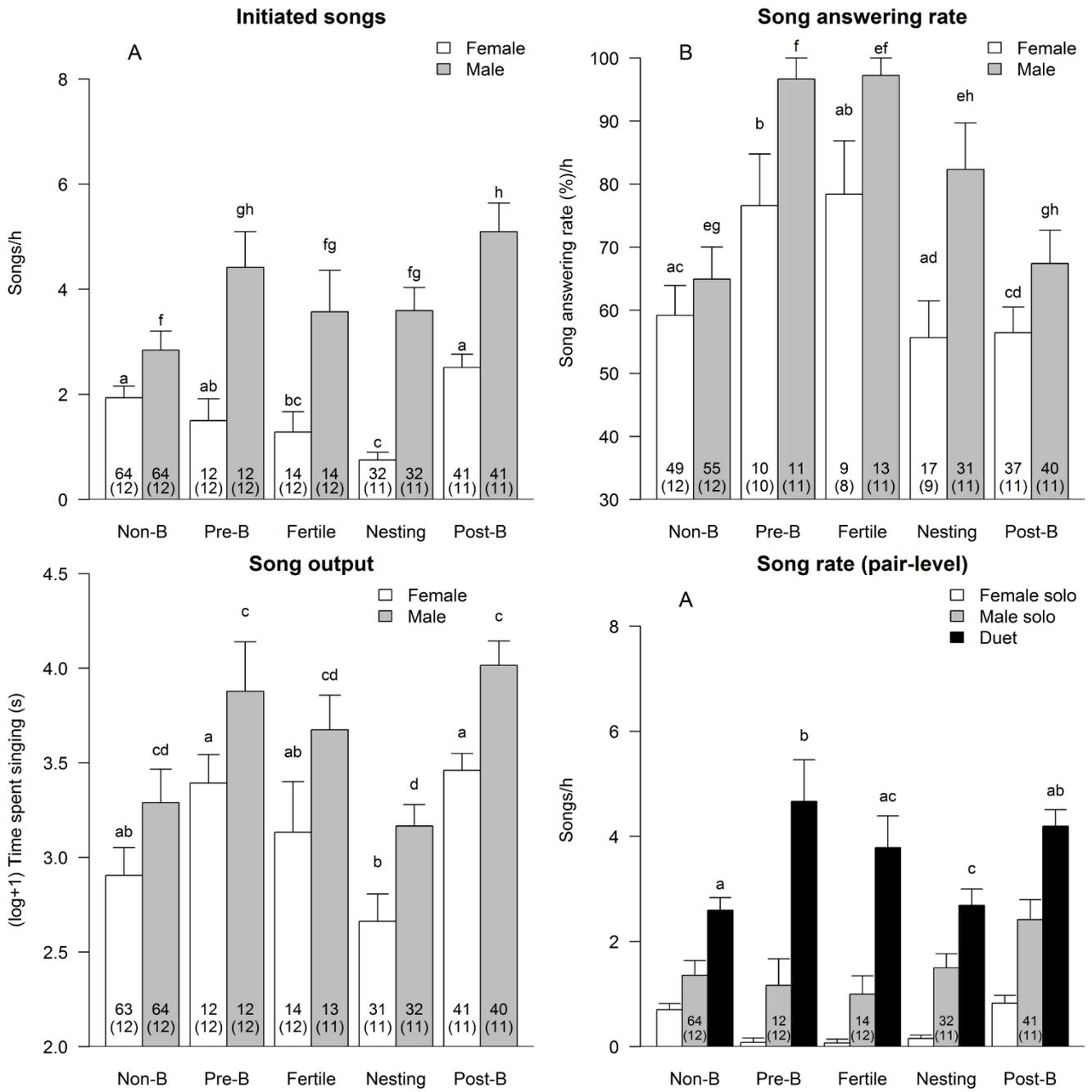
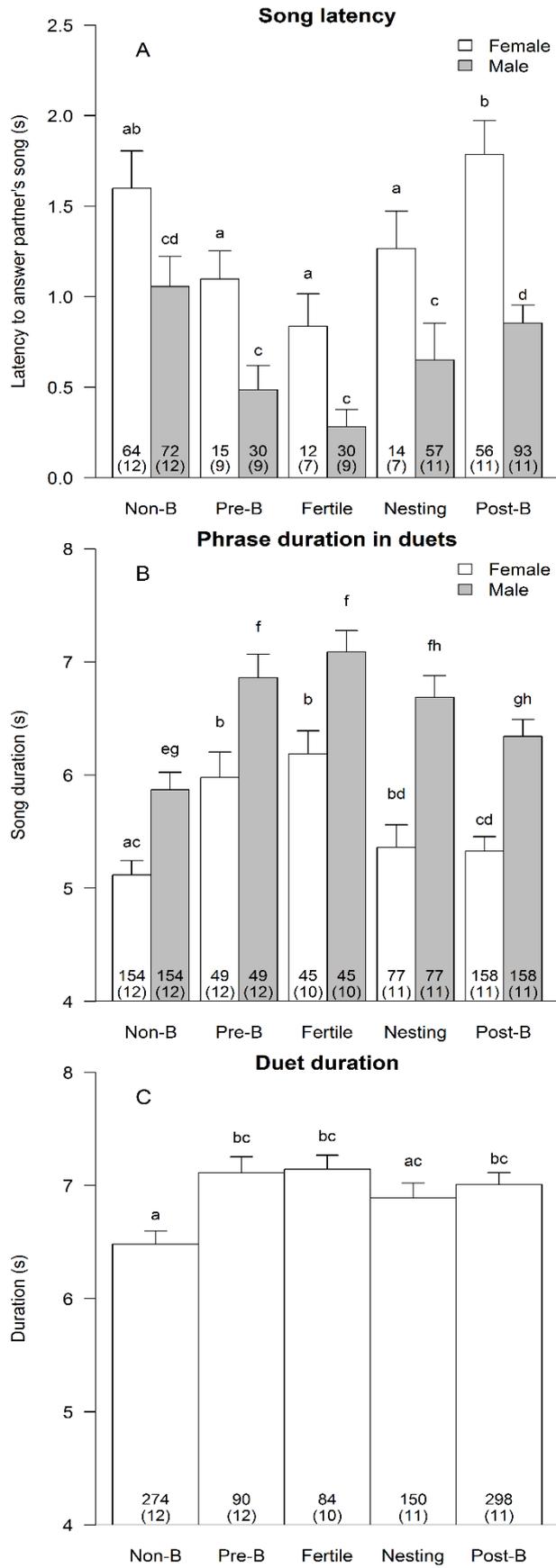


Figure 1-4



Tables

Table 1-1. Predicted seasonal variation in solos and duets according to six popular functional hypotheses. Song mode: song initiation or solo (I), song answering (A), pair-level duetting (D). Sex: male (M), both (B).

Hypotheses	Description	Song mode	Sex	Expected peak in signal expression	Aggressive context?
Coordination of parental care ^{1,2}	Parents use song exchange to coordinate nest visits	IA	B	Nesting stage ²	No
Ensuring reproductive synchrony ³	Song stimulates and synchronizes reproductive activities	IA	B	Pre-breeding stage ¹³	No
Territorial defense ^{4,5,6}	Song is used to defend resources in a territory	IAD	B	When there are more territorial intrusions ^{4,6,12}	Yes
Mate guarding (partnership) ^{6,7,8}	Song is used to guard social partner	IAD	B	Pre-breeding and female fertile stages ^{6,7,13}	Yes
Mate guarding (paternity) ^{6,9,10}	Male song prevents female partner engaging in extra-pair copulation	IA	M	Female fertile stage ^{6,7,13}	Yes
Male attraction of extra-pair mates ^{7,10}	Initiated and solo songs are intended to attract extra-pair mates	I	M	When most females are fertile in the population ^{10,11}	No

References: 1 - Langmore (1998), 2 - Halkin (1997), 3 - Dilger (1953), 4 - Catchpole and Slater (2008), 5 - Seibt and Wickler (1977), 6 - Hall (2004), 7 - Moller (1991), 8 - Stokes and Williams (1968), 9 - Sonnenschein and Reyer (1983), 10 - Mace (1987), 11 - Chiver et al. (2015), 12 - Levin (1996), 13 - Hall (2009).

Table 1-2. Modelling comparison of territorial aggressive interactions as a function of breeding phenology and sex.

	df	AICc	Δ AICc	wi
Number of aggressive interactions				
(GLMM, poisson family)				
sex + decimal hour	4	691.6	0.00	0.59
Duration of aggressive interactions (log)				
(LMM, gaussian family)				
null model	4	551.7	0.00	0.32
decimal hour	4	552.9	1.28	0.17
group composition	4	553.3	1.67	0.14

We show results from top models (Δ AICc<2) derived from modelling comparisons for all combinations of predicted variables included in the global model (command 'dredge' in 'multcomp' package). df = degrees of freedom; wi= weight.

Table 1-3. Modelling comparison of song variables as a function of breeding phenology and territorial aggressive interaction.

	df	AICc	Δ AICc	wi
Song initiation rate (GLMM, poisson family)				
aggressive interaction + breeding phenology + sex + decimal hour + breeding phenology \times sex	14	1303.4	0.00	0.47
aggressive interaction + breeding phenology + sex + group composition + decimal hour + breeding phenology \times sex	15	1304.9	1.50	0.22
aggressive interaction + breeding phenology + sex + decimal hour + aggressive interaction \times sex + breeding phenology \times sex	16	1305.2	1.77	0.20
Song answering rate (GLMM, binomial family)				
breeding phenology + sex + group composition + decimal hour	9	603.0	0.00	0.52
Song output (log+1) (LMM)				
aggressive interaction + breeding phenology + decimal hour + sex	11	873.7	0.00	0.613
Duet rate (GLMM, poisson family)				
aggressive interaction + breeding phenology + decimal hour + group composition	11	640.7	0.00	0.513
latency (log)				
breeding phenology + decimal hour + sex + song type	11	1328.3	0.00	0.44
breeding phenology + decimal hour + sex	10	1329.2	0.91	0.28
phrase duration				
breeding phenology + sex	9	3862.2	0.00	0.313
breeding phenology + sex + song type	10	3863.5	1.27	0.17
breeding phenology + sex + decimal hour	10	3863.9	1.71	0.13
duet duration				
breeding phenology + decimal hour	9	1746.1	0.00	0.26
breeding phenology + song type + decimal hour	10	1747.0	0.90	0.16

Models about individual-level singing behavior also include sex as a fixed effect. All models contained the identity of the social group as a nested random term. We show results from top models (Δ AICc<2) derived from modelling comparison among all combinations of predicted variables included in the global model (command 'dredge' in 'multcomp' package). df = degrees of freedom; wi = weight.

SUPPLEMENTARY MATERIAL

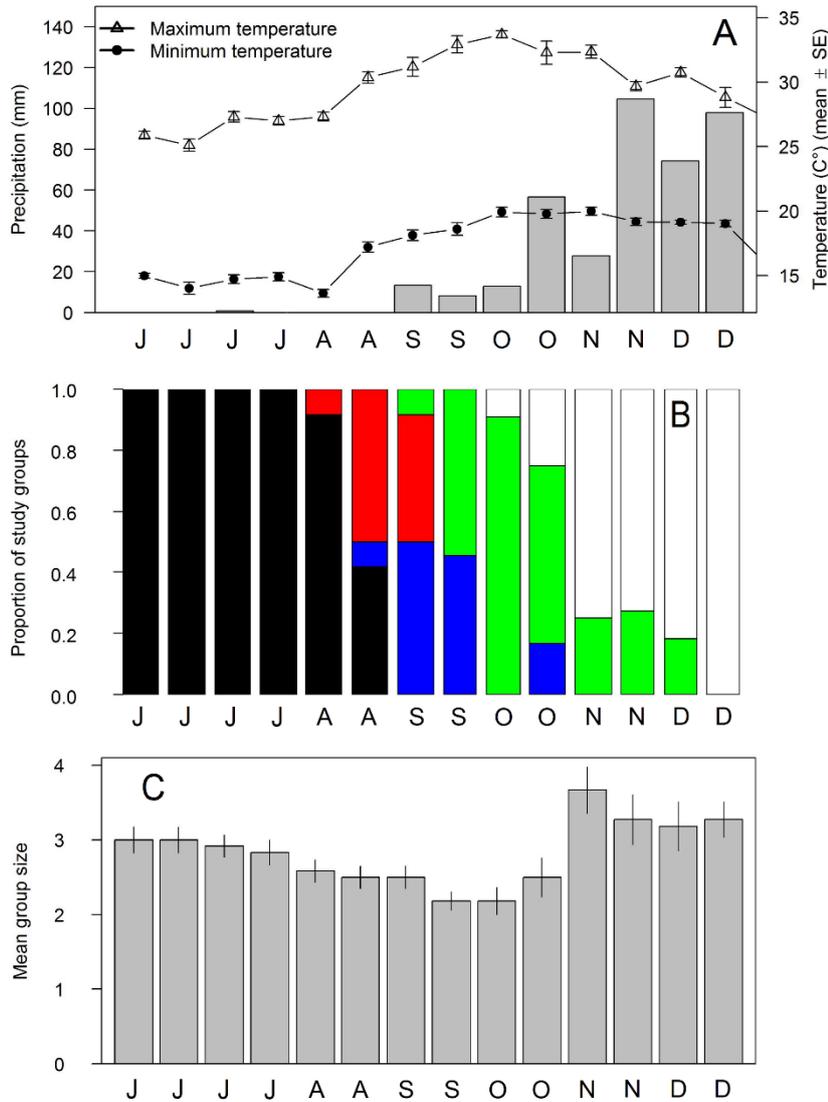


Figure 1-5s. Climate conditions in the study area (A), breeding phenology (B) and size of studied groups (C) during the study period. We show data for half-month periods (equivalent to one focal session), from June to December, on the X-axis. A: cumulative precipitation shown in bars, and temperature in lines. B: Breeding stages: non-breeding (black), pre-breeding (red), female fertile (blue), nesting (green) and post-breeding (white). C: Mean group size (\pm s.e.). Panels B and C, sample size: 12 groups for the seven first focal sessions and 11 groups for the remaining focal sessions.

Results from model and post-hoc comparisons

We used mixed models to model the variation in territorial aggressive interactions (number and duration) and several song variables relative to variation in breeding phenology and sex. We also modelled song variables as a function of aggressive interactions. We compared the models using AICc criteria. All models contained the identity of the social group as a random term. All models of latency to answer partner's initiated songs, duration of aggressive interactions, duet phrases and songs include the identity of focal session as a random term as well. We show results from top ($\Delta AICc < 2$), full and null models, and all the models with weight values higher than 0.01. Results derived from modelling comparison among all combinations of predicted variables are included in the global model (command 'dredge' in 'multcomp' package).

Here, we show the comparison among all models and β estimates of continuous variables obtained from post-hoc comparisons between levels of the predicted variables retained in top models ($\Delta AICc < 2$). P values in bold indicate significant results ($p < 0.05$) after controlling for false discovery rates (Benjamini and Hochberg 1995). P values in bold and italic indicate nearly significant results ($p < 0.10$).

Table 1-4s. Modelling comparison for variation in the number of aggressive, territorial interactions between focal adults and extra-group individuals (GLMM, poisson family). df = degrees of freedom; wi = weight.

	df	AICc	AICc	wi
sex + decimal hour	4	691.6	0.00	0.585
sex + group composition + decimal hour	5	693.5	1.9	0.227
breeding phenology + sex + decimal hour	8	694.7	3.1	0.124
breeding phenology + sex + group composition + decimal hour	9	696.8	5.21	0.043
breeding phenology + decimal hour + sex + group composition + breeding phenology \times sex (full model)	13	703.9	12.37	0.000
null model	2	713.8	22.19	0.000

Table 1-5s. Post-hoc results from the best model to explain variation in the number of aggressive interactions (GLMM, poisson family).

	$\beta \pm SE$	z ratio	p
intercept	-0.65 ± 0.15	-4.32	<0.001
sex (male – female)	0.44 ± 0.13	3.29	0.001
decimal hour	-0.28 ± 0.07	-3.95	<0.001

Table 1-6s. Modelling comparison for variation in the duration of aggressive, territorial interactions between focal adults and extra-group individuals (LMM). df = degrees of freedom. wi = weight.

	df	AICc	AICc	wi
null model	4	551.7	0.00	0.319
decimal hour	5	552.9	1.28	0.168
group composition	5	553.3	1.67	0.138
sex	5	553.8	2.11	0.111
group composition + decimal hour	6	554.4	2.75	0.080
sex + decimal hour	6	555.1	3.41	0.058
sex + group composition	6	555.5	3.81	0.047
sex + group composition + decimal hour	7	556.6	4.92	0.027
breeding phenology + decimal hour	9	558.1	6.42	0.013
breeding phenology	8	558.1	6.42	0.013
breeding phenology + sex + group composition + decimal hour + breeding phenology \times sex (full model)	15	565.9	14.21	0.000

Table 1-7s. Modelling comparison for variation in the number of initiated songs (solo songs plus initiated duets) (GLMM, poisson family). df = degrees of freedom. wi = weight.

	df	AICc	AICc	wi
aggressive context + breeding phenology + sex + decimal hour + breeding phenology × sex	14	1303.4	0.00	0.474
aggressive context + breeding phenology + sex + group composition + decimal hour + breeding phenology × sex	15	1304.9	1.50	0.224
aggressive context + breeding phenology + sex + decimal hour + aggressive context × sex + breeding phenology × sex	16	1305.2	1.77	0.196
aggressive context + breeding phenology + sex + group composition + decimal hour + aggressive context × sex + breeding phenology × sex (full model)	17	1306.8	3.34	0.089
breeding phenology + sex + decimal hour + breeding phenology × sex	12	1310.9	7.49	0.011
null model	2	1523.8	220.33	0.000

Table 1-8s. Post-hoc results from the best model to explain variation in the number of initiated songs (GLMM, poisson family).

	$\beta \pm SE$	z ratio	p
intercept	0.56 \pm 0.22	2.52	0.012
sex (male – female)	0.90 \pm 0.10	–9.35	<0.001
aggressive context (aggressive – non-aggressive)	0.25 \pm 0.08	–3.24	0.004
decimal hour	–0.21 \pm 0.04	–4.85	<0.001
female			
non-breeding – pre-breeding	0.29 \pm 0.25	1.16	0.308
non-breeding – fertile	0.60 \pm 0.25	2.35	0.038
non-breeding – nesting	1.09 \pm 0.23	4.84	<0.001
non-breeding – post-breeding	–0.08 \pm 0.14	–0.59	0.558
pre-breeding – fertile	0.30 \pm 0.33	0.91	0.403
pre-breeding – nesting	0.80 \pm 0.31	2.54	0.028
pre-breeding – post-breeding	–0.38 \pm 0.26	–1.45	0.210
fertile – nesting	0.49 \pm 0.31	1.57	0.193
fertile – post-breeding	–0.68 \pm 0.26	–2.65	0.027
nesting – post-breeding	–1.17 \pm 0.23	–5.12	<0.001
male			
non-breeding – pre-breeding	–0.37 \pm 0.16	–2.36	0.063
non-breeding – fertile	–0.01 \pm 0.16	–0.08	0.997
non-breeding – nesting	–0.09 \pm 0.12	–0.76	0.640
non-breeding – post-breeding	–0.37 \pm 0.11	–3.32	0.009
pre-breeding – fertile	0.36 \pm 0.20	1.80	0.144
pre-breeding – nesting	0.28 \pm 0.17	1.63	0.172
pre-breeding – post-breeding	0.00 \pm 0.16	0.004	0.997
fertile – nesting	–0.08 \pm 0.17	–0.45	0.794
fertile – post-breeding	–0.36 \pm 0.16	–2.24	0.063
nesting – post-breeding	–0.28 \pm 0.12	–2.30	0.063

Table 1-9s. Modelling comparison for variation in the song answering rates (percentage of partners songs answered by the focal individual) (GLMM, binomial family). df = degrees of freedom. wi = weight.

	df	AICc	AICc	wi
breeding phenology + sex + group composition + decimal hour	9	603	0	0.516
aggressive context + breeding phenology + sex + group composition + decimal hour	11	605.4	2.4	0.155
breeding phenology + sex + decimal hour	8	606.6	3.54	0.088
aggressive context + breeding phenology + sex + group composition + decimal hour + aggressive context × sex	13	607.4	4.38	0.058
breeding phenology + sex + group composition + decimal hour + breeding phenology × sex	13	607.5	4.47	0.055
aggressive context + breeding phenology + sex + decimal hour	10	608.5	5.43	0.034
aggressive context + breeding phenology + sex + group composition + decimal hour + breeding phenology × sex	15	610.1	7.06	0.015
aggressive context + breeding phenology + sex + decimal hour + aggressive context × sex	12	610.3	7.26	0.014
breeding phenology + sex + decimal hour + breeding phenology × sex	12	610.8	7.81	0.010
aggressive context + breeding phenology + sex + group composition + decimal hour + aggressive context × sex + breeding phenology × sex (full model)	17	611.4	8.34	0.008
null model	2	643.3	40.32	0.000

Table 1-10s. Post-hoc results from the best model to explain variation in song answering rates (GLMM, binomial family).

	$\beta \pm SE$	z ratio	p
intercept	0.59 \pm 0.25	2.42	0.016
sex (male – female)	0.56 \pm 0.16	–3.42	0.0006
group composition (adults – adults and juveniles)	0.50 \pm 0.21	2.36	0.018
decimal hour	–0.29 \pm 0.09	–3.15	0.002
non-breeding – pre-breeding	–0.96 \pm 0.33	–2.92	0.01
non-breeding – fertile	–0.66 \pm 0.35	–1.88	0.10
non-breeding – nesting	0.14 \pm 0.28	0.52	0.67
non-breeding – post-breeding	0.20 \pm 0.20	1.03	0.43
pre-breeding – fertile	0.30 \pm 0.43	0.68	0.62
pre-breeding – nesting	1.10 \pm 0.37	2.96	0.01
pre-breeding – post-breeding	1.16 \pm 0.34	3.40	0.01
fertile – nesting	0.81 \pm 0.35	2.29	0.04
fertile – post-breeding	0.86 \pm 0.36	2.41	0.04
nesting – post-breeding	0.06 \pm 0.29	0.20	0.84

Table 1-11s. Modelling comparison for variation in song output (time spent singing, log + 1) (LMM). df = degrees of freedom. wi = weight.

	df	AICc	AICc	wi
aggressive context + breeding phenology + sex + decimal hour	11	873.7	0.00	0.613
aggressive context + breeding phenology + sex + group composition + decimal hour	12	875.8	2.11	0.214
aggressive context + breeding phenology + sex + decimal hour + aggressive context × sex	13	877.1	3.38	0.113
aggressive context + breeding phenology + sex + group composition + decimal hour + aggressive context × sex	14	879.2	5.51	0.039
aggressive context + breeding phenology + sex + group composition + decimal hour + aggressive context × sex + breeding phenology × sex (full model)	18	887.8	14.08	0.001
null model	3	950.9	77.23	0.000

Table 1-12s. Post-hoc results from the best model to explain variation in song output (time spent singing, log + 1) (LMM).

	$\beta \pm SE$	<i>t</i> value	<i>p</i>
intercept	4.43 ± 0.51	8.75	
sex (male – female)	0.40 ± 0.10	3.87	0.0001
aggressive context (aggressive – non-aggressive)	0.37 ± 0.11	3.31	0.003
decimal hour	–0.24 ± 0.05	–4.67	
non-breeding – pre-breeding	–0.50 ± 0.20	–2.42	0.11
non-breeding – fertile	–0.07 ± 0.20	–0.35	1.00
non-breeding – nesting	0.32 ± 0.15	2.24	0.16
non-breeding – post-breeding	–0.32 ± 0.14	–2.19	0.18
pre-breeding – fertile	0.43 ± 0.25	1.67	0.44
pre-breeding – nesting	0.82 ± 0.22	3.68	0.002
pre-breeding – post-breeding	0.18 ± 0.22	0.82	0.92
fertile – nesting	0.39 ± 0.21	1.88	0.32
fertile – post-breeding	–0.25 ± 0.20	–1.21	0.74
nesting – post-breeding	–0.64 ± 0.16	–4.07	<0.001

Table 1-13s. Modelling comparison for variation in duetting rate (number of duets between partners) (GLMM, poisson family). *df* = degrees of freedom. *wi* = weight.

	<i>df</i>	AICc	AICc	<i>wi</i>
aggressive context + breeding phenology + group				
composition + decimal hour (full model)	11	640.7	0.00	0.513
breeding phenology + group composition + decimal hour	9	641	0.37	0.426
aggressive context + breeding phenology + decimal hour	10	646.5	5.81	0.028
breeding phenology + decimal hour	8	646.6	5.92	0.027
null model	3	697.1	56.44	0.000

Table 1-14s. Post-hoc results from the best model to explain variation in duetting rate (GLMM, poisson family).

	$\beta \pm SE$	z ratio	p
intercept	1.15 \pm 0.27	4.19	<0.001
aggressive context (aggressive – non-aggressive)	0.21 \pm 0.10	2.06	0.04
group composition (adults – adults and juveniles)	0.34 \pm 0.12	2.87	0.004
decimal hour	-0.32 \pm 0.06	-5.36	<0.001
non-breeding – pre-breeding	-0.42 \pm 0.16	-2.63	0.029
non-breeding – fertile	0.02 \pm 0.18	0.12	0.90
non-breeding – nesting	0.35 \pm 0.16	2.20	0.055
non-breeding – post-breeding	-0.19 \pm 0.12	-1.50	0.19
pre-breeding – fertile	0.44 \pm 0.20	2.22	0.055
pre-breeding – nesting	0.78 \pm 0.19	4.15	0.0003
pre-breeding – post-breeding	0.23 \pm 0.17	1.37	0.21
fertile – nesting	0.33 \pm 0.18	1.86	0.10
fertile – post-breeding	-0.21 \pm 0.18	-1.17	0.27
nesting – post-breeding	-0.54 \pm 0.17	-3.24	0.006

Table 1-15s. Modelling comparison for variation in latency to answer partner's initiated songs (LMM). df = degrees of freedom. wi = weight.

	df	AICc	Δ AICc	wi
breeding phenology + sex + song type + decimal hour +	11	1328.3	0	0.239
breeding phenology + sex + decimal hour	10	1329.2	0.91	0.151
breeding phenology + sex + group composition + song type + decimal hour	12	1329.3	1.02	0.143
breeding phenology + sex + group composition + decimal hour	11	1329.5	1.22	0.130
aggressive context + breeding phenology + sex + song type + decimal hour	13	1332.2	3.92	0.034
sex + group composition + song type + decimal hour	8	1332.4	4.11	0.031
breeding phenology + sex + song type	10	1333.1	4.80	0.022
aggressive context + breeding phenology + sex + decimal hour	12	1333.1	4.83	0.021
aggressive context + breeding phenology + sex + group composition + song type + decimal hour	14	1333.3	5.02	0.019
sex + group composition + decimal hour	7	1333.4	5.08	0.019
aggressive context + breeding phenology + sex + song type + decimal hour + aggressive context \times sex	15	1333.4	5.15	0.018
aggressive context + breeding phenology + sex + group composition + decimal hour	13	1333.5	5.22	0.018
breeding phenology + sex	9	1333.9	5.61	0.014
breeding phenology + sex + group composition + song type	11	1334	5.74	0.014
breeding phenology + sex + group composition	10	1334.1	5.86	0.013
aggressive context + breeding phenology + sex + group composition + song type + decimal hour + aggressive context \times sex	16	1334.4	6.11	0.011
breeding phenology + sex + song type + decimal hour + breeding phenology \times sex	15	1334.5	6.19	0.011

Table 1-15s

aggressive context + breeding phenology + sex +				
decimal hour + aggressive context × sex	14	1334.6	6.34	0.010
sex + group composition + song type	7	1334.7	6.41	0.010
aggressive context + breeding phenology + sex + group				
composition + song type + decimal hour + aggressive				
context × sex + breeding phenology × sex (full model)	20	1341.3	13.07	0.000
null model	4	1364.0	35.75	0.000

Table 1-16s. Post-hoc results from the best model to explain variation in latency to answer partner's initiated songs (LMM).

	$\beta \pm SE$	<i>t</i> value	<i>p</i>
sex (male – female)	-0.56 ± 0.11	5.21	<0.001
decimal hour	0.16 ± 0.06	2.66	
song type (duet – chorus)	0.27 ± 0.15	1.78	0.077
non-breeding – pre-breeding	0.25 ± 0.19	1.31	0.678
non-breeding – fertile	0.48 ± 0.20	2.34	0.134
non-breeding – nesting	0.27 ± 0.17	1.58	0.505
non-breeding – post-breeding	-0.28 ± 0.14	-1.94	0.294
pre-breeding – fertile	0.23 ± 0.24	0.95	0.874
pre-breeding – nesting	0.02 ± 0.21	0.08	1.000
pre-breeding – post-breeding	-0.53 ± 0.20	-2.68	0.061
fertile – nesting	-0.21 ± 0.21	-1.00	0.850
fertile – post-breeding	-0.76 ± 0.20	-3.82	0.002
nesting – post-breeding	-0.54 ± 0.17	-3.27	0.012

Table 1-17s. Modelling comparison for variation in phrase duration in duets (LMM). df = degrees of freedom. wi = weight.

	df	AICc	Δ AICc	wi
breeding phenology + sex	9	3862.2	0.00	0.217
breeding phenology + sex + song type	10	3863.5	1.27	0.115
breeding phenology + sex + group composition	10	3863.8	1.58	0.098
breeding phenology + sex	10	3863.9	1.71	0.092
breeding phenology + sex + song type	11	3865.2	3.00	0.049
breeding phenology + sex + group composition + song type	11	3865.2	3.02	0.048
breeding phenology + sex + group composition	11	3865.5	3.26	0.042
aggressive context + breeding phenology + sex	11	3865.7	3.49	0.038
aggressive context + breeding phenology + sex + aggressive context \times sex	13	3865.7	3.54	0.037
breeding phenology + sex + group composition + song type	12	3866.9	4.72	0.020
aggressive context + breeding phenology + sex + song type	12	3867	4.77	0.020
aggressive context + breeding phenology + sex + song type + aggressive context \times sex	14	3867.1	4.88	0.019
breeding phenology + sex + breeding phenology \times sex	13	3867.1	4.90	0.019
aggressive context + breeding phenology + sex + aggressive context \times sex	14	3867.2	5.02	0.018
aggressive context + breeding phenology + sex	12	3867.2	5.03	0.018
aggressive context + breeding phenology + sex + group composition	12	3867.3	5.07	0.017
aggressive context + breeding phenology + sex + group composition + aggressive context \times sex	14	3867.4	5.20	0.016
breeding phenology + sex + song type + breeding phenology \times sex	14	3868.4	6.19	0.010

Table 1-18s. Post-hoc results from the best model to explain variation in phrase duration in duets (LMM).

	$\beta \pm SE$	<i>t</i> value	p
sex (male – female)	0.95 ± 0.10	-9.34	<0.001
non-breeding – pre-breeding	-1.07 ± 0.26	-4.14	<0.001
non-breeding – fertile	-1.18 ± 0.26	-4.47	<0.001
non-breeding – nesting	-0.54 ± 0.21	-2.54	0.083
non-breeding – post-breeding	-0.32 ± 0.18	-1.79	0.371
pre-breeding – fertile	-0.11 ± 0.33	-0.32	0.998
pre-breeding – nesting	0.54 ± 0.29	1.88	0.326
pre-breeding – post-breeding	0.75 ± 0.26	2.88	0.035
fertile – nesting	0.64 ± 0.27	2.33	0.135
fertile – post-breeding	0.86 ± 0.26	3.25	0.012
nesting – post-breeding	0.22 ± 0.21	1.04	0.831

Table 1-19s. Modelling comparison for variation in duet duration (LMM). df = degrees of freedom. wi = weight.

	df	AICc	AICc	wi
breeding phenology + decimal hour	9	1746.1	0.00	0.256
breeding phenology + song type + decimal hour	10	1747	0.90	0.163
breeding phenology + group composition + decimal hour	10	1748.2	2.07	0.091
breeding phenology	8	1748.5	2.33	0.080
breeding phenology + group composition + song type + decimal hour	11	1749.1	3.00	0.057
breeding phenology + song type	9	1749.3	3.17	0.052
aggressive context + breeding phenology + decimal hour	11	1749.6	3.48	0.045
	4	1750.3	4.14	0.032
breeding phenology + group composition	9	1750.5	4.35	0.029
aggressive context + breeding phenology + song type + decimal hour	12	1750.5	4.41	0.028
decimal hour + decimal hour	5	1751.2	5.06	0.020
breeding phenology + group composition + song type	10	1751.4	5.26	0.018
aggressive context + breeding phenology + group composition + decimal hour	12	1751.7	5.57	0.016
song type	5	1751.7	5.58	0.016
group composition	5	1752.2	6.04	0.012
aggressive context + breeding phenology	10	1752.2	6.11	0.012
song type + decimal hour	6	1752.6	6.49	0.010
aggressive context + breeding phenology + group composition + song type + decimal hour	13	1752.6	6.53	0.010

Table 1-20s. Post-hoc results from the best model to explain variation in duet duration (LMM).

	$\beta \pm SE$	<i>t</i> value	<i>p</i>
decimal hour	0.21 ± 0.10	2.13	
non-breeding – pre-breeding	-0.87 ± 0.31	0.31	0.04
non-breeding – fertile	-0.91 ± 0.33	0.33	0.051
non-breeding – nesting	-0.50 ± 0.26	0.26	0.33
non-breeding – post-breeding	-0.65 ± 0.23	0.23	0.049
pre-breeding – fertile	-0.03 ± 0.40	0.40	1.00
pre-breeding – nesting	0.38 ± 0.34	0.34	0.80
pre-breeding – post-breeding	0.22 ± 0.32	0.32	0.96
fertile – nesting	0.41 ± 0.34	0.34	0.73
fertile – post-breeding	0.26 ± 0.32	0.32	0.92
nesting – post-breeding	-0.15 ± 0.26	0.26	0.98

CAPÍTULO 2

Aggressive responses to playback of solos and duets vary with the presence of juveniles in a Neotropical ovenbird³

³Uma versão deste capítulo será submetida para publicação em *Behavioral Ecology* como “Diniz P, Rech GS, Ribeiro PH, Webster MS & Macedo RH. Aggressive responses to playback of solos and duets vary with the presence of juveniles in a Neotropical ovenbird.”

1 ABSTRACT

2 Duets in breeding pairs may occur because of conflict, as when an individual answers
3 its partner's song to mate guard acoustically, or because of cooperation, as when the
4 individuals share territory defense. The presence of juveniles, however, may affect the
5 relative cost for territorial adults of either losing a partner or losing a territory, thus
6 affecting how duetting functions. We studied the rufous hornero (*Furnarius rufus*), a
7 socially monogamous, sedentary species with delayed juvenile dispersal. We exposed
8 social pairs with juveniles (groups) and without juveniles (pairs) during the non-
9 breeding season to playbacks of duets, male solos, female solos, and control
10 heterospecific songs. Overall, partners were equivalent and coordinated in their
11 aggressive responses to all conspecific stimuli, especially duets, indicating that the
12 sexes cooperate to defend common territories when duetting. However, birds in pairs
13 responded more strongly to conspecific solos than they did to duets, whereas birds in
14 groups responded more strongly to duets than to solos, particularly male solos. In
15 addition, birds in groups responded more strongly to duets than did birds in pairs. Our
16 results suggest that territory defense is the primary function of duetting for the rufous
17 hornero, but also that duetting seems to work as a mutual mate guarding strategy for
18 birds living in pairs. Our study reveals that aggressive response to solos and duets can
19 indeed vary with group structure and this should be considered in future studies.

20

21 *Keywords:* duetting, female song, delayed juvenile dispersal, joint territory defense,
22 mutual-mate guarding, territoriality, suboscine, *Furnarius rufus*.

23 INTRODUCTION

24 Duets are coordinated vocal displays normally performed by breeding partners
25 (Farabaugh 1983). Duetting behaviour has been intensively studied in the last two
26 decades, but there is still no consensus regarding the adaptive function(s) of this
27 singing behaviour (reviews in Hall 2004, 2009). Duetting may be driven by sexual
28 cooperation (Hall, 2009; Logue, 2005), conflict (Tobias & Seddon, 2009) or both (Grafe
29 & Bitz, 2004), and can mediate communication between partners (Logue, 2007) or be
30 targeted at an external audience (neighbors, strangers) (Hall, 2004). Although several
31 non-mutually exclusive hypotheses have been proposed to explain the function of
32 duets (Hall, 2004), two have received the most attention (Dahlin & Benedict, 2013;
33 Hall, 2009): the joint territory defense (Robinson, 1949) and acoustic mate guarding
34 hypotheses (Rogers, Langmore, & Mulder, 2006; Seddon & Tobias, 2006).

35 The territory defense hypothesis proposes that partners duet cooperatively to
36 establish, maintain or defend common resources or territories (Bradley & Mennill,
37 2009; Seddon & Tobias, 2003). In this case, duets represent a stronger territorial signal
38 than do solo songs, for example due to a numeric advantage or a quality signal arising
39 from song synchronization (Hall & Magrath, 2007; Kovach, Hall, Vehrencamp, &
40 Mennill, 2014). The mate guarding hypotheses, on the other hand, suggest that duets
41 may arise from conflict between the mated partners, as when an individual answers its
42 partner's song in an attempt to acoustically mate guard, for example by intimidating
43 rivals or discouraging the partner from pursuing extra-pair mates (Rogers et al. 2006,
44 Seddon and Tobias 2006, Tobias and Seddon 2009). Alternatively, mate guarding can
45 also occur if divorce is costly for both partners (Choudhury, 1995), and they have a
46 common interest in maintaining the pair bond (Griggio & Hoi, 2011; van den Heuvel,
47 Cherry, & Klump, 2014). In this case, duets can be used to safeguard the pairbond
48 itself, as suggested by the mutual mate guarding hypothesis (Sonnenschein and Reyer
49 1983; Grafe and Bitz 2004; Hall 2009; van den Heuvel et al. 2014).

50 Researchers have tested these hypotheses through playback experiments,
51 comparing individual aggressive responses towards simulated individual (solos) versus
52 pair intruders (duets) (Douglas & Mennill, 2010). If duet functions in defense of a joint

53 territory, one would expect a stronger and more highly coordinated response to
54 playbacks of duets than to playbacks of solos (Douglas & Mennill, 2010), or at the very
55 least, an equivalently aggressive response to playbacks of duets and solos (Benedict,
56 2010). Territory defense may be sex-specific (Hall, 2009), when opposite-sex intrusions
57 are less threatening than same-sex and pair simulated intrusions, and duetting
58 facilitates partner division of labor in territory defense (Christopher N. Templeton,
59 Rivera-Cáceres, Mann, & Slater, 2011). In contrast, if duet functions in guarding a
60 mate, one would predict a stronger albeit poorly coordinated response toward same-
61 sex solos and a weaker response to opposite-sex solos (Rogers et al., 2006; Seddon &
62 Tobias, 2006). Finally, if duet functions in mutual mate guarding, a stronger and highly
63 coordinated response to solos versus duets would be expected, assuming that solos
64 would be a greater threat to the partnership than duets (Templeton et al., 2011).

65 Comparative and empirical studies provide strong support for the joint territory
66 defense hypothesis. However, recent studies indicate that duets may have multiple
67 adaptive functions (Benedict, 2010; Dahlin & Benedict, 2013; Grafe & Bitz, 2004;
68 Mennill & Vehrencamp, 2008), revealing that 65% of the studied species have
69 multifunctional duets, of which more than 20% are both cooperative and conflict-
70 based (Dahlin & Benedict, 2013). For instance, in red-backed fairy-wrens (*Malurus*
71 *melanocephalus*), seasonal patterns of duetting and stronger responses to playbacks of
72 duets than solos support the joint territory defense hypothesis (Dowling & Webster,
73 2013; Dowling & Webster, 2016), but unattractive males in this species also answer
74 partner songs to acoustically guard paternity (Dowling & Webster, 2017). The possible
75 multifunctional role of duetting demands investigation in multiple contexts to better
76 understand duet function (Dahlin & Benedict, 2013).

77 Previous studies suggest that duet function can vary between aggressive and non-
78 aggressive contexts in the same species (Benedict, 2010; Mennill & Vehrencamp,
79 2008). However, to date no study has assessed variation in duet function in an
80 aggressive context but under varying social circumstances. We suggest that
81 reproductive and social context may influence the relative cost of losing a partner
82 versus losing a territory. For instance, mate guarding may be less necessary during the
83 non-breeding season than during the pre-breeding or breeding seasons, whereas

84 territory defense may be important year round or mainly in the pre-breeding season
85 (Topp & Mennill, 2008). Thus, duet function may vary between breeding and non-
86 breeding seasons (Topp & Mennill, 2008).

87 Similarly, one of the possible social contexts that may be relevant to duetting
88 behavior is group structure. We hypothesized that the relative cost of losing a territory
89 versus a partner should be higher for pairs with juveniles (hereafter “groups”) than for
90 those without juveniles (hereafter “pairs”), because territory loss may compromise
91 both juvenile and adult fitness. Therefore, we predict a stronger response to playbacks
92 of duets than to solos for birds in groups compared with birds in pairs. We assume that
93 simulated pair intrusions represent a bigger threat to territory tenure than do solo
94 intrusions, whereas simulated solo intrusions are more threatening to the pair bond
95 than pair intrusions. In addition, the presence of juveniles may reflect other
96 mechanisms driving the relative cost of losing a territory versus a partner. For instance,
97 in year-round territorial species, the presence or absence of juveniles may indicate
98 variation in breeding failure among pairs, which in turn can affect sexual conflict,
99 divorce intention (Culina, Radersma, & Sheldon, 2014; Ens, Safriel, & Harris, 1993) and
100 possibly, duet function.

101 In birds, duetting is evolutionarily related to cooperative breeding (Tobias et al.,
102 2016), and delayed juvenile dispersal is common in socially monogamous, duetting
103 species (Gill & Stutchbury, 2010; Tarwater & Brawn, 2010), allowing us to study the
104 effects of variation in group size and structure on duet function. We performed a
105 single-speaker playback experiment focusing on the rufous hornero (*Furnarius rufus*), a
106 socially monogamous, duetting Neotropical bird. We broadcasted four treatments (i.e.,
107 duet, female solo, male solo and a heterospecific control) to each social unit and
108 scored behavioural and vocal responses, as well as the coordination between partners
109 in playback response. We addressed two questions in this study: (1) Do the sexes
110 respond differently to the simulated intrusion of solos (i.e., individual birds) versus
111 duets (i.e., paired birds)? and (2) Does the relative threat of duets and solos to adults
112 vary with group structure (pairs versus groups)? We investigated key predictions for
113 duet function relative to the relevant hypotheses (Table 2-1).

114 METHODS

115 *Study subjects and area*

116 The rufous hornero (suboscine, Furnariidae) is a ground foraging species inhabiting
117 disturbed open habitats across southern South America (Remsen & Bonan, 2016; Sick,
118 2001). They live in year-round territories and breed seasonally in domed nests (Fraga,
119 1980; Shibuya, Braga, & Roper, 2015). Both parents contribute equally to parental care
120 (Massoni, Reboreda, López, & Aldatz, 2012). These birds often sing two sex-specific
121 song types that can be coordinated in duets (Amador, Trevisan, & Mindlin, 2005; Laje
122 & Mindlin, 2003; Roper, 2005) and a few variations of these song types (Figure 2-1; P
123 Diniz, unpublished data). This species is a good model to test variation in playback
124 response with group structure, because juveniles delay dispersal, staying in their
125 parents' territories for four to nine months (Fraga, 1980). Juveniles rarely initiate a
126 song but can join parent-initiated songs.

127 We studied 16 territorial social units (10 groups, 6 pairs) of the rufous hornero
128 from an urban, partially banded population on the campus of the University of Brasilia,
129 Brasilia, Brazil (15°45' S, 47° 51' O). We carried out a playback experiment in the field
130 from January to April, 2014, which corresponds to the first half of the non-breeding
131 season (Fraga, 1980; Shibuya et al., 2015). Studied adults were molecularly sexed ($N =$
132 30) or had their sexes assigned based on their partner's sex ($N = 2$). Banding and
133 trapping procedures are described in Diniz et al. (2016).

134 The 16 studied social units varied from two to five individuals when the experiment
135 started (mean \pm SD = 3.06 ± 1.06), and only four juveniles, from two groups, had been
136 banded. We are confident that all unbanded juveniles hatched in the previous
137 breeding season due to their distinctive juvenile morphology (black and short bill,
138 slender body and light plumage coloration; Fraga 1980, Diniz pers. obs.). Social unit
139 size remained stable during the experiment, except for three units, in which juveniles
140 were absent during part of the playback trials, probably due to short-term movements
141 across territories.

142 *Playback stimuli*

143 The rufous hornero emits only 5.38 ± 3.52 songs/h (mean \pm SD, $N = 161$ trials; P
144 Diniz, unpublished data). Thus, we used playbacks of only one stimulus per treatment
145 per social group. We recorded non-playback induced songs of sexed adults from the
146 studied population to make the conspecific playback stimuli. These recordings were
147 made with a Marantz PMD660 recorder (settings: WAVE, 48kHz sampling rate, 24-bits
148 accuracy) and a Sennheiser ME66 microphone up to six hours after sunrise, from
149 August 2013 to January 2014. We recorded 59 solos from 23 adults and 15 social units,
150 137 duets from 18 social units and 34 choruses from 12 social units.

151 We selected 15 high-quality conspecific recordings (five for each treatment) to
152 make the conspecific stimuli. Each conspecific playback stimulus selected for the
153 experiment came from a social pair other than the focal individuals. We used songs
154 from a syntopic and duetting species, the great kiskadee (*Pitangus sulphuratus*), as a
155 heterospecific control in our playback experiment. Both species are suboscines,
156 abundant (Jebai et al., 2009) and sedentary in our study area. We recorded four high
157 quality great kiskadee songs (solos and duets) from birds of non-contiguous territories
158 (>200m apart) in our study site, and used an additional recording made in a nearby
159 area (27km from the study site, recording: Song Meter SM2, settings: WAVE format,
160 sampling rate = 44.1kHz, 16-bits accuracy). Thus, we prevented a possible confounding
161 effect of stranger sounds on playback response (Searcy, Nowicki, & Hughes, 1997).

162 We created each playback stimulus in three steps using Raven Pro 1.5 and
163 Audacity: (i) filtering low-frequency (<500Hz), (ii) normalizing the maximum amplitude
164 of each signal (-1.0 dB), and (iii) adding a silent period of 10s before and after each
165 signal. We stored the stimuli in WAVE 16-bits accuracy. Mean signal duration was 5.55
166 ± 2.01 s (SD) across playback stimulus (range = 2.20 – 9.55s). We repeated the same
167 stimulus 2-4 times for each bird unit (mean \pm SD = 3.2 ± 0.77 playback trials/stimuli),
168 and analyzed data with mixed models (see Statistical analyses) to deal with
169 pseudoreplication of playback stimuli (McGregor, 2000).

170 *Playback experiment*

171 We played back the four stimuli (heterospecific control, male solo, female solo and
172 duet) to each studied social group in non-consecutive days (mean \pm SD = 4.31 ± 3.36
173 day-intervals; $N = 52$ intervals) to prevent habituation (Harris & Haskell, 2013). All
174 playback trials were carried out 1.89 ± 1.14 hours after sunrise (mean \pm SD, $N = 64$
175 trials). We non-systematically chose the broadcast order of the four stimuli for each
176 group, repeating only two out of 24 possible order combinations. The stimulus for each
177 treatment broadcast at each trial was picked at random. We made sure each stimulus
178 did not come from neighbors (<500m or <5 consecutive territories apart) to avoid
179 neighbor-stranger effects on playback response (Radford, 2005; Wiley, 2013).

180 To broadcast each stimulus, we placed one speaker (TSI II 1210) on the nest
181 substrate (i.e. tree or light pole), given that intruders may sing on the nest substrate of
182 territorial birds in nature (Diniz, pers. obs.). Moreover, we wanted to make sure birds
183 would hear the broadcast. Our rufous hornero population lives in a noisy environment,
184 and partners defend small territories (~2ha) but seem to spend most of their time near
185 the nest substrate (Diniz, pers. obs.).

186 We did not use stereo or dual duet playback (Douglas & Mennill, 2010), because
187 males and females overlap phrases in duets in the same frequency range (Figure 2-1),
188 thus we could not extract male and female song contributions (Hall & Peters, 2008). In
189 addition, single and stereo speaker playback may elicit similar playback responses in
190 the rufous horneros, because males and females normally coordinate songs when they
191 are very close to each other (median distance = 0.76m, $N = 22$ social units and 138
192 duets plus choruses, P. Diniz, unpublished data).

193 We attached the speaker to a metal rod at an approximate height of 5m, which
194 corresponds to the average height that rufous horneros sing in our population (P Diniz,
195 unpublished data). We positioned the speaker parallel to and facing the ground (birds
196 forage on the ground), and attached the metal rod to the nest substrate. Rufous
197 horneros sing duets at approximately 92 dB maximum amplitude (estimated for 1 m
198 distance from the bird) in the field (91.82 ± 2.63 , $N = 10$ pairs; P Diniz, unpublished
199 data) as measured by a sound level meter (model SEW 2310SL) at 20.99 ± 7.96 m from

200 the birds ($N = 20$) (distance effects on amplitude corrected according to van den
201 Heuvel, Cherry, & Klump, 2013). Therefore, we calibrated the speaker volume in silent
202 conditions to broadcast the stimulus at 92 dB maximum sound level at 1 m from the
203 speaker. Finally, we connected the speaker to a cellphone with a 30m cable, and
204 triggered the stimulus with a WAVE player application (Rocket Player) when both focal
205 adults were within 60m of the speaker.

206 After broadcasting each playback stimulus, two or more observers (mean \pm SD =
207 2.72 ± 0.55 , range = 2–4, $N = 64$ trials) recorded adult behaviour and their songs during
208 15 min (recording apparatus: Marantz PMD660 recorder, Sennheiser ME66
209 microphone). We were able to track the birds for $92 \pm 18\%$ of each focal period (mean
210 \pm SD; $N = 128$ trackings x birds). After finishing each trial, we used a measuring tape to
211 estimate the spatial position, movement and specific behaviours of birds, which
212 occurred in response to the playback.

213 *Playback response*

214 Rufous horneros normally respond to conspecific playbacks by approaching and
215 perching high on the speaker substrate (tree or light pole) instead of approaching the
216 speaker itself or branches close to it, which is a typical response for many birds (Hall
217 2000, Rogers et al. 2006, Dahlin and Wright 2012, Funghi et al. 2015). Rufous horneros
218 then usually sing once and then do not sing again for 5.63 ± 3.81 min (mean \pm SD, $N =$
219 110) after the playback. Therefore, we chose playback response variables based on the
220 unusual playback response of this species. We only estimated playback response from
221 adult birds.

222 Regarding bird movement responses, we measured: (1) the closest horizontal
223 distance of the bird to the speaker after its first movement toward the playback; (2)
224 the horizontal distance travelled by the bird during the first approach to the speaker;
225 (3) the height of each bird after the first approach to the speaker; and (4) the pre-
226 playback height of each bird. We measured (1), (2), (3), and (4) for, respectively, 98%,
227 99%, 89% and 95% of occurrences ($N = 96$ birds x trials). We combined these variables
228 and used the Pythagorean theorem to estimate the real distance travelled by each bird
229 during the first approach to the speaker, and the real post-playback distance between

230 each bird and the speaker (“closest approach”, hereafter). Distance travelled did not
231 vary among playback treatments (ANOVA, females, $F_{2,35} = 1.14$, $P = 0.33$, males, $F_{2,39} =$
232 0.70 , $P = 0.50$).

233 Time budgets were mainly composed of territorial vigilance and foraging. We
234 considered as ‘territorial vigilance’ the behaviours where the bird was perched,
235 scanning or singing, relatively immobile or moving among perches in the same
236 substrate (see Tobias and Seddon 2000). Birds often sang at the beginning of a
237 territorial vigilance bout (Diniz, pers. obs.). We considered as ‘foraging’ the behaviours
238 where the bird was on the ground searching for or capturing prey, even when
239 alternating these activities with short vigilant bouts. We combined territorial vigilance,
240 foraging and spatial behaviour to estimate behavioural response variables (Table 2-2).

241 We selected and quantified songs emitted by each bird and assigned the singing
242 role (initiator or responder) for each song. We did not quantify song answering rate
243 (Hall & Peters, 2008; Logue, 2005; Logue & Krupp, 2016; van den Heuvel et al., 2013)
244 since the birds emitted only 1.89 ± 1.10 songs/trial (mean \pm SD, $N = 122$ songs, 61
245 trials). We classified as song initiator the bird that started a song relative to its partner
246 (Hall & Peters, 2008), regardless of whether it was answered (i.e. duets or chorus) or
247 not (i.e. solos) by its partner. The song responder was the bird that sang after its
248 partner had sung, thus creating a duet or chorus (Logue & Krupp, 2016).

249 We analyzed vocal behaviour data using Raven Pro 1.5 and acoustic measurements
250 with R (warbleR package, Araya-Salas and Smith-Vidaurre 2016). All acoustic
251 measurements were taken from filtered recordings (<500 kHz deleted; spectrogram
252 window length = 1024, amplitude threshold = 15%). We measured song duration for all
253 songs at the individual level: solos and each contribution to a duet or chorus. We took
254 frequency measurements only for duets between partners, because few playback
255 responses were solos, or chorus and duets involving a juvenile. We measured mean
256 and median frequencies, first and third quantiles, interquantile range, spectral entropy
257 and frequency centroid (see Araya-Salas and Smith-Vidaurre 2016 for details). We
258 combined these frequency measurements with a principal component analysis (PCA,
259 Quinn and Keough 2002) and extracted scores for the first two principal components

260 (Table 2-3s). Finally, we measured the latency to answer partner-initiated song and
261 degree of song overlap (Table 2-2).

262 *Statistical analyses*

263 We analyzed the effects of playback treatments on approach response of each sex
264 with Fisher's exact test (pooled data from different individuals) using Past 3.14. We
265 analyzed the remaining playback response data with linear mixed models (LMM) or
266 generalized linear mixed models (GLMM) in R (Table 2-4s). We did not use principal
267 component analyses (PCA) to reduce the number of response variables (McGregor,
268 1992) for two reasons: our response variables differ in sample size, and we would lose
269 power by combining variables; and not all of our response variables are normally
270 distributed, making them inappropriate for PCAs (Quinn and Keough 2002).

271 We included main effects and interaction playback treatment versus social unit
272 type (pairs vs groups) in all models, and main effects and interaction playback
273 treatment versus sex in all models at the individual level. We included the order of the
274 playback stimulus (e.g. third) and the time that the trial began (measured in hours
275 after sunrise) as covariates in all models. All continuous variables were scaled to obtain
276 comparable β estimates (Zuur, Hilbe, & Ieno, 2013). We added random factors, such as
277 stimulus identity, group and individual identities, and additional predictors to model
278 specific response variables (Table 2-4s).

279 We also analyzed how the coordination between partners in responses to playback
280 (closest approach, territorial vigilance, song rate and phrase duration) was influenced
281 by playback treatments. We used the same modelling approach described above, but
282 with female playback response as the response variable, and the interaction between
283 playback treatment and the correspondent male playback response as fixed effects.
284 The structure of random terms was changed accordingly.

285 We applied backward stepwise model selection to choose the top-fitted model. We
286 verified the significance of predictors with likelihood-ratio tests (LRT), keeping the
287 random terms in all models (Zuur, Ieno, Walker, Saveliev, & Smith, 2009). Once we
288 found a significant result in the top-fitted model, we applied post-hoc tests using the
289 packages "lsmeans" and "multcomp" (Hothorn, Bretz, & Westfall, 2008; Lenth, 2015).

290 The number of response variables in each dataset was used to adjust p-values given by
291 LRT relative to variables of interest retained in the top models. We used false discovery
292 rate as the p-value adjusting method for multiple post-hoc tests and LRTs (Benjamini &
293 Hochberg, 1995).

294 To model time spent in territorial vigilance, we did not consider the playback trials
295 where the bird was absent for more than 50% of the time ($N = 6$ out of 128 cases,
296 4.69%). The proportion of time spent foraging was not included in the analyses due to
297 a high correlation with the proportion of time spent in territorial vigilance ($r_p = -0.96$, P
298 < 0.0001 , $N = 120$ trials). To model latency to sing, we did not correct for the distance
299 between the bird's positions before and after the playback, because there was no
300 relationship between these variables in a pre-modelling scenario ($\chi^2 = 0.86$, $P = 0.35$).
301 Outliers were identified by boxplot inspections (Zuur et al., 2009) and removed before
302 analyzing variation in song duration ($N = 2$) and in the correlation analysis in song
303 duration between partners ($N = 1$).

304 *Ethical note*

305 This study was approved by the Brazilian environmental agencies 'Instituto Chico
306 Mendes de Conservação da Biodiversidade – ICMBio' (ICMBio, licence number 40806–
307 1) and 'Centro Nacional de Pesquisa para Conservação das Aves Silvestres – CEMAVE'
308 (licence number 3886). Banding and trapping procedures were conducted as quickly as
309 possible, no bird abandoned its nest or territory after banding procedures, and
310 normally resumed foraging or incubation activities within 10 min. We played back only
311 three conspecific song stimuli to each study social unit, each song broadcast lasted
312 less than 10s and song stimuli were broadcast in non-consecutive days. Bird often
313 returned to normal activities (foraging, nest building) within 15 min, and no bird
314 abandoned its territory after the experiment. Thus, we believe our playback design
315 generated minimum disturbance to birds.

316 RESULTS

317 *Behavioral responses*

318 Both males and females approached the speaker in response to nearly all
319 conspecific playbacks (females in 98%, and males in 96% of the 48 trials), and no bird
320 approached the speaker after heterospecific controls (Fisher's exact test, both sexes, p
321 < 0.0001). Closest approach did not vary between sexes (LMM: sex: $\chi^2 = 3.05$, $df = 1$, P
322 $= 0.08$, $N = 48$ trials), but was affected by the interaction between playback treatment
323 and social unit type (LMM: $\chi^2 = 11.02$, degrees of freedom [df] = 2, $P = 0.004$, $N = 48$
324 trials; Figure 2-2A). Adults in groups approached closer the speaker than did adults in
325 pairs in response to playbacks of duets ($\beta \pm SE = -0.94 \pm 0.39$, $t = 2.41$, $P = 0.020$), but
326 not to conspecific solos (female solos: $\beta \pm SE = 0.26 \pm 0.38$, $t = 0.69$, $P = 0.49$; male
327 solos: $\beta \pm SE = -0.18 \pm 0.37$, $t = 0.47$, $P = 0.64$). Adults in pairs approached the speaker
328 more closely in response to both female ($\beta \pm SE = -0.84 \pm 0.29$, $t = 2.93$, $P = 0.035$) and
329 male solos ($\beta \pm SE = -0.69 \pm 0.28$, $t = 2.42$, $P = 0.047$) in comparison with the duet
330 playback (Figure 2-2A). In contrast, adults in groups approached the speaker more
331 closely in response to playbacks of female solos ($\beta \pm SE = -0.59 \pm 0.21$, $t = 2.76$, $P =$
332 0.048), and tended (though nonsignificantly) to approach more closely in response to
333 playbacks of duets ($\beta \pm SE = -0.43 \pm 0.21$, $t = 2.10$, $P = 0.083$; Figure 2-2A), than in
334 response to playbacks of male solos.

335 Birds often sang after approaching the speaker (females in 90%, and males in 92%
336 of 48 trials). Both sexes sang more often at the nest substrate in response to duet
337 playbacks compared with the heterospecific control (GLMM: treatment: $\chi^2 = 18.34$, df
338 $= 3$, $P < 0.001$, $N = 59$ trials; duet \times heterospecific control: $\beta \pm SE = 10.45 \pm 3.63$, $z =$
339 2.88 , $P = 0.024$) and, less noticeably (tendencies), when compared with female (duet \times
340 female solo: $\beta \pm SE = 6.84 \pm 2.95$, $z = 2.32$, $P = 0.06$) and male solos (duet \times male solo: β
341 $\pm SE = 4.61 \pm 2.43$, $z = 1.90$, $P = 0.087$; Figure 2-2B). Adults also tended to sing more at
342 the nest substrate in response to male solos than to the heterospecific control ($\beta \pm SE$
343 $= 5.83 \pm 2.81$, $z = 2.08$, $P = 0.076$; Figure 2-2B). Singing location did not vary with sex

344 (GLMM: $\chi^2 = 1.95$, $P = 0.16$, $df = 1$, $N = 59$ trials) or social unit type (GLMM: $\chi^2 = 1.10$, P
345 $= 0.31$, $df = 1$, $N = 59$ trials).

346 The time spent in territorial vigilance by adult birds varied with treatment
347 combined with social unit type (LMM: treatment \times social unit type: $\chi^2 = 10.83$, $df = 3$, P
348 $= 0.013$, $N = 63$ trials; Figure 2-2C). Adults in groups spent more time in vigilance than
349 adults in pairs in response to duets ($\beta \pm SE = 0.50 \pm 0.20$, $t = 2.52$, $P = 0.014$), but not in
350 response to other playback treatments (all $\beta < 0.24$, $t < 1.32$, $P > 0.19$). Adults in pairs
351 tended to spend more time in vigilance in response to female solos compared with all
352 the other playback treatments (control: $\beta \pm SE = 0.57 \pm 0.21$, $t = 2.74$, $P = 0.069$; duet: β
353 $\pm SE = 0.44 \pm 0.22$, $t = 2.07$, $P = 0.099$; male solo: $\beta \pm SE = 0.50 \pm 0.21$, $t = 2.07$, $P =$
354 0.099 ; Figure 2-2C). In contrast, adults spent more time in vigilance in response to
355 duets ($\beta \pm SE = 0.70 \pm 0.17$, $t = 4.01$, $P = 0.003$) and, less noticeably (tendency), to
356 female solos ($\beta \pm SE = 0.41 \pm 0.18$, $t = 2.32$, $P = 0.059$) than in response to
357 heterospecific playbacks. The latency to resume foraging after the playback was not
358 influenced by sex (LMM: $\chi^2 = 0.45$, $df = 1$, $P = 0.50$, $N = 33$ trials), playback treatment
359 (LMM: $\chi^2 = 0.26$, $df = 2$, $P = 0.88$, $N = 33$ trials) or social unit type (LMM: $\chi^2 = 1.00$, $df =$
360 1 , $P = 0.32$, $N = 33$ trials).

361 *Vocal responses*

362 Latency to sing also was affected by playback treatment interacted with social unit
363 type (LMM: playback treatment \times social unit type: $\chi^2 = 12.32$, $df = 3$, $P = 0.006$, $N = 61$
364 trials; Figure 2-2D). As expected, birds in pairs and groups started to sing more quickly
365 after conspecific than after heterospecific playbacks (all $\beta < -2.66$, $t > 10.84$, $P <$
366 0.0001), regardless of sex (LMM: playback treatment \times sex: $\chi^2 = 0.23$, $df = 3$, $P = 0.97$, N
367 $= 61$ trials). However, birds in pairs exhibited a longer latency to sing after the playback
368 of duets ($\beta \pm SE = 1.08 \pm 0.40$, $t = 2.66$, $P = 0.011$) and female solo songs ($\beta \pm SE = 0.93 \pm$
369 0.36 , $t = 2.55$, $P = 0.014$) compared with birds in groups. In addition, adults in pairs
370 started to sing more quickly after the playback of male solos than after the playback of
371 duets ($\beta \pm SE = -1.20 \pm 0.36$, $t = 3.31$, $P = 0.005$) and female solos ($\beta \pm SE = -1.08 \pm$
372 0.33 , $t = 3.25$, $P = 0.005$). However, adults in groups started to sing quickly in response

373 to all conspecific playbacks ($\beta \pm SE < 0.17$, $t < 0.64$, $P < 0.72$; Figure 2-2D). The latency
374 to sing did not vary between the sexes (LMM: $\chi^2 = 0.43$, $df = 1$, $P = 0.51$, $N = 61$).

375 Partners coordinated most of their songs into duets (78%, $N = 130$ songs). Birds
376 sang at a higher rate after conspecific than after heterospecific playbacks (GLMM:
377 playback treatment: $\chi^2 = 13.74$, $df = 3$, $P = 0.0033$, $N = 64$ trials; all $\beta < -0.60$, $z < -2.82$,
378 $P < 0.004$; Figure 2-2E), regardless of sex (GLMM: playback treatment \times sex: $\chi^2 = 0.86$,
379 $df = 3$, $P = 0.84$, $N = 64$ trials) or social unit type (GLMM: playback treatment \times social
380 unit type: $\chi^2 = 3.82$, $df = 3$, $P = 0.28$, $N = 64$ trials). The singing role (song initiator versus
381 song responder) differed between the sexes (GLMM: $\chi^2 = 7.35$, $df = 1$, $P = 0.0067$, $N =$
382 61 trials), but was not influenced by playback treatment (GLMM: $\chi^2 = 0.50$, $df = 3$, $P =$
383 0.92 , $N = 61$ trials, 130 songs) or social unit type (GLMM: $\chi^2 = 0.03$, $df = 1$, $P = 0.87$, $N =$
384 61 trials, 130 songs). The probability of initiating a song was higher for males than for
385 females ($\beta \pm SE = 1.10 \pm 0.39$, $z = 2.85$, $P = 0.004$), such that most (62.75 %, $N = 102$
386 duets) duets were the result of females responding to male songs.

387 Latency to answer partner-initiated songs tended to be lower in response to
388 conspecific songs than to heterospecific songs, though not significantly (LMM:
389 playback treatment: $\chi^2 = 6.32$, $df = 3$, $P = 0.097$, $N = 62$ trials, 101 songs). Males
390 answered partner-initiated songs more quickly than did females (LMM: $\chi^2 = 5.35$, $df =$
391 1 , $P = 0.02$, $N = 62$ trials, 101 songs; $\beta \pm SE = 0.53 \pm 0.23$, $t = 2.34$, $P = 0.034$), regardless
392 of playback treatment (LMM: playback treatment \times sex: $\chi^2 = 3.79$, $df = 3$, $P = 0.29$, $N =$
393 62 trials, 101 songs) and social unit type (LMM: social unit type: $\chi^2 = 1.91$, $df = 1$, $P =$
394 0.28 , $N = 62$ trials, 101 songs). Males also sang longer songs than did females (LMM: χ^2
395 $= 6.29$, $df = 1$, $P = 0.01$, $N = 61$ trials, 121 songs; $\beta \pm SE = 0.53 \pm 0.21$, $t = 2.50$, $P =$
396 0.025). Both sexes tended to emit longer songs in response to duets ($\beta \pm SE = 1.03 \pm$
397 0.41 , $t = 2.53$, $P = 0.15$) and male solos ($\beta \pm SE = 0.92 \pm 0.41$, $t = 2.24$, $P = 0.15$) in
398 comparison to the heterospecific control, though the difference was marginally
399 nonsignificant (LMM: treatment: $\chi^2 = 7.76$, $df = 3$, adjusted $P = 0.051$, $N = 61$ trials, 121
400 songs) (Figure 2-2F). Frequency measurements of duets produced by pairs, taken from
401 spectrograms, were not affected by playback treatment (LMM, PC1, $\chi^2 = 0.64$, $df = 3$, P
402 $= 0.89$; PC2, $\chi^2 = 0.24$, $df = 3$, $P = 0.97$, $N = 50$ trials, 73 duets) or social unit type (LMM,
403 PC1, $\chi^2 = 0.09$, $df = 1$, $P = 0.76$; PC2, $\chi^2 = 0.03$, $df = 1$, $P = 0.87$, $N = 50$ trials, 73 duets).

404 *Coordination between partners*

405 The degree of overlap between male and female song contributions in duets or
406 chorus did not vary with playback treatment (LMM: $\chi^2 = 3.74$, $df = 3$, $P = 0.29$, $N = 61$
407 trials, 100 duets) or social unit type (LMM: $\chi^2 = 0.22$, $df = 1$, $P = 0.64$, $N = 61$ trials, 100
408 duets). Female and male closest approaches to the speaker were positively correlated
409 within pairs ($r_p = 0.82$, $P < 0.0001$, $N = 41$ trials), indicating that partners stay very close
410 to each other after the playback. However, this relation tended to be somewhat
411 stronger in response to duet playbacks (LMM: playback treatment \times partner's closest
412 approach: $\chi^2 = 5.17$, $df = 2$, adjusted $P = 0.075$, $N = 41$ trials; $r_p = 1.00$, $P < 0.0001$, $N = 12$
413 trials) compared with female ($r_p = 0.89$, $P < 0.0001$, $N = 14$ trials) and male solos ($r_p =$
414 0.61 , $P = 0.01$, $N = 15$ trials, Figure 2-3A). The time spent in territorial vigilance was
415 positively correlated between partners ($r_p = 0.79$, $P < 0.0001$, $N = 59$ trials), but this
416 correlation was not influenced by playback treatment ($\chi^2 = 5.42$, $df = 3$, $P = 0.14$, $N = 59$
417 trials). The same pattern of positive correlation between partners was found for song
418 rate ($r_p = 0.72$, $P < 0.0001$, $N = 64$ trials) regardless of playback treatment (GLMM:
419 playback treatment \times partner's song rate, $\chi^2 = 1.67$, $df = 3$, $P = 0.64$, $N = 64$ trials).
420 Finally, the correlation between partners in song duration peaked in response to
421 female solos ($r_p = 0.76$, $P < 0.0001$, $N = 29$ duets) and duets ($r_p = 0.56$, $P = 0.0009$, $N = 32$
422 duets; $\chi^2 = 8.37$, $df = 3$, adjusted $P = 0.052$), but did not occur in response to playbacks
423 of male solos ($r_p = 0.23$, $P = 0.27$, $N = 25$ duets). Model selection steps in Table 2-5s, and
424 detailed sample sizes in Table 2-8s.

425 DISCUSSION

426 Rufous hornero partners converge remarkably in their playback responses, so that
427 both sexes typically approach the speaker and duet in response to the majority of
428 conspecific playbacks. Playbacks of conspecific songs induced an equivalently
429 aggressive response of territorial females and males in all nine individual-level
430 categories of responses evaluated (Table 2-9s). The probability of initiating a song or
431 answering a partner's song did not differ among playback treatments, but the
432 promptness in song answering was high in response to conspecific songs. We also
433 found a strong correlation between the sexes in several physical and vocal behavioural

434 traits across both aggressive and non-aggressive (i.e. control playback) contexts,
435 indicating higher inter- social unit variation in territorial and vocal behaviours. Taken
436 together, these results suggest that: (i) duetting plays a role in the communication
437 among social units, (ii) both individuals are committed to the pair unit (Templeton,
438 Rios-Chelen, Quiros-Guerrero, Mann, & Slater, 2013; Wickler, 1980), (iii) solos and
439 duets have similar functions in aggressive contexts (Langmore, 1998), and (iv) partners
440 coordinate aggression directed toward intruders (Hall & Peters, 2008).

441 A high degree of convergence and coordination between the sexes in playback
442 responses has been found for a few other species (Benedict, 2010; Dahlin & Wright,
443 2007; Hall & Peters, 2008). For example, in yellow-naped amazons (*Amazona*
444 *auropalliata*), partners did not differ in the approach behaviour or vocal output (Dahlin
445 & Wright, 2012). In purple-crowned fairy-wrens (*Malurus coronatus*), partners
446 coordinate their approach to the speaker and their vocal output in response to
447 playbacks of duets (Hall & Peters, 2008). However, the majority of studied duetting
448 bird species show some sort of sex-specificity in playback responses (e.g. Levin, 1996;
449 Rogers et al., 2006; Seddon & Tobias, 2006; van den Heuvel et al., 2014). Thus,
450 convergence in response to playbacks is not the usual pattern and deserves further
451 investigation.

452 We evaluated predictions for duetting functional hypotheses of territory defense,
453 mate guarding and their variations (Table 2-1; Table 2-9s). In our study, birds
454 responded most strongly to conspecific songs, especially duets. Specifically, both
455 adults, in both pairs and groups, coordinated their approach to the speaker in
456 response to duets, and sang at higher rates and more often at the nest substrate in
457 response to all the conspecific songs. These results support the widespread hypothesis
458 that duets are a form of cooperation to maintain resources in common territories
459 (Table 2-9s) (Dahlin & Benedict, 2013; Dowling & Webster, 2016; Hall, 2004, 2009; Hall
460 & Peters, 2008; Siefferman & Hill, 2005; Templeton et al., 2011, 2013; Tobias et al.,
461 2016). Intruders singing duets are likely considered greater threats than intruder solos,
462 probably because duets reflect the presence of both pair members, are loud, easy to
463 locate, and may signal quality of each individual or of the pair bond through
464 coordination properties (Dowling & Webster, 2016; Hall, 2009; Kovach et al., 2014).

465 Further studies may evaluate these possibilities to explain the specific duet elements
466 that appear to represent a bigger threat for the rufous hornero.

467 Although our results suggest that the joint territory defense strategy is the main
468 function of duetting in aggressive contexts, adults in pairs differed from adults in
469 groups in key playback responses. Playbacks of duets elicited stronger response from
470 adults in groups compared to adults in pairs, in terms of closest approach to the
471 speaker, time spent in territorial vigilance and latency to sing (Figure 2-2). When
472 comparing responses across playback treatments, birds in pairs approached the
473 speaker more closely in response to both conspecific solos, sang more promptly and
474 spent more time in territorial vigilance after the playback of male solos and female
475 solos, respectively. On the other hand, birds in groups approached the speaker more
476 closely and spent more time in territorial vigilance in response to duets and female
477 solos, and sang more promptly after the playback of all conspecific songs. In sum,
478 adults in pairs appear to be more threatened by simulated solo territorial intrusions by
479 either sex than by simulated pair intrusions, whereas adults in groups were more
480 threatened by simulated pair intrusions than by solo intrusions, particularly male
481 intrusions. In addition, these results suggest that: (i) females use duets to repel all
482 conspecific intruders, but mainly other females and especially when in groups, (ii)
483 males in groups sing promptly to help partners in repelling female intruders (mate
484 guarding to avoid mate injury or replacement), and (iii) mutual mate guarding may
485 apply to birds in pairs (Table 2-9s).

486 Comparing adults in pairs with adults in groups, why would solo intruders be more
487 threatening than pair intruders for adults in pairs, and pair intrusions more threatening
488 than solo intrusions for adults in groups? It is unlikely that lone individuals can invade
489 territories and replace an adult living in a group with independent juveniles, due to
490 simple numeric disadvantage, considering that juveniles can join parents to create
491 choruses (P Diniz, unpublished data) and defend the territory. Thus, lone floaters may
492 invade territories with pairs more often than territories with groups. In addition, if
493 floaters are more interested in replacing one individual than in taking over a territory,
494 pairs may be again more threatened than groups by an individual floater. Our results
495 are consistent with the idea that the relative cost of losing a territory versus losing a

496 mate might be lower for adults in pairs than for adults in groups. However, further
497 investigation on the dynamics of territorial and mate switching in the rufous hornero is
498 needed to evaluate these hypotheses.

499 Males initiated most songs, answered partner-initiated songs more promptly and
500 sang longer phrases in duets than did females in both aggressive and non-aggressive
501 contexts. This suggests that males have a primary role in territory maintenance and
502 defense, and may be additionally under higher pressure to guard their mates. This is
503 particularly interesting because in addition to the cooperative and strongly united
504 responses of rufous hornero partners to all conspecific stimuli, sexual selection may
505 still play a role in song evolution in this species. Male-biased singing effort and
506 answering rates are common among duetting and non-duetting bird species
507 (Catchpole & Slater, 2008; Hall, 2009) and deserve further investigation.

508 In conclusion, we found remarkable cohesion and coordination between partners
509 in playback responses to conspecific songs (especially duets), indicating that partners
510 cooperatively duet to defend common territories. However, we found evidence that
511 the relative threat to adults of territorial intrusions by lone individuals versus pairs
512 varies with the social context, i.e., presence or absence of juveniles. Paired rufous
513 horneros responded more strongly to simulated lone intruders, whereas groups
514 responded more strongly to simulated female and pair intruders, suggesting that pairs
515 also use duets to defend the pair bond (i.e. mutual mate-guarding) in addition to the
516 primary duet function of joint territory defense.

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- 714

TABLES

Table 2-1. Predicted response to playbacks of solos and duets according to the main hypotheses for duet function (modified from van den Heuvel et al. 2013).

Hypotheses	Territory defense		Mate guarding		
	Joint	Sex-specific	Unilateral	Avoid mate replacement / injury	Mutual
Strongest response to:	duet/conspecific songs	duet or duet & same-sex solo	same-sex solo	opposite-sex solo	solos
Weakest response to:	solos or none	opposite-sex solo	opposite-sex solo	same-sex solo	duet
Response coordination:	high (duet/conspecific songs)	high (duet/conspecific songs)			high (solos)
More likely context:	groups	groups	pairs	pairs	pairs

Table 2-2. Measurements taken at individual level of behavioural and vocal responses to the playback by adult rufous horneros. We indicate if each measurement was made at the individual (ind) or pair level (pair).

Behavioral response	
Approach	Approaching the speaker or not: distance to the speaker reduced by more than 4m during the first 30s after the broadcast stimulus (ind)
Closest approach	Distance (m) between the bird and the speaker after the broadcast stimulus and after the bird approached the speaker (ind)
Singing location	Probability of song at the speaker tree/light post during the 15-min playback trial (ind)
Territorial vigilance	Proportion of time spent perched in vigilance (ind)
Latency to foraging	Latency (s) to resume foraging after the playback stimulus was broadcast and the bird had approached the speaker (ind)
Foraging	Proportion of time spent foraging during each focal trial (ind)
Vocal response	
Latency to sing (s)	Latency to sing after the stimulus was broadcast (ind)
Song rate	Number of songs (solos and duet phrases) by each bird (ind)
Singing role	Song initiator or song responder (ind)
Latency to answer partner-initiated song	Latency to sing (s) after the partner initiated a song (ind)
Song duration	Duration (s) of solos and duet contributions (ind)
Frequency properties	PCA score for five frequency measurements (pair)
Degree of song overlap	Proportion of a duet or chorus in which male and female phrases overlap (pair)

FIGURE LEGENDS

Figure 2-1. Sound spectrograms of female solo (A), male solo (B) and duet (C, female phrase in red, male phrase in blue) in the rufous hornero. Modified from Diniz (2017, Chapter 1).

Figure 2-2. Variation in behavioural and vocal responses to playback (sexes pooled). Bars show means with 95% CI, except panel B that shows proportional data. Sample sizes are shown on the bottom of the bars (panels A, C, D, E: number of trials; panels B and F: number of songs). Comparisons including the social unit type variable were made within and between social levels (pair or group).

Figure 2-3. Variation in the correlation between partners in playback responses (closest approach to speaker and phrase duration in duets). Lines represent model coefficients.

Figure 2-1

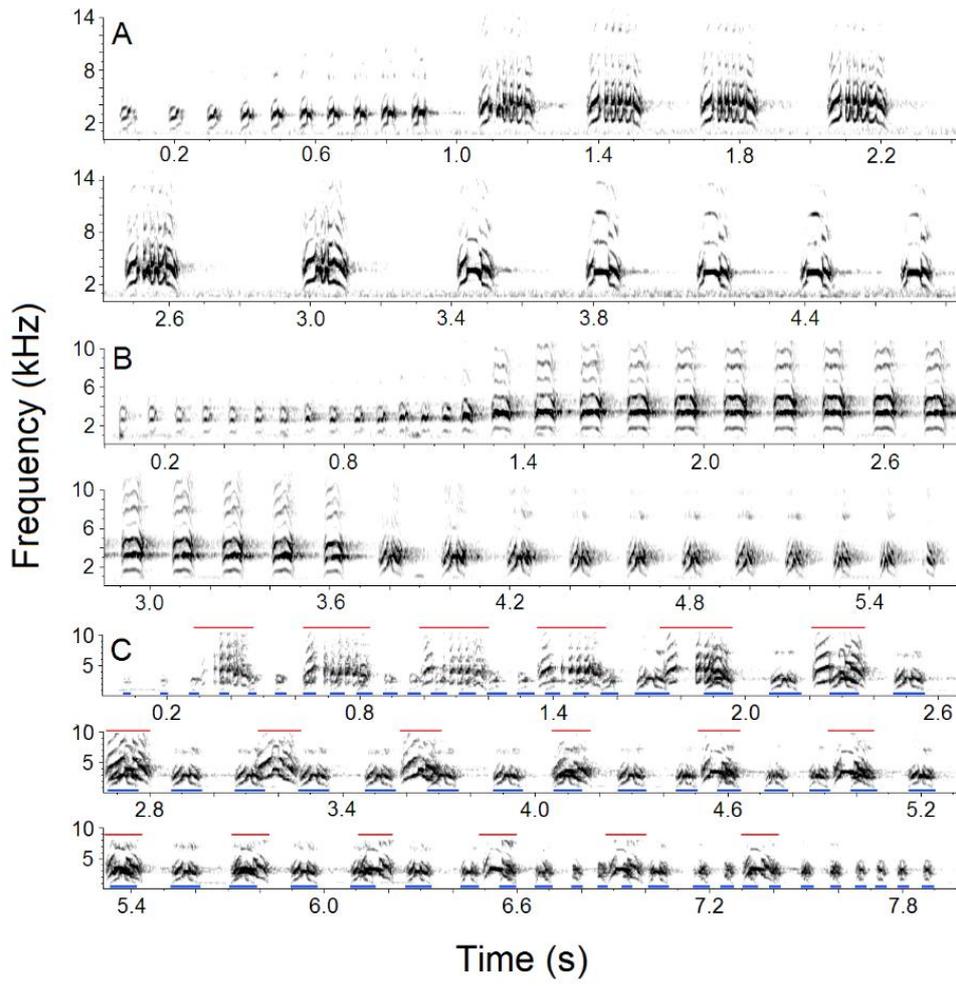


Figure 2-2

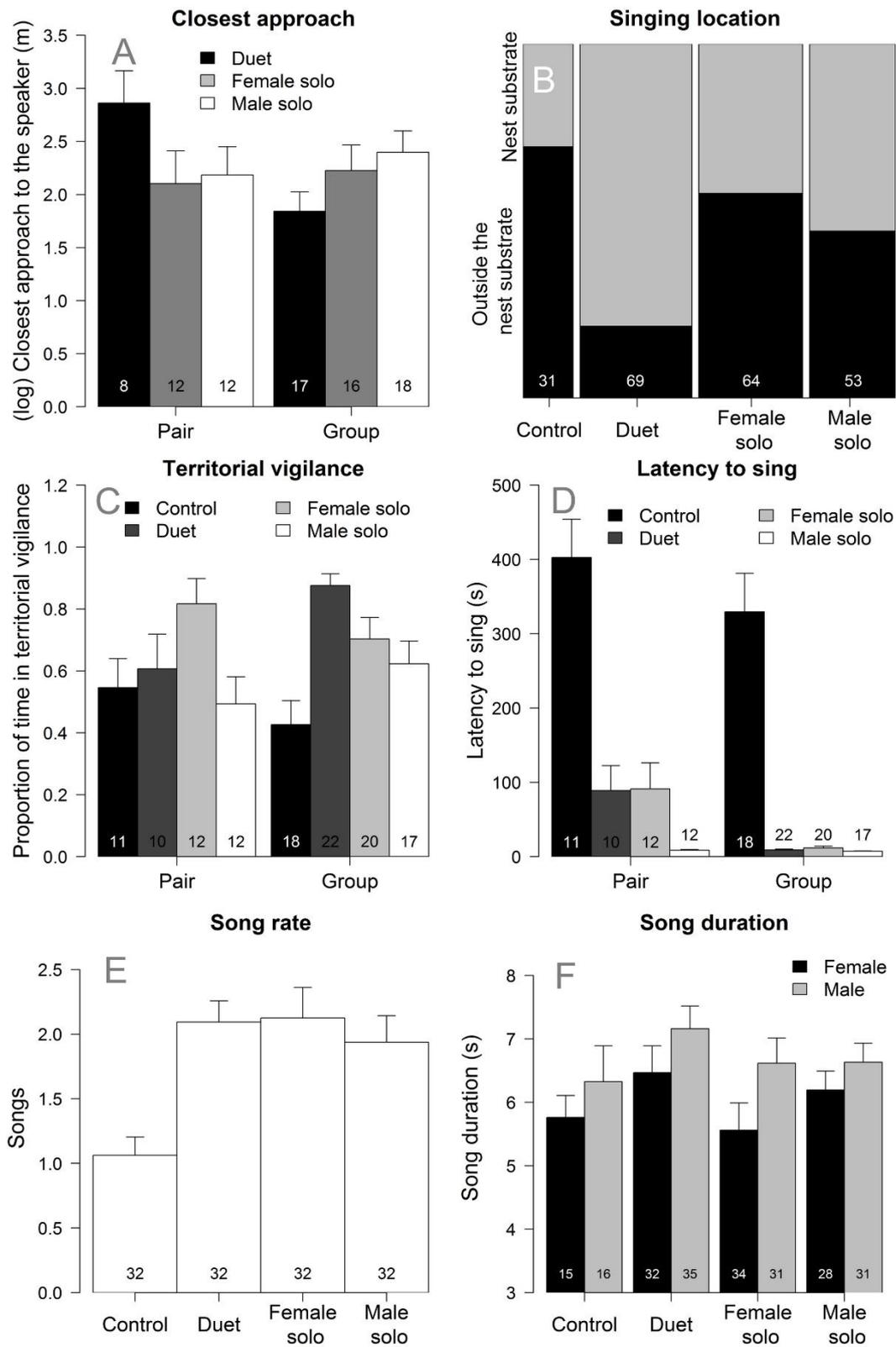
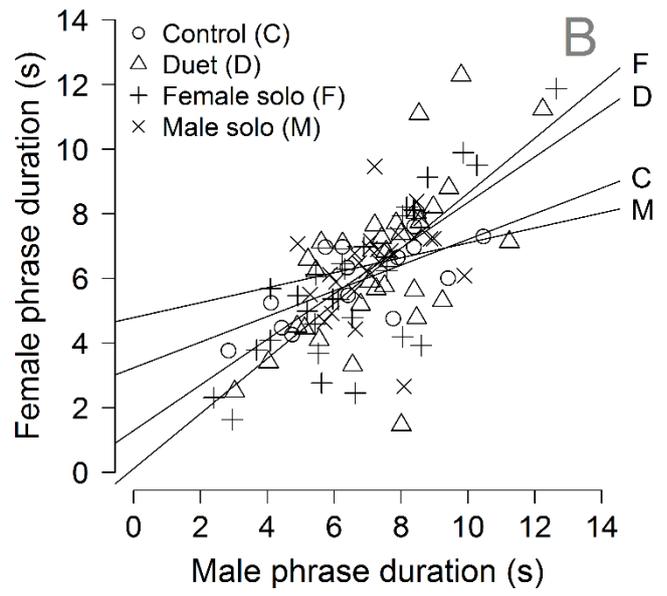
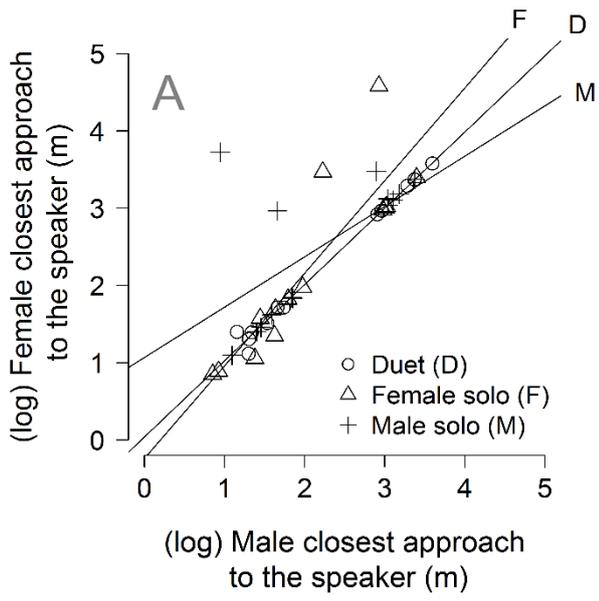


Figure 2-3



SUPPLEMENTARY MATERIAL

Table 2-3s. Results from a principal component analysis for frequency measurements of duets between partners.

	PC1	PC2
Eigenvalue	2.19	1.26
Variance explained (%)	68.37%	22.77%
Loadings		
Mean frequency (kHz)	0.44	
Median frequency (kHz)	0.36	-0.38
First quantile (kHz)	0.25	-0.63
Third quantile (kHz)	0.42	0.22
Interquantile range (kHz)	0.33	0.51
Spectral entropy	0.35	0.35
Frequency centroid	0.45	

Table 2-4s. Structure of full mixed models for behavioral and vocal responses. Playback treatments: ¹all treatments (female solo, male solo, duet, heterospecific song), ²only conspecific treatments.

Response variable	Family	Predictor variables	Random effects
Closest approach (log) ²	Gaussian	PT × SE + PT × UT + OR + TI	II nested in GI + SI
Singing location ¹	Binomial	PT × SE + PT × UT + OR + TI + VR	II nested in GI + SI + PI
Territorial vigilance (arc sine) ¹	Gaussian	PT × SE + PT × UT + OR + TI	II nested in GI + SI
Latency to foraging ²	Gaussian	PT × SE + PT × UT + OR + TI	II nested in GI + SI
Latency to sing (log) ¹	Gaussian	PT × SE + PT × UT + OR + TI	II nested in GI + SI
Song rate ¹	Poisson	PT × SE + PT × UT + OR + TI	II nested in GI + SI
Singing role ¹	Binomial	PT × SE + PT × UT + OR + TI + VR	II nested in GI + SI + PI
Latency to answer partner-initiated song (log + 1) ¹	Gaussian	PT × SE + PT × UT + OR + TI + VR	II nested in GI + SI + PI
Song duration (log) ¹	Gaussian	PT × SE + PT × UT + OR + TI + VR + SR + MD	II nested in GI + SI + PI
Frequency parameters of pairs' duets (PC1) ¹	Gaussian	PT × UT + PT × VR + OR + TI	PI nested in GI + SI
Degree of song overlap (arc sine) ¹	Gaussian	PT × UT + OR + VR + TI	II nested in GI + SI + PI

Predictor variables: PT (playback treatment), SE (sex), UT (social unit type: pair vs group), OR (order that the playback treatment was presented), TI (time after sunrise in hours), VR (order of the vocal response), MD (song mode: solo or duet), SR (singing role: initiator or answered), FS (distance female-speaker), MS (distance male-speaker). Random effects: GI (group identity), II (individual identity), SI (stimulus identity), PI (playback trial identity).

Table 2-5s. Predictor effects in backward stepwise model selection for variation in several playback response variables of adult Rufous Horneros. Predictors are shown in the descending order in which they were removed until the final model, containing only significant predictors. LRT = likelihood ratio test. df = degrees of freedom. Social unit type: pair or group.

Response variable	Predictor variables	<i>LRT</i>	df	<i>P</i>	
Closest approach	Order of the stimulus broadcasted	0.04	1	0.85	
	Playback treatment × sex	0.79	2	0.67	
	Sex	3.05	1	0.08	
	Time after sunrise	8.97	1	0.003	
	Playback treatment × social unit type	11.02	2	0.004	
Singing location	Playback treatment × social unit type	1.38	3	0.71	
	Order of the stimulus broadcasted	0.06	1	0.81	
	Time after sunrise	0.49	1	0.48	
	Social unit type	1.12	1	0.29	
	Playback treatment × sex	4.24	3	0.24	
	Sex	1.95	1	0.16	
	Order of the vocal response	13.75	1	0.0002	
	Playback treatment	18.34	3	0.0004	
	Territorial vigilance	Order of the stimulus broadcasted	0.22	1	0.64
		Playback treatment × sex	2.64	3	0.45
Sex		0.11	1	0.74	
Time after sunrise		1.83	1	0.18	
Playback treatment × social unit type		10.83	3	0.013	
Latency to foraging	Playback treatment × sex	1.48	2	0.48	
	Sex	0.45	1	0.50	
	Playback treatment × social unit type	2.11	2	0.35	
	Playback treatment	0.26	2	0.88	
	Social unit type	1.00	1	0.32	
	Order of the stimulus broadcasted	1.73	1	0.19	
	Time after sunrise	1.90	1	0.17	
	Playback treatment × sex	0.23	3	0.97	
Latency to sing	Sex	0.43	1	0.51	
	Order of the stimulus broadcasted	3.94	1	0.047	
	Time after sunrise	4.12	1	0.04	
	Playback treatment × social unit type	12.32	3	0.006	
	Song rate	Playback treatment × sex	0.86	3	0.84
		Sex	0.21	1	0.65
Order of the stimulus broadcasted		0.38	1	0.54	
Playback treatment × social unit type		3.82	3	0.28	
Social unit type		1.37	1	0.24	
Time after sunrise		1.38	1	0.24	
Playback treatment		13.74	3	0.0033	
Singing role		Time after sunrise	0.005	1	0.95
	Playback treatment × social unit type	0.47	3	0.93	
	Social unit type	0.26	1	0.87	
	Order of the stimulus broadcasted	0.27	1	0.60	

Table 2-5s

	Order of the vocal response	1.64	1	0.20
	Playback treatment × sex	6.25	3	0.10
	Playback treatment	0.50	3	0.92
	Sex	7.35	1	0.007
Latency to answer partner-initiated song	Order of the stimulus broadcasted	0.07	1	0.79
	Order of the vocal response	0.16	1	0.69
	Playback treatment × social unit type	1.83	3	0.61
	Playback treatment × sex	3.79	3	0.29
	Social unit type	1.19	1	0.28
	Time after sunrise	2.96	1	0.086
	Playback treatment	6.32	3	0.097
	Sex	5.35	1	0.02
	Song duration	Playback treatment × sex	0.05	3
Playback treatment × social unit type		1.11	3	0.77
Order of the stimulus broadcasted		0.08	1	0.76
Time after sunrise		3.02	1	0.08
Social unit type		2.88	1	0.09
Playback treatment		7.76	3	0.05
Sex		6.29	1	0.01
Order of the vocal response		14.79	1	0.0001
Singing role		17.87	1	<0.0001
Frequency parameters of pairs' duets (PC1)	Song mode (solo or coordinated song)	42.05	1	<0.0001
	Time after sunrise	0.07	1	0.79
	Playback treatment × order of the vocal response		3	
	Order of the vocal response	1.18		0.76
	Order of the vocal response	0.03	1	0.86
	Order of the stimulus broadcasted	0.49	1	0.48
	Playback treatment × social unit type	4.01	3	0.26
	Playback treatment	0.64	3	0.89
	Social unit type	0.09	1	0.76
Frequency parameters of pairs' duets (PC2)	Time after sunrise	0.08	1	0.78
	Playback treatment × order of the vocal response		3	
	Order of the vocal response	2.25		0.52
	Order of the vocal response	0.01	1	0.91
	Playback treatment × social unit type	4.28	3	0.23
	Playback treatment	0.24	3	0.97
	Social unit type	0.03	1	0.87
	Order of the stimulus broadcasted	1.27	1	0.26
	Degree of song overlap	Order of the stimulus broadcasted	0.17	1
Order of the vocal response		0.40	1	0.53
Playback treatment × social unit type		4.09	3	0.25
Social unit type		0.20	1	0.65
Playback treatment		4.65	3	0.20
Time after sunrise		9.88	1	0.002

Table 2-6s. Beta (β) estimates for predictor covariables retained in the top models for each response variable. The estimates for the main predictors can be found in the main text.

Response variable	Predictor variables	$\beta \pm SE$	z ratio or t value
Closest approach	Time after sunrise	0.28 \pm 0.09	2.99
Singing location	Order of the vocal response	-1.19 \pm 0.39	-3.04
Latency to sing	Time after sunrise	0.18 \pm 0.09	1.92
	Order of the stimulus broadcast	0.13 \pm 0.07	1.73
Song duration	Song mode: coordinated song – solo	3.19 \pm 0.47	6.75
	Singing role: initiator – answerer	0.94 \pm 0.22	4.29
	Order of the vocal response	-0.47 \pm 0.12	2.62
Degree of song overlap	Time after sunrise	-0.10	0.03

Table 2-7s. Standard deviation for random effects in the final models.

Response variable	Playback trial	Individual ID	Group ID	Stimuli ID
Closest approach	0.54	0.00	0.79	0.10
Singing location	4.56	0.00	3.62	0.00
Territorial vigilance		0.00	0.19	0.16
Latency to foraging		0.28	0.58	0.75
Latency to sing		0.00	0.67	0.28
Song rate		0.00	0.22	0.00
Singing role	0.00	0.70	0.00	0.00
Latency to answer partner-initiated song	0.39	0.00	0.00	0.00
Song duration	0.54	0.00	1.07	0.07
Frequency parameters of pair duets (PC1)	1.01		1.38	0.00
Frequency parameters of pair duets (PC2)	0.68		0.74	0.01
Degree of song overlap	0.001	0.00	0.07	0.11

Table 2-8s. General sample sizes for modelling each playback response variable.

Response variable	Playback treatment (duet, female solo, male solo, control)	Social unit type (pairs, groups)	Trials, social units, stimuli
Closest approach	25, 28, 30, no control	32, 51	48, 16, 15
Singing location	69, 65, 53, 31	85, 133	59, 16, 20
Territorial vigilance	32, 32, 29, 29	45, 77	63, 16, 20
Latency to foraging	17, 19, 22, no control	27, 31	33, 16, 15
Latency to sing	32, 32, 29, 24	42, 75	61, 16, 20
Song rate	32, 32, 32, 32	46, 82	64, 16, 20
Singing role	68, 68, 62, 34	93, 139	61, 16, 20
Latency to answer partner-initiated song	32, 29, 25, 16	38, 64	62, 16, 20
Song duration	68, 67, 62, 33	93, 137	61, 16, 20
Frequency parameters of pair duets	19, 21, 21, 12	32, 41	50, 16, 20
Degree of song overlap	15, 31, 29, 25	38, 62	61, 16, 20

Table 2-9s. Summary of post-hoc significant ($P < 0.05$) and marginally nonsignificant ($P < 0.1$) results (influenced by playback treatment) and support for each duet functional hypothesis.

Behavioral and vocal predicted responses	Pairs		Groups	
	Female	Male	Female	Male
- Closest approach to both male and female solos (pairs); or to both female solos and duets (groups)	MMG++	MMG++	STD++ UMG+	AMU+
- Sing more in nest substrate in response to duets	JTD++ STD+	JTD++ STD+	JTD++ STD+	JTD++ STD+
- Territorial vigilance higher in response to female solos (pairs); or to both duets and female solos (groups)	UMG+	AMU+	STD++ UMG+	AMU+
- Lower latency to sing in response to male solos (pairs); or to conspecific songs (groups)	AMU+	UMG+	JTD++	JTD++
- Higher song rate in response to conspecific songs	JTD++	JTD++	JTD++	JTD++
- Coordination in approaching the speaker (correlation between sexes in closest approach values) higher in response to duets	JTD++ STD+	JTD++ STD+	JTD++ STD+	JTD++ STD+
- Correlation between female and male phrase durations in duets higher in response to female solos and duets	STD++ UMG+	AMU+	STD++ UMG+	AMU+
	JTD - 6	JTD - 6		
	STD - 4	STD - 2	JTD - 8	JTD - 8
Sum of predictions supported	MMG - 2	MMG - 2	STD - 7	AMU - 3
	UMG - 2	AMU - 2	UMG - 3	STD - 2
	AMU - 1	UMG - 1		

Joint territory defense (JTD), Sex-specific territory defense (STD), Unilateral mate guarding (UMG), Mate guarding to avoid mate usurpation (AMU), Mutual mate guarding (MMG). Symbols: * partial support for one predictions, + support for one prediction, ++ support for the two predictions. Predicted responses in Table 2.1.

CAPÍTULO 3

Female song reflects territory quality in a duetting, Neotropical bird with low extra-pair paternity⁴

⁴Uma versão deste capítulo será submetida para publicação como “Diniz P, Macedo RH & Webster MS. Female song reflects territory quality in a duetting, Neotropical bird with low extra-pair paternity.”

1 **ABSTRACT**

2 Although intensively studied, we still have little consensus about the direct fitness
3 consequences of vocal duetting. Some studies suggest that duetting functions in
4 acoustic mate guarding to prevent cuckoldry, whereas other studies argue that
5 duetting is a cooperative behavior to defend common territories. Thus, duetting
6 parameters presumably could reflect territory quality and a pair's reproductive
7 success. We investigated extra-pair paternity and the relation among song traits,
8 territory quality and productivity in the rufous hornero (*Furnarius rufus*), a Neotropical,
9 socially monogamous bird. We found a lower than average rate of extra-pair paternity
10 (3.33% of 120 offspring and 6.52% of 46 broods), and 100% apparent nest success.
11 Female song (rate, output and latency to answer partner-initiated song) was positively
12 correlated with territory size and quality, as reflected in amount and proportion of
13 territory foraging patches. Duet duration, but not rate, was positively correlated with
14 territory size. Our results suggest that female song and the pair duet are used in the
15 defense of food resources within territories, or enable the acquisition of high quality
16 and large territories. However, neither features of female song, male song, or duets,
17 nor territory features correlated with productivity (number of social fledglings and
18 post-fledging survival) in this species, suggesting that song or territory might affect
19 fitness in other ways, such as in juvenile development or adult survival.

20 **INTRODUCTION**

21 Vocal duets are coordinated songs or calls between partners (Farabaugh 1982).
22 Vocal duetting has fascinated biologists, and studies have been conducted on every
23 aspect of duetting behavior: ontogeny (Hall and Magrath 2007; Rivera-Caceres et al.
24 2016), proximate mechanisms for coordination (Amador et al. 2005; Logue et al. 2008;
25 Rivera-Cáceres 2015), evolution (Logue and Hall 2014; Tobias et al. 2016) and,
26 especially, adaptive function (reviews in Hall 2004; Hall 2009; Dahlin and Benedict
27 2013). Adaptive function of duetting has been widely investigated through the
28 interpretation of responses to playbacks of solos and duets by territorial birds (reviews
29 in Hall 2004; Hall 2009; Douglas and Mennill 2010; Dahlin and Benedict 2013), but the

30 fitness consequences of duetting for males and females have seldom been explored
31 (Hall 1999; Hall and Magrath 2007). For example, in magpie-larks *Grallina cyanoleuca*,
32 duet timing is more synchronized in established pairs when compared with new pairs
33 (Hall and Magrath 2007), and established pairs have higher reproductive success than
34 do first time breeders (Hall 1999), suggesting that duets influence or are associated
35 with reproductive success in this species.

36 Most duetting species are socially monogamous or cooperative breeders (Tobias et
37 al. 2016). To understand the fitness consequences of duetting, we need to know how
38 key fitness characteristics, such as reproductive success, vary among individuals and
39 pairs (Bateman 1948; Jones et al. 2002). Extra-pair paternity (EPP) is prevalent among
40 socially monogamous birds (Griffith et al. 2002; Macedo et al. 2008), but less than 1%
41 of duetting species have been studied in this regard (Table 3-1). Previous studies
42 suggest that extra-pair paternity might be low in duetting species (Gill et al. 2005;
43 Douglas et al. 2012; Koloff and Mennill 2013), probably due to a set of life history and
44 ecological traits presumably associated with both duetting occurrence and low EPP.

45 Traits common to both duetting species and those with low rates of EPP include:
46 sexual monochromatism, absence of migration, year-round territoriality, strength of
47 social bonds, low divorce rate, and high adult survival (Farabaugh 1982; Stutchbury
48 and Morton 2001; Macedo et al. 2008; Stutchbury and Morton 2008; Benedict 2008a;
49 Logue and Hall 2014; Tobias et al. 2016). However, the very few studies that have
50 examined EPP among socially monogamous, duetting species reveal high interspecific
51 variability (Table 3-1). Intriguingly, two out of the four studied duetting species with
52 high levels of EPP shared most of the above mentioned traits (California towhee
53 *Pyrgisoma crissale*, Benedict 2008b; crimson-breasted shrike *Laniarius atrococcineus*,
54 van den Heuvel et al. 2014) (Table 3-1). The additional two duetting species studied
55 are sexually dimorphic and territorial only in the breeding season (red-backed fairy-
56 wren *Malurus melanocephalus*, Karubian 2002, Baldassarre et al. 2016; pheasant
57 coucal *Centropus phasianinus*, Maurer et al. 2011; Table 3-1). Further information on
58 the genetic mating systems of duetting species would allow broader and more
59 confident inferences about general patterns.

60 Among species with moderate to high levels of extra-pair paternity, male-created
61 duets (i.e. answered partner songs) might influence male and female fitness through
62 acoustic paternity guarding (Baldassarre et al. 2016). The acoustic paternity guarding
63 hypothesis (Sonnenschein & Reyer 1983, Hall 2009) suggests that duetting should peak
64 in the female fertile period (Hall 2004; Topp and Mennill 2008), and the probability and
65 speed of males in creating duets should signal the pairing status of these males (Hall
66 2004). This should in turn repel other males from pursuing extra-pair copulations
67 and/or minimize the partner's propensity to pursue extra-pair copulations (Gill et al.
68 2005; Hall 2009). However, empirical studies found no support for this hypothesis,
69 suggesting that duets do not function to guard partners from extra-pair copulations
70 (Australian magpie-larks, Hall and Magrath 2000; buff-breasted wrens *Cantorchilus*
71 *leucotis*, Gill et al. 2005; purple-crowned fairy-wren *Malurus coronatus*, Hall and Peters
72 2008; crimson-breasted shrikes, van den Heuvel et al. 2014). The exception is the red-
73 backed fairy-wren, where a high expression of male song answering rate and speed
74 leads to reduced paternity loss (Baldassarre et al. 2016), a mating tactic adopted
75 especially by unattractive males that results in similar reproductive success in
76 comparison with attractive males (Dowling and Webster 2017).

77 Most duetting bird species sing throughout the year and the acoustic paternity
78 guarding hypothesis does not apply to females, suggesting this hypothesis is not
79 enough to explain duetting occurrence (Hall 2009). Thus, it remains unclear how male
80 participation in duets could be associated with male fitness in species with low or no
81 EPP and, especially, how duetting could contribute to female fitness. In scenarios of
82 negligible EPP and strong pair bonding, inter-individual variance in mating success may
83 be low, and social selection suggests that individuals should compete for limited
84 resources other than mating opportunities, such as good quality territories (West-
85 Eberhard 1983; Tobias et al. 2012; Lyon and Montgomerie 2012). This interpretation is
86 in line with several playback experimental studies that provided evidence that duets
87 can function in settling territorial disputes (Hall 2009; Dahlin and Wright 2012; Dahlin
88 and Benedict 2013; Koloff and Mennill 2013; Dowling and Webster 2016). Apparently,
89 the coordination aspect of duetting can signal threat level in territorial interactions
90 (Hall and Magrath 2007). If duetting signals competitive ability in acquiring and

91 defending territories in a highly heterogeneous landscape, we could expect that
92 individuals with high expression of duetting attributes would acquire high quality
93 territories and have a lengthy territory tenure, which would in turn influence
94 reproductive success and productivity (Tobias et al. 2012; Cain et al. 2015; Cain and
95 Langmore 2016).

96 It is well known that male song carries information about a variety of fitness-
97 related traits in the context of sexual selection, such as age (Nemeth et al. 2012),
98 fighting ability and motivation (Ripmeester et al. 2007), aggressiveness (Searcy and
99 Beecher 2009), territory quality (Manica et al. 2014), and parental effort (Buchanan
100 and Catchpole 2000). Thus, variation among males in song expression mediates
101 intrasexual competition and female mate choice in birds (Kroodsma and Byers 1991;
102 Gil and Gahr 2002; Catchpole and Slater 2008) and has proven fitness consequences
103 (Gil and Slater 2000; Bolund et al. 2012; Nelson and Poesel 2013). There are some
104 examples from the female song literature showing that female song mediates
105 territorial interactions (Krieg 2016; reviewed by Cain et al. 2015) and predicts
106 reproductive success, as suggested by social selection theory (Cain et al. 2015; Brunton
107 et al. 2016). In contrast, the link between duetting (or female song), territory quality
108 and reproductive success has never been investigated, to our knowledge, despite
109 widely cited evidence that duet functions in territory defense (Hall 2009; Dahlin and
110 Benedict 2013).

111 The rufous hornero (*Furnarius rufus*) is a duetting, year-round territorial and
112 socially monogamous Neotropical bird. Previous observational and experimental data
113 suggest that duetting in this species is cooperative-based and functions in the joint
114 defense of territorial resources, strengthening the partnership of social pairs (Diniz
115 2017) (Chapters 1 and 2). Given that life history and ecological traits linking duetting
116 species with low occurrence of EPP, we predicted a low rate (< 5%) or absence of EPP
117 in this species. Further, we expected that song traits (singing effort and song
118 attentiveness) would exhibit a positive correlation with territory quality and
119 productivity, as reflected in the number of social offspring produced and their
120 subsequent survival. To examine paternity trends in our study population, we

121 conducted genetic analyses of 104 adults and 126 nestlings across three breeding
122 seasons. To test the associations between song traits, territory quality and
123 productivity, we used 12 groups within the same study population.

124 **METHODS**

125 **a) Study species and field procedures**

126 The rufous hornero (Furnariidae family) is a monochromatic (Diniz et al. 2016),
127 ground-foraging, socially monogamous and sedentary bird species (Fraga 1980; Sick
128 2001; Remsen and Bonan 2017), widespread in southern South America (Marreis and
129 Sander 2006). They breed only once, or eventually twice a year, and produce clutches
130 of 2-4 eggs (Fraga 1980; Rodriguez and Roper 2011). Incubation lasts 14-18 days, and
131 the nestling period 23-26 days (Fraga 1980; Remsen and Bonan 2017). Both parents
132 provide parental care, from construction of the heavy globular mud nest (Shibuya et al.
133 2015) to post-fledging care of the young (Fraga 1980; Massoni et al. 2012). Nest
134 survival is high (Fraga 1980), contrasting with many other Neotropical bird species
135 (Martin 1996), and juveniles may stay in their parents' territories for seven or more
136 months (Fraga 1980; Bobato 2012).

137 The rufous hornero sings two song types, one for each sex, as solo songs or
138 overlapping in duets or chorus (i.e., three or more individuals singing) (Roper 2005;
139 Diniz 2017) (Chapters 1 and 2) (Figure 3-1). Song rate per sex is low (10 solo songs plus
140 duet phrases/h), most songs are duets (61%) and songs are produced throughout the
141 year (Diniz 2017) (Chapter 1). Males, compared with females, initiate twice as many
142 songs, answer partner-initiated songs at higher rates and more quickly and have longer
143 song duration (Diniz 2017) (Chapter 1).

144 We studied an urban population of the rufous hornero in 175 ha in the campus of
145 the University of Brasilia, Brazil (15°45'S, 47°51'W) for three consecutive years (2013,
146 2014 and 2015). In the field, we collected blood samples from adults and nestlings
147 from the study population across the three years for paternity analyses (see below),
148 and conducted focal observations on 12 groups during both non-breeding and

149 breeding seasons in 2015 (seven months, from June to December). From these 12
150 groups, we recorded vocal behavior, geolocated songs and territorial interactions to
151 estimate territory perimeter, and estimated productivity (number of fledglings
152 produced and post-fledging survival).

153 **b) Banding and blood sampling**

154 We captured and blood sampled 127 adults and 128 nestlings during three
155 breeding seasons (2013, 2014, 2015). All adults and 94 nestlings were banded. Capture
156 methods for adults and nestlings followed Braga et al. (2014) and Shibuya et al. (2015),
157 respectively. Blood samples (~60µl) were obtained from brachial venipuncture for
158 adults and nestlings, and stored in a lysis buffer (100mM Tris HCl, pH = 8.0, 100mM
159 EDTA, 100mM NaCl, 2% SDS) at 4°C. The rufous hornero builds heavy domed nests
160 during the year, which typically are completed before the breeding season (Fraga
161 1980; Ferreira et al. 1992). Each year, to capture the nestlings with minimal
162 disturbance, we monitored each nest at intervals of up to 15 days from the beginning
163 of the nesting stage or when the parents were captured while brooding (second half of
164 August, Diniz 2017) (Chapter 1). To choose an optimal date to open nests and capture
165 the nestlings, we conducted behavioral observations to determine if the adult birds
166 were just building the nest, or whether they were incubating eggs or feeding nestlings
167 (Shibuya et al. 2015). During the week prior to capturing the nestlings we confirmed
168 the parents' identities.

169 **c) Song, territory quality and productivity**

170 We observed 12 groups for seven consecutive months in 2015 (from June to
171 December) to obtain data on song, territory and productivity. Study groups were
172 composed of adult pairs or pairs plus juveniles (hatched in previous breeding season),
173 but group size also varied across focal trials (mean \pm SD = 2.83 ± 0.82 , range = 2-6, n =
174 163 trials). All adults were banded and sexed. In brief, we observed each group at 15-
175 day \pm 0.15 (mean \pm s.e., n = 149) intervals for one hour, totaling 14 focal
176 sessions/group (excepting one that lost its territory after 7 focal sessions). We focused

177 our observations on adults, recording all the songs they produced using a Marantz
178 PMD 660 recorder coupled with a Sennheiser ME66 or Yoga HT-81 microphone.

179 We analyzed song data in Raven 1.5 (Bioacoustics Research Program 2014), and
180 detailed acoustic analyses are described elsewhere (Diniz 2017) (Chapter 1). In brief,
181 we extracted the following five variables for each focal session and for each sex:
182 number of initiated songs (solos plus initiated duets or chorus), song output (total time
183 spent singing), song answering rate (proportion of partner songs that were answered),
184 phrase duration in duets or chorus, and latency to answer partner-initiated songs. We
185 averaged these measurements within focal sessions and then within groups. We also
186 counted the number of duets and estimated duet duration for each focal session,
187 averaging these variables within each group.

188 We geolocated each song produced and each territorial interaction (e.g., chase,
189 fight) involving one focal adult against strangers in each focal session. We used GPS
190 Status 3.0.4. App for Android system (accuracy ~ 3m) to demarcate points. At each
191 focal session, we demarcated the same location only once (e.g., when the bird sang
192 twice in the same tree). We pooled points from multiple focal sessions to obtain
193 territory size (mean \pm s.d. = 58.83 \pm 12.90 points, n = 12 groups). Coordinated
194 reference system was set to UTM 23S and datum WGS84. We used adehabitatHR
195 package (Calenge 2006) from R 3.2.1 to estimate territory size (at 95% level, in ha) by
196 Kernel utilization distribution function (smoothing parameter computed by 'LSCV';
197 Worton 1989; Seaman and Powell 1996).

198 The rufous hornero is an insectivorous and exclusively ground-foraging species,
199 but relies on trees (and less often on light poles) to build their nests (Fraga 1980;
200 Remsen and Bonan 2017). They forage mainly in short grasses or litter, avoiding tall
201 grasses (pers. obs.), and thus may be favored by urban landscapes such as lawns or
202 short-cut grasses. We used QGIS 2.18.3 (QGIS Development Team 2016) to demarcate
203 the contours of trees and short-grass patches at each perimeter-demarcated territory
204 (here, we used 100% minimum convex polygon, Mohr 1947; Odum and Kuenzler 1955)
205 in georeferenced aerial images from the study site (precision = 5m, photos taken in
206 2015 by Terracap; Figure 3-4s). The non-foraging patches consisted mostly of streets

207 and sidewalks. We calculated the proportion of territory size covered by frequently-
208 managed short grass and litter, and tree canopies, as estimates of available foraging
209 patches and nest sites, respectively. We also computed the absolute area (in ha)
210 covered by these two types of vegetation.

211 Our focal observations on 11 groups occurred from up to 120 days before to up to
212 90 days after the nesting stage. This allowed us to estimate productivity based on the
213 number of fledglings produced and post-fledging survival. We have paternity data for
214 only three of these 11 study groups, and we found no extra-pair paternity in these
215 three broods. Considering that we found only a negligible rate of extra-pair paternity in
216 our general study population (see Results), we assume that social productivity reflects
217 genetic productivity in our study groups. Thus, the number of fledglings produced was
218 considered the maximum number of juveniles seen in a territory in 3.73 ± 1.35 focal
219 sessions (mean \pm s.d., $n = 11$ groups) after the first fledgling was recorded. Parents
220 feed juveniles for approximately 22 days and juveniles stay in their natal territory from
221 four to nine months after fledging (Fraga 1980; Bobato 2012). Thus, we classified post-
222 fledging survival in a binary scale: zero, when at least one juvenile disappeared from a
223 territory for at least two consecutive focal sessions post hatching (i.e., ~ 30 days), and
224 one, when no juvenile disappeared during the observed post-breeding stage (up to 90
225 days after fledging). The group that lost its territory was assigned a zero relative to
226 number of fledglings produced and post-fledging survival. Individuals from this group
227 were not seen in the study area after losing their territory.

228 **d) Molecular sexing and genetic analysis**

229 Adult birds were sexed with molecular tools ($n = 69$), by their song phrases (Roper
230 2005) or using the partner's known sex (52 birds). We used molecular sexing according
231 to the Griffiths et al. (1998) methodology for 59 adults captured in 2013, and used the
232 Fridolfsson and Ellegren (1999) methodology to sex an additional 10 adults captured
233 across the three study years.

234 We determined paternity through single nucleotide polymorphisms (SNPs)
235 markers across individuals, since only 11 microsatellite markers tested successfully for

236 members of the Furnariidae family (none from the genus *Furnarius*, Cardoni et al.
237 2013; Yáñez et al. 2015). In comparison with microsatellite markers, SNPs have been
238 largely used in evolutionary studies but not in parentage analyses (Kaiser et al. 2016).
239 Microsatellites are highly polymorphic, but prone to high genotyping error (Pompanon
240 et al. 2005; Kaiser et al. 2016). Although SNPs are usually not multiallelic and results
241 are low in heterozygosity, they are much more abundant in the genome than
242 microsatellites (reviewed by Kaiser et al. 2016). A few studies that compare both
243 methods reveal that SNP is equivalently successful or outperforms microsatellites in
244 assigning paternity (Anderson and Garza 2006; Cramer et al. 2011; Weinman et al.
245 2015).

246 We used the next-generation sequencing-based method named Double Digest
247 Restriction Associated DNA sequencing (ddRAD-seq) to *de novo* SNP development
248 (Peterson et al. 2012). This method provides a reduced-representation and large
249 sample of the genome and does not require previous knowledge on genome sequence
250 or variability (Peterson et al. 2012). The double restriction enzyme digest approach
251 confers advantages in comparison with the previous Restriction Associated DNA
252 sequencing (RAD-seq) method, mainly because the former permits a greater accuracy
253 and repeatability in DNA fragment size-selection for library construction (Peterson et
254 al. 2012).

255 SNP discovery and genotyping were conducted according to the Peterson et al.
256 (2012) protocol with few changes (see supplementary material for detailed protocol).
257 This protocol involves four steps. First, we isolated, quantified and diluted genomic
258 DNA for 240 samples from 230 individuals. Then we digested genomic DNA samples
259 and ligated short DNA fragments to them, which function as molecular barcodes (i.e.
260 adapters). The third step consisted of pool reactions within each Illumina multiplexing
261 read index (i.e. index group), which assigned a molecular barcode to each group and
262 performed low-cycle DNA amplifications (PCRs). In the last step, a DNA fragment
263 analysis was performed at each index group to calculate molarities and combine
264 diluted index samples. A sample of the final solution of combined DNA from all
265 individuals was submitted to Illumina sequencing read. The reads were checked for

266 quality and filtered (see Bioinformatics in supplementary material), resulting in SNPs
267 183 loci.

268 We performed parentage analysis using CERVUS 3.0.7 (Kalinowski et al. 2007) on
269 non-duplicated data (230 individuals). We assumed all social mothers were also
270 genetic mothers. First, we ran an allele frequency analysis to verify loci characteristics.
271 Characterization of SNPs loci revealed mean heterozygosity of 0.45 across all loci
272 (Table 3-6s). Second, we ran the simulation of paternity analysis, which is needed to
273 calculate critical log-likelihood statistics (LOD) to provide confidence for assigned
274 paternity for real data. We used the following settings to run the simulation of
275 paternity analysis: number of simulated offspring (100,000), candidate fathers (178,
276 estimated adult males in our population), proportion of candidate parents that were
277 sampled (0.29), proportion of loci typed (0.979), proportion of loci mistyped (0.1),
278 minimum typed loci (91), confidence calculated using LOD score (relaxed level = 95%,
279 strict level = 99%). Finally, we assigned paternity only at the strict level of confidence
280 (99%) and at positive LOD scores.

281 **e) Statistical analyses**

282 We analyze our data in R 3.2.1 (R Core Team 2015). We reduced the number of
283 song and territory quality variables using principal component analyses (PCA). For song
284 data, we performed PCAs separately for each sex. We retained the two first
285 components (PC, hereafter; eigenvalues > 1) from each of these three PCAs (Table 3-2,
286 Table 3-3). In terms of territory quality, PC1 was positively related to absolute area and
287 proportion of territory size covered by tree canopies, whereas PC2 was positively
288 related to absolute area and proportion of territory size covered by grasses. In terms of
289 female song traits, PC1 was positively related to the number of initiated songs and
290 song output, and negatively related to latency to answer partner songs, whereas PC2
291 was positively related to phrase duration and song answering rate. Finally, in terms of
292 male song traits, PC1 was positively related to the number of initiated songs and song
293 output, whereas PC2 was positively related to song answering rate and latency to
294 answer partner song, and negatively related to phrase duration.

295 We used linear models (Gaussian family, 'lm' function) to test whether territory
296 size and quality (Pct1, Pct2) varied as a function of song traits (one model for each
297 response variable). We included the two song PCs for each sex, duet rate and duet
298 duration as predictors in these models. We used generalized linear models ('glm'
299 function) to analyze the variation in productivity (number of fledglings, Poisson family;
300 post-fledging survival, Binomial family) as a function of song traits and territory
301 attributes. To prevent overfitting, we analyzed the effects of territory attributes and
302 song traits in separate models. Moreover, we reduced the model complexity for post-
303 fledging survival, creating two global models for song traits, one with the four PC
304 scores summarizing male and female song traits, and another one with duet rate and
305 duration as predictors. We ranked the models using the corrected Akaike's Information
306 Criterion ($\Delta AIC_c < 2$, Burnham and Anderson 2002) (function 'dredge' from MuMIn
307 package, Barton 2015). All continuous variables were scaled before the analyses to
308 obtain comparable β coefficients from the top model.

309 **RESULTS**

310 Genetic paternity was assigned for 93% of the offspring sampled ($n = 126$). Extra-
311 pair paternity was infrequent across the study years, and only 4 (3.33%) nestlings from
312 3 (6.52%) broods were sired by males other than the social father (Table 3-4). We were
313 able to assign paternity for one of the four EP nestlings, which was sired by a male
314 from a contiguous territory. The remaining three EP nestlings had low assignment
315 probability with their social father (LOD score < 0 , pair loci mismatches > 8). We were
316 unable to assign paternity for all the young whose social fathers were not DNA
317 sampled (6 young from 2 broods, 7% of offspring sampled, $n = 126$), probably because
318 the social fathers are the genetic fathers as well.

319 Mean (\pm SD) territory size was 0.70 ± 0.23 ha (range = $0.37 - 0.99$, $n = 12$, 95%
320 fixed-kernel). Averaged proportion of territory size covered by estimated tree canopies
321 (i.e. nest sites) and foraging patches was 28.88 ± 11.78 % (SD, range = $12.49 - 54.92$)
322 and 55.30 ± 23.72 % (SD, range = $9.29 - 86.35$), respectively. Territory quality (i.e. 'PC
323 foraging patches') is correlated with song traits, as reflected in 'PC female singing

324 effort' ($\beta \pm \text{s.e.} = 0.90 \pm 0.30$) (Table 3-5, Figure 3-2). Our results indicate that females
325 that sing at higher rates, for longer bouts (song output), that answer partner songs
326 more quickly (song latency), occupy territories with greater coverage of short grasses,
327 which we presume to be the main foraging patches for this species. Female song traits
328 were not related to territory quality in terms of tree cover (a proxy for nest site
329 availability). The null model was the best-ranked model to explain variation in territory
330 size. However, the second model shows that territory size is positively related to 'PC
331 female singing effort' ($\beta \pm \text{s.e.} = 0.60 \pm 0.27$) and duet duration ($\beta \pm \text{s.e.} = 0.61 \pm 0.27$)
332 when accounting for the covariation between these two predictor variables (Table 3-5,
333 Figure 3-3). Neither territory size nor quality varied with male song traits.

334 Mean (\pm SD) number of fledglings produced was 1.75 ± 0.96 (range = 0 – 4, $n = 12$).
335 The only group with no fledglings lost its territory before the breeding season started.
336 The null model was the best-ranked model to explain variation in the number of
337 fledglings produced (weight = 0.33). Although the 'PC male song answering' and duet
338 duration were presented in the second ($\Delta\text{AICc} = 0.52$) and third models ($\Delta\text{AICc} = 1.86$),
339 respectively, the sizes of these effects had a high degree of uncertainty ($\beta \pm \text{s.e.}$, 'PC
340 male song answering' = -0.37 ± 0.25 , duet duration = 0.23 ± 0.22). At least one juvenile
341 in half of the study groups ($n = 12$) disappeared in the post-fledging stage, and were
342 assumed to have died. The models containing 'PC male singing effort' and duet
343 duration were the top-ranked models to explain variation in post-fledging survival, but
344 the confidence intervals for the coefficients were high ($\beta \pm \text{s.e.}$, 'PC male singing effort'
345 = 0.90 ± 0.71 , duet duration = 1.37 ± 0.95). Neither the number of fledglings nor post-
346 fledging survival were correlated with our proxies of territory quality.

347 **DISCUSSION**

348 According to the acoustic paternity guarding hypothesis (Sonnenschein and Reyer
349 1983; Hall 2009), males use duets to prevent their mates from engaging in extra-pair
350 copulations (Gill et al. 2005; Hall 2009). However, we found a very low rate of extra-
351 pair paternity for the rufous hornero, which makes it unlikely that male participation in
352 duets functions in acoustic paternity guarding in this species. Instead, our results from

353 paternity analyses in the rufous hornero is consistent with the idea that the occurrence
354 of duetting in birds coincides with low rates of EPP (Table 3-1; Gill et al. 2005; Douglas
355 et al. 2012; Koloff and Mennill 2013). Nevertheless, even if this general pattern holds
356 for a larger number of studied species, there should be other traits associated with
357 duetting that, in an evolutionary context, are better predictors of EPP than the
358 duetting trait itself (Westneat and Stewart 2003). In other words, duetting is probably
359 neither the evolutionarily driver nor the consequence of EPP.

360 The low rate of EPP found in the rufous hornero supports the concept of
361 cooperative and territorial roles for the duetting behavior (Logue 2005; Hall and Peters
362 2008b). In this context, we predicted that individual and pair attributes of duet singing
363 would be positively associated with territory size, quality and productivity in this
364 species. We found that females that sang at higher rates and answered their partner's
365 songs more quickly to create duets had territories that were both larger and richer, in
366 terms of proportion of territory size covered with foraging patches. Considering that,
367 on average, males answer most (73%) of the female songs in this species (Diniz 2017)
368 (Chapter 1), our results suggest that the rate of duets initiated by females predicts
369 territory size and quality. Duet duration also was positively correlated with territory
370 size. However, male song was not associated with territory quality, and neither song
371 traits nor territory quality correlated with our measurements of productivity (number
372 of fledglings and post-fledging survival).

373 To our knowledge, our results provide the first evidence of a positive association
374 between female song parameters and territory quality. In contrast with our results,
375 Cain and Langmore (2016) found a higher song rate for superb-fairy wrens (*Malurus*
376 *cyaneus*) living in low-quality habitat compared with high-quality habitat, which
377 suggests a negative association between female song rate and territory quality in that
378 species. Theoretical and empirical studies suggest females are more constrained by
379 dependence upon ecological resources to breed than by mating opportunities
380 (Bateman 1948; Clutton-Brock 2009; Stockley and Bro-Jorgensen 2011; Tobias et al.
381 2012; Clutton-Brock and Huchard 2013). Thus, female aggressiveness may be
382 important to guarantee access to ecological resources (Robinson and Kruuk 2007; Cain

383 and Ketterson 2013). If female song signals aggressiveness or competitive ability
384 (Tobias et al. 2011; Cain et al. 2015), either of these variables should predict
385 reproductive success or productivity (Cain and Ketterson 2012; Cain et al. 2015;
386 Brunton et al. 2016). Female rufous horneros sing at a higher rate in response to
387 conspecific but not to heterospecific song, suggesting that female song signals
388 aggressiveness in this species (Diniz 2017) (Chapter 2). Taken together, these findings
389 indicate that rufous hornero females with high competitive abilities could acquire high
390 quality territories by means of a higher song investment (i.e. song effort drives
391 territory quality) (Rosvall 2011; Cain et al. 2015). Alternatively, females may need to
392 sing more to defend high quality territories (i.e. territory quality drives song effort)
393 (Cooney and Cockburn 1995; Cain et al. 2015). Future studies could address these two
394 possibilities.

395 In addition to individual-level song parameters, we also found that duets exhibit
396 two important traits (duration and latency of females to answer partner songs)
397 associated with territory features. To our knowledge, this is also the first evidence of
398 an association between duet song and territory quality. A previous playback study of
399 the rufous hornero revealed that birds tend to answer their partners' songs more
400 quickly, and partners tend to sing longer duets, in response to conspecific (solos and
401 duets) compared with heterospecific song playbacks (Diniz 2017) (Chapter 2). Although
402 these two tendencies were not statistically significant, when considered in light of the
403 results of the current study, our findings confirm that in rufous horneros, duets
404 function in territory defense and suggest that the performance of duet signals is
405 sensitive to variation in territory quality. Theory and empirical research suggest that
406 duets function in joint territory defense (Seibt and Wickler 1977; Hall 2004; Hall 2009;
407 Dahlin and Benedict 2013; Koloff and Mennill 2013), and we further suggest that duets
408 may more broadly signal territory quality.

409 It is unclear why male song and territory quality were unrelated. Male fitness is
410 apparently not constrained by extra-pair mating success (given the low rate of EPP),
411 and males sing at higher rates and engage in more territory interactions than do
412 females in this species (Diniz 2017) (Chapter 1). In addition, males duet with females to

413 defend common territories (Diniz 2017) (Chapters 1 and 2). Confounding factors not
414 accounted for here include male size (Ballentine 2009), age (Ferrer and Bisson 2003;
415 Poesel et al. 2006) or experience (Beecher et al. 2000; Hyman et al. 2004), and male
416 quality (Lambrechts and Dhondt 1988; Lampe and Espmark 1994; Christie et al. 2004).
417 These may possibly explain the lack of association between male song and territory
418 features.

419 We also failed to find a correlation between song or territory quality (and size) and
420 productivity (see also Brunton et al. 2016). One possible explanation for this pattern is
421 that song and/or territory may affect fitness in ways we did not consider in this study,
422 including: offspring quality (Weiss et al. 2009), juvenile development (Komdeur 1992)
423 and dispersal success (Reid et al. 2005), length of territory tenure (Hiebert et al. 1989)
424 or adult survival (Wilson et al. 2000). Another explanation is that song expression (or
425 aggressive-mediated signal expression) trades-off with parental care (Duckworth 2006;
426 McGlothlin et al. 2007; Stiver and Alonzo 2009; Cain and Ketterson 2013), or high
427 singing (or display) effort leads to high nest predation (Kleindorfer et al. 2016). If so,
428 we would expect a negative correlation between song and productivity. In addition, we
429 found no nest predation across our study groups, so it is unlikely that song increases
430 nest predation (Dias et al. 2010; Kleindorfer et al. 2016) in this species. Finally, we did
431 not use a direct measurement of food availability (e.g., ground-arthropod biomass;
432 Maceda-Veiga et al. 2016), which may have masked a relationship between territory
433 quality and productivity (Conner et al. 1986). Thus, the fitness consequences of song
434 and territory quality remain to be understood in the rufous hornero.

435 In conclusion, in this first description of EPP for the species-rich Furnariidae family
436 (~ 300 species, Derryberry et al. 2011), we found a low rate of EPP for the rufous
437 hornero. Our data also consist of one of the first descriptions of EPP for a duetting
438 species in the Suboscine clade (i.e., birds with small vocal repertoire and low plasticity
439 in song learning and structure, Kroodsma and Konishi 1991; Liu et al. 2013; Touchton
440 et al. 2014). The function and the true benefits of duetting, female song and male song
441 in species where both sexes sing are controversial and still not well understood
442 (Langmore 1998; Hall 2004; Hall 2009; Logue and Krupp 2016; Tobias et al. 2016). Our

443 study demonstrates a relation between song and duet features and territory quality in
444 a duetting species with low rates of EPP. We argue that territory quality is an
445 important pressure shaping the expression of female song and duets in socially
446 monogamous bird species where both sexes sing.

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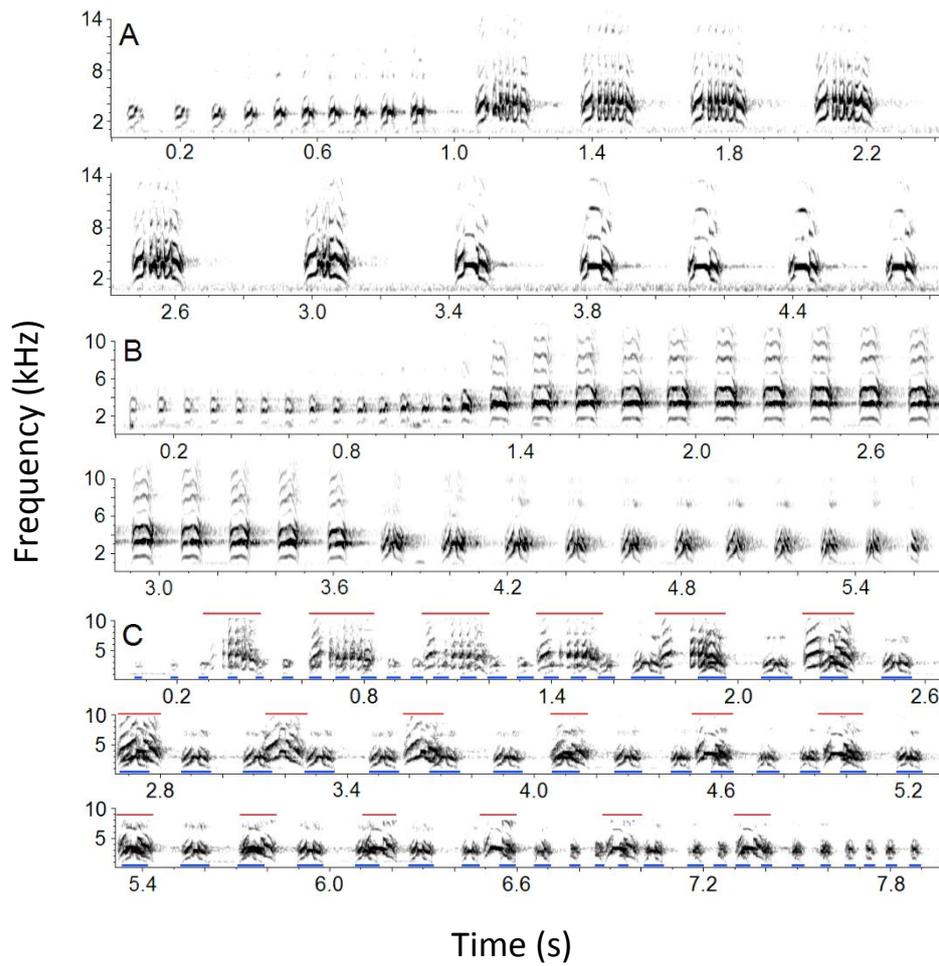


Figure 3-1. Spectrogram of solos (female in A, male in B) and duets (C) of the rufous hornero. Panel C: red and blue lines indicate female and male notes, respectively. Retrieved from Diniz (2017) (Chapter 1).

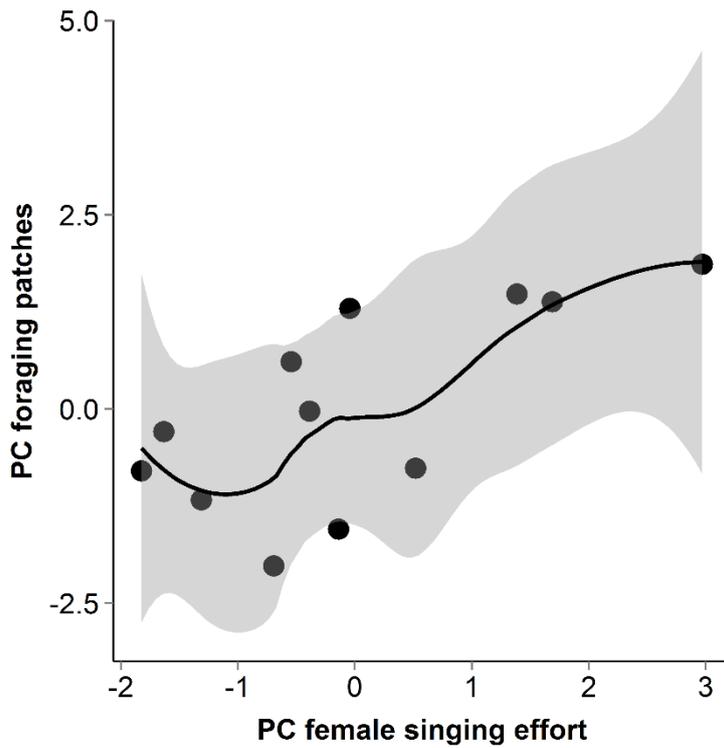


Figure 3-2. Relation between a proxy for foraging patches territory coverage and female song behavior in the rufous hornero. High values of ‘PC foraging patches’ correspond to absolute area and proportion of territory size covered by short-grasses and leaf litter. High values of ‘PC female singing effort’ correspond to high song initiation rate and song output, and low latency to answer partner song. Loess smoothing indicates mean trend (black line) and confidence interval (shadow).

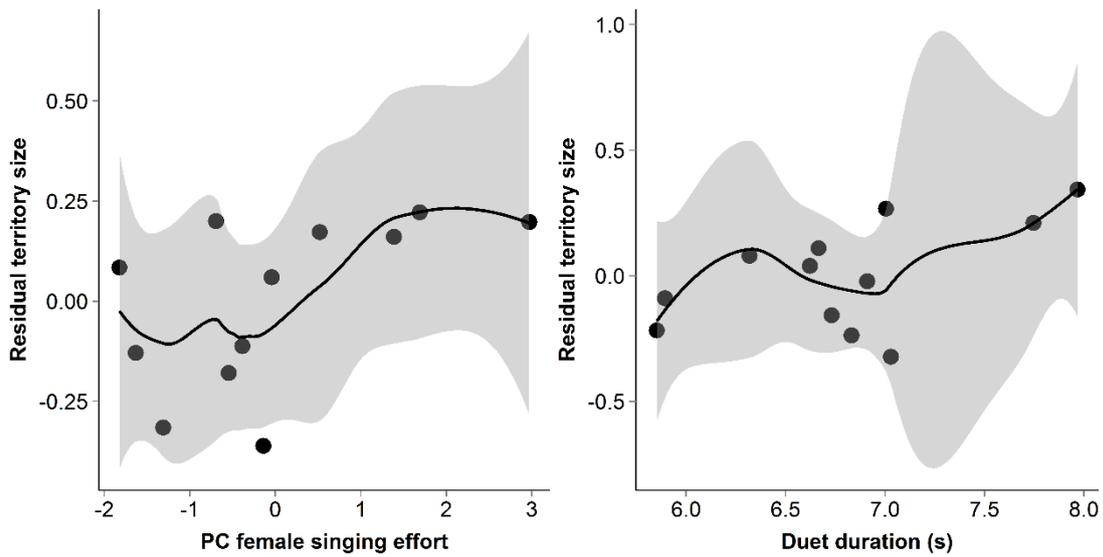


Figure 3-3. Relation between territory size and song traits in the rufous horned lark. Territory size is corrected for the effect of duet duration (on left) and “PC female singing effort” (on the right). High values of “PC female singing effort” correspond to high song initiation rate and song output, and low latency to answer partner song. Loess smoothing indicates mean trend (black line) and confidence interval (shadow).

Table 3-1. Extra-pair paternity (EPP) in socially monogamous, duetting, bird species (modified from Douglas et al. 2012, van den Heuvel et al. 2014).

Species	Family	Distribution	EPP (%)	
			Young	Broods
Carolina wren ^A	Troglodytidae	Temperate	0	0
Eastern screech-owl ^B	Strigidae	Temperate	0	0
California towhee ^C	Emberizidae	Temperate	26	42
Crimson-breasted shrike ^D	Malaconotidae	Subtropical	20	30
Purple-crowned fairy-wren ^E	Maluridae	Subtropical	4	6
Red-backed Fairy-wren ^F	Maluridae	Subtropical	47	60
Buff-breasted wren ^G	Troglodytidae	Tropical	4	3
Rufous-and-white wren ^H	Troglodytidae	Tropical	2	6
Pheasant coucal ^I	Cuculidae	Tropical	18.6	47.6
Dusky antbird ^J	Thamnophilidae	Tropical	0	0

^A *Thryothorus ludovicianus*, Haggerty et al. (2001); ^B *Megascops asio*, Lawless et al. (1997); ^C *Pyrgisoma crissale*, Benedict (2008b); ^D *Laniarius atrococcineus*, van den Heuvel et al. (2014); ^E *Malurus coronatus*, Kingma et al. (2010); ^F *Malurus melanocephalus*, Baldassarre et al. (2016); ^G *Thryothorus leucotis*, Gill et al. (2005); ^H *Thryothorus rufalbus*, Douglas et al. (2012); ^I *Centropus phasianinus*, Maurer et al. (2011); ^J *Cercomacra tyrannina*, Fleischer et al. (1997);.

Table 3-2. Principal component analysis for territory quality variables. Log-transformed variables are indicated.

	'PC nest sites'	'PC foraging patches'
Loadings		
Tree cover – absolute area (ha)	0.66	–0.26
Tree cover – proportion of territory size (% log)	0.60	–0.31
Grass cover – absolute area (ha)	–0.17	0.71
Grass cover – proportion of territory size (%)	–0.42	0.57
Eigenvalue	1.40	1.25
Variance explained (%)	0.49	0.39

Table 3-3. Principal component analysis for male and female song traits of the rufous hornero. Variables that were log-transformed before the analysis are indicated (log-f = female, log-m = male, log = both sexes).

	Female song		Male song	
	'PC singing effort'	'PC song answering'	'PC singing effort'	'PC song answering'
Loadings				
Number of initiated songs (log)	0.54	-0.27	0.59	0.13
Song output (s, log)	0.60	0.24	0.61	
Song answering rate (% , log-f)	0.38	0.56	-0.27	0.41
Latency to answer partner song (s, log-m)	-0.43	0.21	0.33	0.68
Phrase duration in duets (s)	-0.16	0.72	0.31	-0.59
Eigenvalue	1.37	1.19	1.52	1.04
Variance explained (%)	0.38	0.28	0.46	0.22

Table 3-4. Extra-pair paternity in our study population of the rufous hornero.

Year	% broods with EP		% EP nestlings (n nestlings)	Nestlings sampled
	nestlings (n broods)	Broods samples		
2013	0% (0)	18	0% (0)	52
2014	14.29% (2)	14	6.06% (2)	33
2015	0.06% (1)	14	5.71% (2)	35
Total	6.52% (3)	46	3.33% (4)	120

Table 3-5. Best-ranked models ($\Delta AICc < 2$) resulting from linear models to analyze the interrelation among territory attributes, song traits and breeding success in the rufous hornero. df = degrees of freedom. wi = weight.

Modelling scenario	Predictor variables	df	AICc	$\Delta AICc$	wi
Territory size ~ song traits	null model	2	38.3	0.00	0.37
	'PC female singing effort' + duet duration	4	39.7	1.40	0.18
	duet duration	3	40.2	1.87	0.14
Territory quality ('PC nest sites') ~ song traits	null model	2	38.3	0.00	0.49
	'PC male singing effort'	3	40.3	1.97	0.18
Territory quality ('PC foraging patches') ~ song traits	'PC female singing effort'	3	34.2	0.00	0.56
Number of fledglings produced ~ song traits	null model	1	37.0	0.00	0.33
	'PC male song answering'	2	37.5	0.52	0.26
	duet duration	2	38.8	1.86	0.13
Number of fledglings produced ~ territory attributes	null model	1	37.0	0.00	0.52
Post-fledging survival ~ song traits (individual-level)	'PC male singing effort'	2	19.0	0.00	0.34
	null model	1	19.0	0.08	0.32
Post-fledging survival ~ song traits (pair-level)	duet duration	2	18.7	0.00	0.45
	null model	1	19.0	0.35	0.38
Post-fledging survival ~ territory attributes	null model	1	19.0	0.00	0.51

SUPPLEMENTARY MATERIAL



Figure 3-4s. Perimeters (in yellow) of the 12 study territories in our urban population of rufous horneros, estimated by minimum polygon convex. Aerial image taken on 24 September 2015 at the campus of the University of Brasilia, central Brazil, during the end of the dry season.

Genetic analysis

We used Peterson et al. (2012) protocol to isolate and quantify the SNPs. We extracted the DNA from blood samples using the Qiagen® DNeasy Kit. We added 100µl of blood sample and then 200µl Buffer AL to a solution of 20µl proteinase K and 150µl PBS, and left incubating at 64°C for ~24h. Then, we added 200µl ethanol and retained the digested solution in spin columns. Finally, we washed the solution with buffers AW1

and AW2 and eluted DNA twice (50µl and then 100ul) with warm water (64°C). We measured DNA concentration for all samples with Qubit® dsDNA broad-range assay kit (mixing 2µl of DNA with 198µl Quant-iT™ working solution) in a Qubit® 2.0 fluorometer. DNA samples were diluted or concentrated when necessary to achieve the final, ideal concentrations (mean ± SD = 23.86 ± 5.87ng/ul, range = 15.0 and 35.3ng/ul, n = 240). We pooled samples with similar concentration values within the same standard Illumina multiplexing read index (index group, hereafter).

The second step is digest samples and ligate adapters (i.e. short DNA fragments that works as molecular barcodes). We had 20 adapters available to assign to each individual DNA sample, thus we divided our DNA samples into 12 groups of 20 samples (each group corresponded to one index group). A 12.5µl DNA sample from each genomic DNA sample was “double” digest and ligate to a unique adapter (for each index) in a 30µl reaction: 5.75µl water, 210µl CutSmart buffer, 1µl forward adapter (1:20 dilution of 5uM), 2.5µl reverse adapter (undiluted, 25uM), 3µl ATP, 0.75µl *MspI* enzyme, 0.75µl *SbfI-HF* enzyme, 0.75 T4 DNA ligase). This solution was incubated in a thermal cycler at 37°C (for 30min) and then at 20° (for 1h).

In the third step, reactions were combined within index groups. We added Serapure beads (a homemade AMPure XP, 1.5x reaction volume) to each reaction and captured beads on magnets (subsequently washed twice with 70% ethanol and eluted with 45µl Qiagen AE buffer, 40µl of supernatant collected). A 2µl of each elution was submitted to the Bioanalyzer at the Biotechnology Resource Center (BRC) at Cornell University for Pippin size selection (450-600bp). DNA fragments of post-pippin samples were amplified (up to five replicates) with Phusion DNA polymerase (reaction: 10µl post-pippin fragments, 12.5µl Phusion master mix, 1.25µl primer P1, 1.25µl index primer). PCRs settings for reactions: 98°C for 30s, 11 cycles at 98° for 5s, 60° for 25s and 72°C for 10s, followed by on cycle of 72°C for 5s. Then we pooled replicates from PCRs products within each index. In this step, each index was assigning to a molecular barcode (by 12 unique index primers).

In the fourth and last step, we used Serapure beads (0.7x volume of PCR reaction) to discard undesired PCR products following the same procedure described above (except

that here beads were re-suspended with 36 μ l Qiagen AE buffer and 34 μ l was collected of each of these samples). DNA fragment analysis (for 2 μ l of each sample) was conducted by the Bioanalyzer at the BRC at Cornell University. Considering concentration and size of DNA at each sample, we calculated and diluted 2nM with water and sent to the BRC for Illumina sequencing read.

Bioinformatics processing of SNPs

All reads from Illumina sequencing were submitted to quality checking and filtering using a series of programs. General quality of reads was accessed with FastQC program. Last 4bp was trimmed and reads with Phred quality score of 10 were discarded. Five percent of reads with Phred quality score of 20 was also discarded. Then, the program `process_radtags` was used to check for barcodes and demultiplex the data. The program `denovo_map.pl` was used to execute Stacks pipeline, aligning reads. Corrections to genotype was conducted on individual samples using the program `rxstacks` (minimum log-likelihood to keep a locus = -50.0). We run “populations” to identify the numbers of loci with potential SNPs. We set $r = 0.95$ (minimum percentage of individuals to have a locus processed) and $m = 10$ (minimum stack depth for an individual at a locus). A catalog of 243 identified loci was created (average missing data = 2.22%). We removed the loci that were not in Hardy-Weinberg equilibrium, and 183 out of 243 SNPs loci were kept for paternity analysis.

Table 3-6s. Characterization of 183 SNPs loci isolated from Rufous Hornero genomic DNA (230 individuals) for parentage analysis. N = number of individuals typed, H_{obs} = observed heterozygosity, H_{exp} = expected heterozygosity, NE-1P = average non-exclusion probability for one candidate parent, NE-2P = average non-exclusion probability for one candidate parent (when genotype information from the opposite sex is given).

SNP locus	N	H_{obs}	H_{exp}	NE-1P	NE-2P
119	219	0.370	0.388	0.925	0.844
131	227	0.339	0.388	0.925	0.844
140	225	0.449	0.470	0.890	0.820
164	225	0.440	0.441	0.903	0.828
165	221	0.480	0.501	0.875	0.813
361	228	0.566	0.499	0.876	0.813
465	223	0.381	0.392	0.923	0.843
737	222	0.473	0.500	0.876	0.813
1014	224	0.491	0.484	0.884	0.817
1140	228	0.487	0.496	0.878	0.814
1493	228	0.395	0.393	0.923	0.842
1566	220	0.423	0.443	0.902	0.828
1585	228	0.439	0.426	0.909	0.833
1603	221	0.371	0.375	0.930	0.848
1658	220	0.432	0.419	0.913	0.835
1764	229	0.485	0.443	0.902	0.828
1768	225	0.396	0.424	0.910	0.833
1780	225	0.436	0.396	0.922	0.841
1890	228	0.386	0.409	0.917	0.838
1892	227	0.427	0.392	0.924	0.843
1914	220	0.364	0.393	0.923	0.842
1939	229	0.533	0.499	0.876	0.813
1994	229	0.502	0.469	0.891	0.821
2079	227	0.432	0.499	0.876	0.813
2112	227	0.405	0.398	0.921	0.841
2123	224	0.460	0.481	0.885	0.818
2127	229	0.546	0.501	0.875	0.813
2278	224	0.473	0.437	0.905	0.829
2302	226	0.412	0.423	0.911	0.833
2408	230	0.443	0.395	0.922	0.842
2455	229	0.511	0.464	0.893	0.822
2707	227	0.502	0.497	0.877	0.814
2725	220	0.436	0.441	0.903	0.828
2835	230	0.530	0.501	0.875	0.813
2868	229	0.467	0.462	0.894	0.823
2869	218	0.454	0.414	0.915	0.836
2904	223	0.475	0.497	0.877	0.814
2933	219	0.457	0.448	0.900	0.826
2999	229	0.410	0.404	0.919	0.839

Table 3-6s

3223	227	0.392	0.379	0.928	0.847
3306	228	0.491	0.481	0.885	0.818
3347	223	0.466	0.414	0.915	0.836
3504	230	0.465	0.419	0.913	0.835
3527	223	0.408	0.384	0.927	0.845
3537	220	0.455	0.441	0.903	0.828
3602	228	0.425	0.395	0.922	0.842
3644	221	0.525	0.501	0.875	0.813
3721	229	0.445	0.494	0.878	0.814
3743	228	0.351	0.401	0.920	0.840
3791	224	0.446	0.443	0.902	0.828
3893	226	0.354	0.382	0.927	0.846
3923	225	0.471	0.436	0.905	0.830
3944	229	0.480	0.476	0.887	0.819
3956	220	0.514	0.497	0.877	0.814
3957	230	0.513	0.494	0.879	0.814
3966	218	0.546	0.501	0.875	0.813
4001	229	0.498	0.470	0.890	0.820
4019	224	0.496	0.481	0.885	0.818
4036	230	0.474	0.498	0.876	0.813
4080	229	0.410	0.432	0.907	0.831
4161	227	0.449	0.457	0.896	0.824
4185	227	0.445	0.436	0.905	0.830
4192	223	0.475	0.456	0.897	0.824
4254	225	0.462	0.430	0.908	0.832
4359	223	0.466	0.477	0.887	0.819
4371	224	0.500	0.488	0.881	0.816
4381	218	0.381	0.425	0.910	0.833
4430	229	0.524	0.499	0.876	0.813
4433	230	0.443	0.469	0.890	0.821
4451	226	0.456	0.485	0.883	0.817
4466	225	0.418	0.426	0.910	0.833
4523	228	0.482	0.445	0.901	0.827
4547	222	0.450	0.411	0.916	0.837
4559	221	0.385	0.414	0.915	0.836
4560	229	0.546	0.499	0.876	0.813
4573	229	0.424	0.406	0.918	0.839
4668	223	0.444	0.487	0.882	0.816
4949	221	0.443	0.470	0.890	0.820
4978	220	0.373	0.385	0.926	0.845
5017	220	0.541	0.501	0.875	0.813
5262	228	0.404	0.393	0.923	0.842
5323	222	0.477	0.491	0.880	0.815
5433	219	0.470	0.484	0.883	0.817
5439	221	0.389	0.401	0.920	0.840

Table 3-6s

5453	225	0.400	0.454	0.897	0.825
5479	228	0.465	0.491	0.880	0.815
5488	220	0.468	0.474	0.888	0.819
5503	223	0.395	0.398	0.921	0.841
5508	221	0.480	0.497	0.877	0.814
5531	218	0.463	0.498	0.877	0.813
5558	219	0.447	0.445	0.901	0.827
5575	220	0.418	0.432	0.907	0.831
5580	227	0.419	0.468	0.891	0.821
5583	226	0.527	0.481	0.885	0.818
5596	229	0.528	0.496	0.878	0.814
5666	227	0.427	0.445	0.901	0.827
5686	230	0.522	0.467	0.891	0.821
5695	224	0.411	0.405	0.918	0.839
5719	224	0.406	0.382	0.927	0.846
5731	229	0.511	0.484	0.883	0.817
5733	222	0.523	0.494	0.878	0.814
5812	219	0.438	0.490	0.881	0.815
5941	223	0.439	0.432	0.907	0.831
5970	224	0.357	0.385	0.926	0.845
5984	221	0.398	0.396	0.922	0.841
5986	222	0.441	0.485	0.883	0.817
6099	218	0.417	0.429	0.909	0.832
6101	226	0.372	0.382	0.927	0.846
6111	230	0.430	0.468	0.891	0.821
6254	219	0.502	0.494	0.879	0.814
6353	227	0.432	0.492	0.880	0.815
6360	228	0.496	0.501	0.875	0.813
6433	227	0.449	0.495	0.878	0.814
6435	220	0.495	0.495	0.878	0.814
6470	221	0.443	0.449	0.899	0.826
6507	230	0.483	0.498	0.877	0.813
6593	227	0.498	0.499	0.876	0.813
6650	226	0.447	0.488	0.882	0.816
6654	226	0.482	0.474	0.888	0.820
6702	228	0.447	0.416	0.914	0.836
6745	218	0.436	0.439	0.904	0.829
6748	224	0.464	0.499	0.876	0.813
6760	229	0.459	0.486	0.883	0.816
6763	228	0.412	0.473	0.889	0.820
6769	225	0.422	0.386	0.926	0.845
6782	229	0.467	0.475	0.888	0.819
6800	230	0.391	0.369	0.932	0.850
6851	222	0.374	0.389	0.925	0.844
6948	226	0.442	0.450	0.899	0.826

Table 3-6s

6976	223	0.475	0.494	0.879	0.814
6995	218	0.422	0.387	0.925	0.844
7037	223	0.516	0.485	0.883	0.817
7041	225	0.516	0.496	0.878	0.814
7059	230	0.452	0.486	0.882	0.816
7089	221	0.475	0.464	0.893	0.822
7101	222	0.509	0.491	0.880	0.815
7146	229	0.415	0.420	0.912	0.834
7166	227	0.441	0.480	0.885	0.818
7230	230	0.448	0.451	0.899	0.826
7387	229	0.467	0.449	0.900	0.826
7400	230	0.526	0.500	0.875	0.813
7452	227	0.489	0.491	0.880	0.815
7490	224	0.415	0.429	0.908	0.832
7527	228	0.364	0.391	0.924	0.843
7572	226	0.504	0.501	0.875	0.813
7810	227	0.410	0.392	0.924	0.843
7828	229	0.454	0.425	0.910	0.833
7998	227	0.515	0.492	0.879	0.815
8185	227	0.392	0.383	0.927	0.845
8347	225	0.431	0.421	0.912	0.834
8448	226	0.451	0.458	0.895	0.824
8499	223	0.408	0.462	0.894	0.823
8565	229	0.498	0.501	0.875	0.813
8708	230	0.478	0.501	0.875	0.813
8723	225	0.484	0.468	0.891	0.821
8784	224	0.531	0.498	0.876	0.813
8813	228	0.522	0.501	0.875	0.813
8901	226	0.465	0.483	0.884	0.817
8946	230	0.452	0.437	0.905	0.829
8985	224	0.455	0.413	0.915	0.836
9081	230	0.422	0.456	0.896	0.824
9089	226	0.456	0.483	0.884	0.817
9162	226	0.527	0.499	0.876	0.813
9223	226	0.504	0.475	0.888	0.819
9250	230	0.448	0.442	0.903	0.828
9270	229	0.432	0.454	0.897	0.825
9283	230	0.396	0.380	0.928	0.846
9294	222	0.414	0.436	0.905	0.83
9296	226	0.491	0.501	0.875	0.813
9306	228	0.461	0.495	0.878	0.814
9314	226	0.491	0.481	0.885	0.818
9348	229	0.463	0.489	0.881	0.815
9401	227	0.414	0.381	0.928	0.846
9498	229	0.546	0.492	0.879	0.815

Table 3-6s

9501	219	0.365	0.386	0.926	0.845
9632	218	0.468	0.455	0.897	0.824
9722	230	0.448	0.501	0.875	0.813
9752	227	0.330	0.383	0.927	0.845
9838	222	0.441	0.465	0.893	0.822
11505	223	0.363	0.392	0.923	0.843
14446	224	0.424	0.469	0.891	0.821
14516	219	0.470	0.498	0.877	0.813
14533	222	0.491	0.458	0.896	0.824

CAPÍTULO 4

Talking back: responses of a Neotropical bird to duets varying in temporal coordination⁵

⁵Uma versão deste capítulo será submetida para publicação como “Diniz P, Ramos DM, Webster MS & Macedo RH. Talking back: responses of a Neotropical Bird to duets varying in temporal coordination.”

1 **ABSTRACT**

2 Temporal coordination of duets consists of non-random overlap, alternation, or
3 association between rhythms of acoustic elements. Although previous studies suggest
4 that the cooperative nature of duetting behavior is crucial in joint territory defense, it
5 remains unclear whether the temporal coordination of duets plays a role in territorial
6 interactions. Since duet coordination presumably requires high attentiveness between
7 signalers, the coalition quality hypothesis suggests it may indicate the ability or
8 motivation of partners to engage in aggressive interactions. To test this hypothesis, we
9 monitored behavioral responses in a playback experiment conducted with a
10 polyphonal bird duetter, the rufous hornero, *Furnarius rufus*. We used three categories
11 of treatments in the experiment, which totaled six treatments: (1) duet playbacks that
12 varied in phrase overlap and coordination of temporal rhythms; (2) non-overlapped
13 (consecutive) solos; and (3) a control, using heterospecific song. We predicted that
14 birds would respond more strongly to the playback of coordinated duets than to
15 uncoordinated duets, and to uncoordinated duets than to non-overlapping male and
16 female solo songs. Partners coordinated 90% of their song responses into duets across
17 playback treatments. In general, both sexes approached the speaker, sang more
18 quickly and for longer periods in response to conspecific than heterospecific songs.
19 Although birds apparently distinguish songs, varying in rhythmic coordination and
20 overlap (as shown by slight behavioral differences in responses among conspecific
21 playback treatments), they responded with similar aggressiveness to all conspecific
22 songs in terms of closest approach to speaker, time spent in territorial vigilance,
23 latency to sing, song rate, song duration, and acoustic frequency parameters of duets.
24 Our results, therefore, do not support the coalition quality hypothesis for the role of
25 temporal coordination in duets. We suggest that temporal coordination in rufous
26 hornero duets might function in other ways, such as to improve signal propagation or
27 within-pair communication.

28 **Keywords:** coalition quality hypothesis, duetting, joint territory defense, polyphonal
29 duets, playback experiment, Rufous Hornero.

30 INTRODUCTION

31 Vocal communication is crucial to mediate interactions between organisms that
32 result in differential survival or mating success, thus shaping the evolution of social
33 behavior (Benedict 2010). An interesting case of vocal communication occurs when
34 animals exchange vocalizations with others in a coordinated way (Todt and Naguib
35 2000; Catchpole and Slater 2008). Vocal coordination of timing involves association of
36 signaling rhythms (Laje and Mindlin 2003; Benichov et al. 2016), alternation (Rivera-
37 Cáceres 2015) and/or overlap of vocal signals (Rehberg-Besler et al. 2016). Examples of
38 vocal coordination are abundant across many different taxa, and include: anuran
39 choruses (Schwartz et al. 2002; Rehberg-Besler et al. 2016), call exchange in bats
40 (Carter et al. 2009), call overlap in whales (Schulz et al. 2008), turn talking in humans
41 (Stivers et al. 2009; Benichov et al. 2016), male-male interactions in birds (Yang et al.
42 2014; Araya-salas et al. 2017), and vocal duets in many avian taxa (Hall 2004; Hall
43 2009; Templeton et al. 2013a). Duets are a special case of vocal coordination where
44 partners join their vocalizations for multiple purposes, especially to defend common
45 territories (Hall 2004; Hall 2009; Dahlin and Benedict 2013).

46 Duets are classified into two groups depending on their degree of timing
47 coordination: antiphonal or polyphonal duets (Hall 2009; Kovach et al. 2014).
48 Antiphonal duets involve precise alternation of male and females notes or phrases
49 with minimum or no overlap (e.g. plain wrens, *Cantorchilus modestus zeledoni*; Mann
50 et al. 2003). In polyphonal duets, male and female overlap phrases temporally and in
51 frequency (e.g. white-eared ground-sparrows, *Melospiza leucotis*; Sandoval et al.
52 2015), or only temporally (e.g. pheasant coucals, *Centropus phasianinus*; Maurer et al.
53 2008), without a clear coordination of timing. However, even among polyphonal
54 duetters that exhibit apparently uncoordinated duets, there may be coordination of
55 song rhythms (Todt et al. 1981; Laje and Mindlin 2003; Amador et al. 2005) or overlap
56 itself may be a form of coordination. Highly overlapped duets may demand a fast song
57 response by an individual to its partner's song when creating or ending a duet. The
58 existence of such a fast response may thus indicate level of attention (Smith 1994) or
59 spatial proximity between members of a social pair (Logue 2007). Hence, duet

60 coordination cannot be evaluated simply as a gradient between polyphonal and
61 antiphonal duets, but should be considered within a social context.

62 Three behavioral mechanisms have been suggested to explain temporal
63 coordination in duets (reviewed by Rivera-Cáceres 2015). A bird can produce songs in a
64 fixed reaction norm pattern, that is with inflexible tempo, that can be triggered by an
65 initial cue such as song initiated by its partner (e.g. african barbets; Payne and Skinner
66 1970). In a second mechanism, known as autogenous feedback (Logue et al. 2008), a
67 bird may modify its singing tempo during the development of a duet based on its own
68 preceding note timing. A third mechanism, called heterogeneous feedback (Fortune et
69 al. 2011), is when a bird modifies its singing tempo based on its partner's preceding
70 rhythm. A few previous studies on this topic have found empirical evidence for both
71 the autogenous and heterogenous hypotheses to explain temporal coordination in
72 antiphonal duetters among species of Neotropical wrens (Logue et al. 2008; Fortune et
73 al. 2011; Templeton et al. 2013; Rivera-Cáceres 2015).

74 Why should individuals overlap or alternate songs, or coordinate rhythms into
75 duets? Duet timing often involves a high degree of attentiveness to a partner's
76 behavior (Smith 1994), and this may be informative to territorial rivals (Hall and
77 Magrath 2007; Kovach et al. 2014). In this sense, duet coordination may reflect the
78 coalition quality of cooperative partners or their motivation to fight in territorial
79 contests (Hall and Magrath 2007; Kovach et al. 2014). Alternatively, animals may
80 overlap their songs to enhance the propagation of duet signals across greater
81 distances (Rehberg-Besler et al. 2016), especially in species with polyphonal, loosely
82 coordinated duets. Finally, sexual conflict may drive birds to join a partner's songs into
83 duets in an attempt to jam or mask their partner's songs (Seddon and Tobias 2006;
84 Dahlin and Wright 2007; Hall 2009; Tobias and Seddon 2009). In sum, temporal
85 precision could arise as a mechanism to avoid signal interference in contexts involving
86 either sexual conflict or cooperation (Hall 2009; Tobias and Seddon 2009). Therefore, it
87 is crucial to fully understand the causes of temporal precision in duets, as this will
88 allow us to determine whether the nature of duetting is based on conflict or
89 cooperation between partners (Hall 2009).

90 Some playback studies of territorial birds reveal that from a receiver's perspective,
91 duets are more threatening than solos, which suggests that duetting may function in
92 the maintenance of territory boundaries (Molles and Waas 2006; Douglas and Mennill
93 2010; Weng et al. 2012; Dowling and Webster 2016). However, we know very little
94 about what elements of duets make them more threatening or more efficient in
95 defending territories when compared with solo songs (Hall 2009; Kovach et al. 2014).
96 First, duets may reflect the spatial cohesion and readiness of two birds to defend a
97 common territory (Logue 2007; Hall and Peters 2008; Mennill and Vehrencamp 2008),
98 and thus two birds would impose a greater threat to territorial individuals than would
99 a solo intruder (numerical advantage hypothesis) (Molles and Waas 2006; Douglas and
100 Mennill 2010; Kovach et al. 2014). A second explanation, called the coalition quality
101 hypothesis, has been suggested to explain how duets may function in territorial
102 defense ((Hall and Magrath 2007; Kovach et al. 2014). This hypothesis predicts that the
103 degree of threat posed by a rival pair could be estimated by the coordination
104 properties of their duets, which would signal their ability, motivation, cooperation
105 and/or readiness to defend or acquire a territory.

106 Only two studies have tested the coalition quality hypothesis using a receiver
107 perspective (Hall and Magrath 2007; Kovach et al. 2014). In response to coordinated
108 (precisely alternating notes) versus uncoordinated duets (overlapping notes), magpie-
109 larks (*Grallina cyanoleuca*) sang at higher rates, suggesting that temporal precision in
110 duets increases perceived threat level (Hall and Magrath 2007). In contrast, three
111 studied species of Neotropical wrens did not perceive coordinated duets as more
112 threatening than uncoordinated duets or alternating solos (Kovach et al. 2014).

113 Duets are common among tropical birds. Rufous horneros (*Furnarius rufus*) are
114 socially monogamous Neotropical suboscines, with year-round territoriality (Fraga
115 1980; Massoni et al. 2012; Remsen and Bonan 2017). In this species, partners
116 coordinate the majority of their songs into duets, and duetting serves as a cooperative
117 behavior associated with the defense of common territorial resources and mutual
118 mate guarding (Diniz 2017) (Chapters 1 and 2). Their duets are polyphonal with highly
119 overlapped distinct male and female phrases (Roper 2005; Diniz 2017). Rufous hornero
120 duets have non-random degrees of phrase overlap, random note overlap, and variable

121 within-duet, male and female note rates (acceleration, deceleration and fixed tempo)
122 (Laje and Mindlin 2003; Roper 2005; Amador et al. 2005; Diniz unpublished data).
123 Mechanistic studies show that these birds coordinate phrase rhythms in response to
124 their partner's songs (i.e. heterogeneous feedback; both sexes) and internal tempo
125 (i.e. autogenous feedback; males only) (Laje and Mindlin 2003; Amador et al. 2005;
126 Diniz unpublished data). These patterns make the rufous hornero a useful model to
127 test the effect of rhythm coordination and phrase overlapping in the context of
128 territorial defense.

129 In this study, we used the rufous hornero to test the coalition quality hypothesis
130 (Hall and Magrath 2007) as an explanation for the temporal precision in duets, which
131 in turn could explain why birds normally respond more aggressively to duets than to
132 solo songs. According to the coalition quality hypothesis, temporal coordination in
133 duets reflects quality or motivation of a coalition to fight for resources, such as
134 territories (Hall and Magrath 2007). Thus, we predicted that birds would respond more
135 strongly to the playback of coordinated duets when compared with playbacks of
136 uncoordinated duets. A second prediction is that they would respond more strongly to
137 uncoordinated duets than to non-overlapping male and female solo songs. Since
138 rufous horneros perform duets that are loosely alternated, highly overlapped, but
139 rhythm coordinated (Laje and Mindlin 2003), we used playbacks of duets varying in the
140 degree of phrase overlap and phrase rhythm coordination as two measures of the
141 umbrella concept of 'duet coordination'.

142 **METHODS**

143 **a) Study area and field methods**

144 We conducted a playback experiment on 13 rufous hornero mated pairs (total of
145 26 birds) from an urban population on the campus of the University of Brasilia, central
146 Brazil (15°45'S, 47°51'W). Before the experiment, we assigned the sex of each
147 individual based on sex-specific song types (Roper 2005). Both adults were banded in
148 five mated pairs, and only one adult was banded in each of the remaining eight mated
149 pairs (three males banded and five females banded). The experiment occurred during

150 the pre-nesting season in August and September 2015. Descriptions of banding and
151 trapping methods can be found in Diniz et al. (2016). The study area has 395 ha
152 covered by green spaces (42% of the area) and buildings (13% of the area)
153 (Universidade de Brasília 2012). Isolated tall ornamental trees within a matrix of short
154 grasses characterize the green areas. The area has two seasons, a dry (from May to
155 September) and a wet (from October to April).

156 **b) Playback design**

157 The experiment consisted of broadcasting playback duet stimuli varying in the (a)
158 degree of overlap and (b) rhythm coordination between male and female phrases (see
159 Marshall-Ball et al. 2006; Hall and Magrath 2007). Our playback design consisted of six
160 treatments in which subjects were exposed to: (1) highly overlapped natural duet, (2)
161 loosely overlapped natural duet, (3) highly overlapped synthetic duet, (4) loosely
162 overlapped synthetic duet, (5) non-overlapped conspecific solo songs, and (6) a control
163 stimulus of song of great kiskadees (*Pitangus sulphuratus*). The highly and loosely
164 overlapped natural duets were obtained from recordings of spontaneous song of birds
165 in our population. The highly and loosely overlapped synthetic duets, as well as the
166 non-overlapping solo songs, on the other hand, were produced synthetically, as
167 described below (Figure 4-1; Figure 4-2; Table 4-1).

168 Since rufous hornero partners actively coordinate phrase rhythms in duets (Laje
169 and Mindlin 2003; Diniz unpublished data), we manipulated phrase rhythm
170 coordination by producing synthetic duets containing overlapping solos, and then
171 comparing responses of bird subjects to playbacks of natural duets versus synthetic
172 duets. Natural duets are representing duets with rhythmic coordinated phrases, and
173 synthetic duets are representing duets with rhythmic uncoordinated phrases.

174 We considered the degree of phrase overlap in duets as the proportion of the duet
175 duration wherein male and female phrases overlapped in the temporal scale. To
176 manipulate phrase overlapping in duets, we first created synthetic duet stimuli of
177 overlapping solos with variable degrees of overlapping. We also retrieved natural
178 duets with extreme degrees of phrase overlapping from our dataset (Diniz 2017)
179 (Chapter 1) obtained from our population to use as playback stimuli.

180 *Natural duet*

181 To create the overlapped natural duet stimuli, we used a dataset of 166 high
182 quality, spontaneous (i.e. non-playback induced) duets recorded from 36 pairs in our
183 study population (recording apparatus: Marantz PMD660 recorder, Sennheiser ME66
184 microphone, settings: WAVE format, sampling rate = 48kHz, resolution = 24-bits).
185 Synthetic stimuli are often preferred over natural stimuli, because the former control
186 for other acoustic variables correlated with the variable of interest (e.g., Kroodsma et
187 al. 2001; Cator et al. 2010; Reichert and Ronacher 2015). For instance, in rufous
188 hornero natural duets, the degree of song overlapping may be correlated with duet
189 duration (Diniz unpublished data). However, male and female horneros overlap their
190 songs into duets in both temporal and frequency domains (Laje and Mindlin 2003;
191 Roper 2005), which makes it impossible to extract male and female phrases from a
192 duet. Moreover, rufous hornero partners coordinate rhythms in polyphonal duets (Laje
193 and Mindlin 2003; Diniz unpublished data) and the creation of synthetic stimuli might
194 collapse duet coordination.

195 To create overlapped natural duet stimuli, we first used Raven Pro 1.5
196 (Bioacoustics Research Program 2014) to apply a high pass filter (500 kHz) to remove
197 background noise from all recordings in our dataset, and then normalized peak
198 amplitude (-0.1 dB) across all the duets using Audacity 2.1.0
199 (<http://audacity.sourceforge.net>). Then, we removed duet recordings with extreme
200 values of song overlap and transformed the variable 'degree of song overlap' to arc
201 sine in order to achieve a normal distribution, using R (R Core Team 2015). We
202 selected duet recordings in the lower and upper 20% ranges of overlap, and created
203 four subsets of spontaneous duets that varied in degree of song overlap and initiator
204 sex: (i) female-initiated and loosely overlapped, (ii) male-initiated and loosely
205 overlapped, (iii) female-initiated and highly overlapped, and (iv) male-initiated and
206 highly overlapped. Finally, we chose five duets from each of these four subsets to
207 create the stimuli set of natural duets.

208 *Synthetic duet*

209 To create synthetic duet stimuli, which consisted of overlapped solos and non-
210 overlapped solos, we selected nine male and nine female solo songs from our song
211 dataset. All solo recordings were high-pass filtered (500 kHz) and then normalized (-0.1
212 dB, peak amplitude). We amplified female solo stimuli (0 dB, peak amplitude), because
213 females sing at higher amplitude than do males in duets (Diniz, unpublished data). We
214 created four non-repeated combinations of random male and female solos, totaling 36
215 synthetic stimuli (18 female-initiated and 18 male-initiated).

216 We used the above-mentioned dataset of spontaneous duet recordings to set the
217 parameters and create synthetic stimuli. First, we removed outliers and arcsine
218 transformed the variable 'degree of song overlap in duets' to achieve normality in data
219 error distribution. Then, we obtained the 20% and 80% quantiles from a normal
220 population distribution. We considered values below the 20% quantile as loosely
221 overlapped duets and those higher than the 80% quantile as highly overlapped duets.

222 We averaged song overlap values within social pairs separately for each of the two
223 data subsets of natural, highly overlapped and loosely overlapped duets. Then, we
224 calculated the mean and SD for the ratio between degree of song overlap of loosely
225 overlapped natural duets and highly overlapped natural duets. Finally, we used these
226 mean and SD ratio values to create random values of overlap ratio between loosely
227 and highly overlapped synthetic duets from normally distributed data, using R (R Core
228 Team 2015). We also generated random latency values from the 20% range of highly
229 overlapped natural duets in order to increase the similarity between synthetic stimuli
230 and natural duet. We randomly allocated the overlap ratio values across our 36 male-
231 female solos stimuli combinations. In addition, we randomly allocated latency values
232 across our 18 male-female solos combinations for the highly overlapped synthetic duet
233 treatment. To create non-overlapped solos, we added a 1 sec interval between solos at
234 each male-female solos stimuli combinations in Audacity.

235 To produce the heterospecific stimuli we recorded nine songs from synoptic and
236 different great kiskadee individuals (or pairs), and used an additional song recording
237 from a nearby population of this species (recording apparatus: Song Meter SM2,
238 settings: WAVE format, sampling rate = 44.1kHz, resolution = 16-bits). We randomly

239 allocated each conspecific and heterospecific stimulus to each studied breeding unit.
240 All stimuli for the six treatments were stored at WAVE files for broadcasting (sampling
241 rate = 48kHz, resolution = 16-bits).

242 **c) Playback trials**

243 We subjected four socially paired birds in the field to playbacks of male-initiated
244 duet stimuli, and eight pairs to female-initiated duet stimuli. We subjected one social
245 pair to playback of mixed stimuli in terms of duet initiation. The social pairs were
246 exposed to six playback treatments, except one pair that started to incubate before
247 the last trial and thus was exposed to only five treatments. For each social pair, we
248 played back the six stimuli during the mornings of non-consecutive days (mean \pm SD =
249 4.94 ± 3.69 day-intervals; N = 64 intervals). The broadcast order of playback
250 treatments was randomly taken from a pool of unique set of stimuli for each social
251 pair, and, thus, there was no repetition of playback order across social pairs. The
252 identity of the stimulus set of synthetic duets and non-overlapped solos was randomly
253 taken for each pair from a pool of unique stimulus set. In other words, each pair was
254 exposed to playbacks of synthetic duets and non-overlapped solos made from the
255 unique male-female solos. Finally, we subjected each social pair to randomly taken
256 unique overlapped natural duet stimuli. We made sure all stimuli came from birds of
257 non-contiguous territories relative to the focal pairs (Radford 2005; Wiley 2013; Diniz
258 2017) (Chapter 2).

259 We used a single-speaker design to produce playbacks of the stimuli songs. This
260 method has disadvantages compared with the dual-speaker design, which provides a
261 spatially more realistic scenario (Douglas and Mennill 2010). However, it is impossible
262 to extract male and female contributions from natural rufous hornero duets to make
263 stereo stimuli (Roper 2005; Hall and Peters 2008; Diniz 2017) (Chapter 2). In addition,
264 the single-speaker playback design was previously tested in rufous horneros, revealing
265 that these birds are able to successfully distinguish among playbacks of duets, male
266 and female solos (Diniz 2017) (Chapter 2). Finally, rufous hornero partners normally
267 sing close to each other (<1m) in aggressive and non-aggressive contexts, producing
268 results from the single-speaker design similar to those from dual-speakers.

269 We used a Harman Kardon Onyx Studio, Bluetooth speaker to broadcast the
270 stimuli. For each trial, we positioned the speaker inside the territory, faced upward at
271 0.5 m above the ground. The maximum amplitudes of sound stimuli were calibrated to
272 92 dB in silent conditions. This is approximately the amplitude of rufous hornero duets
273 as measured in the field with a sound level meter (model SEW 2310SL; Diniz 2017)
274 (Chapter 2). We broadcast each sound stimulus (one song per trial) from a cellphone
275 using VLC, a WAVE player application for Android. We only triggered the stimulus
276 when both partners were less than 30m from the speaker.

277 One or two observers recorded the birds' physical and vocal responses to
278 playbacks using a Marantz PMD660 recorder and a Sennheiser ME66 microphone. We
279 tracked the focal birds until they both resumed foraging or nest building and each one
280 had sung at least one song. Trials lasted 12.95 ± 7.15 min (mean \pm SD, $n = 77$ trials).
281 Fifteen seconds before and after broadcasting the sound, we estimated the distance
282 between birds and the horizontal distance between each bird and the speaker. We
283 could not estimate these distances in a few trials: bird to speaker (both sexes) in pre-
284 playback period (one trial); female to speaker in post-playback period (two trials), male
285 to female in pre-playback period (11 trials); and male to female in post-playback period
286 (one trial).

287 **d) Responses to playback**

288 This species normally sings only once in the 5 min following conspecific playbacks
289 and does not sing very often in non-playback contexts (Diniz 2017) (Chapters 1 and 2).
290 To measure playback responses, we adopted a similar approach used in a previous
291 playback study (Diniz 2017). We measured four variables for physical response, and
292 seven variables for vocal response (Table 4-2). Three responses variables were
293 modelled as functions of conspecific, but not heterospecific, treatments: closest
294 approach, territorial vigilance and song rate.

295 We did not obtain acoustic frequency measures for solos, because only ~10% of
296 songs emitted in response to playbacks were solos (see also Diniz 2017) (Chapter 2).
297 We obtained the following parameters: mean and median frequencies, first and third
298 quantiles, interquantile range, spectral entropy and frequency centroid (Araya-Salas

299 and Smith-Vidaurre 2016; Diniz 2017) (Chapter 2). These parameters (<500 kHz
300 deleted, spectrogram window length = 1024, amplitude threshold = 15%) were
301 reduced with a principal component analysis (PCA, Quinn and Keough 2002), and two
302 scores with eigenvalues greater than 1 were retained (Table 4-3s).

303 We analyzed field recordings in Raven Pro 1.5 (Bioacoustics Research Program
304 2014), and obtained acoustic frequency measures of duets in R (warbleR package,
305 Araya-Salas and Smith-Vidaurre 2016).

306 **e) Statistical analyses**

307 Statistical analyses followed a similar approach as described in Diniz (2017)
308 (Chapter 2). We analyzed data in R (R Core Team 2015) with mixed modelling (lme4
309 function, Bates et al. 2015; Table 4-4s). All playback response variables were analyzed
310 separately and assuming a Gaussian error distribution, except song rate (Poisson) and
311 singing role (Binomial; Table 4-4s). We did not combine our response variables with a
312 PCA analysis (McGregor 1992), except the frequency parameters, because these
313 variables differ in sample size and not all are normally distributed (Quinn and Keough
314 2002).

315 We included playback treatment, sex and the interaction between these two
316 variables in all global (i.e. first) models. We also included playback order (e.g. second)
317 and stimulus duration (s) as fixed effects in all global models, the latter to control for
318 varying stimulus duration among playback treatments (Table 4-1). The order of vocal
319 response (e.g. second song in a trial) was added as a covariable to model vocal
320 response variables, except for latency to sing and song rate. Singing role was added as
321 a covariable to model song duration (Table 4-4s). To model song rate, we added the
322 time (h, log) we spent observing the bird as an offset. We included group and stimulus
323 identities as random effects in all global models. Individual identity was added nested
324 within group to model response variables at individual level. Finally, the playback trial
325 was added nested within individual and group identities as random effect to model
326 vocal response variables, except latency to sing and song rate. Response variables
327 were arc sine or log-transformed to achieve normality (see Table 4-4s). Predictors were
328 scaled before model selection to obtain comparable coefficients.

329 Model selection followed a stepwise backward procedure to find the best-fitted
330 model. We applied likelihood ratio test (LRT) to remove ($p \geq 0.1$) or maintain ($p < 0.1$)
331 predictor variables (Zuur et al. 2009). We made post hoc comparisons of predictor
332 levels retained in the best model using the functions “glht” (Hothorn et al. 2008) and
333 “lsmeans” (Lenth 2015). One outlier detected from boxplot inspection was removed to
334 analyze PC2 for acoustic frequency measures.

335 RESULTS

336 *Physical responses*

337 Both males and females reduced their distance to the speaker after the playback of
338 conspecific songs (LMM: playback treatment: $\chi^2 = 33.89$, $df = 5$, $p < 0.0001$, $N = 76$
339 trials; all $\beta < -9.48$, $t < -4.08$, $p < 0.0002$; mean \pm se = 11.18 ± 0.52 m approached, $N =$
340 63 trials, data pooled), but not in response to heterospecific songs (mean \pm se = -0.19
341 ± 0.45 m approached, $N = 13$ trials). Closest approach to the speaker was negatively
342 correlated with conspecific stimulus duration (LMM: $\chi^2 = 6.16$, $df = 1$, $p = 0.013$, $N = 64$
343 trials; $\beta \pm$ se = -0.28 ± 0.11), but did not vary across conspecific playback treatments
344 (LMM: $\chi^2 = 2.12$, $df = 4$, $p = 0.71$, mean \pm se = 4.31 ± 0.42 m, data pooled; Figure 4-3A),
345 when controlling for stimulus duration. Model coefficients reveal that partners stayed
346 closer to each other after the playback of conspecific songs ($\beta < -1.02$, $t < -2.16$, $p <$
347 0.03), except in highly overlapped synthetic duet ($\beta \pm$ se = -0.63 ± 0.48 , $t = 1.33$, $p =$
348 0.19), in comparison with heterospecific songs (LMM: $\chi^2 = 17.53$, $df = 5$, $p = 0.0039$, $N =$
349 76 trials; Figure 4-3B).

350 For both sexes, the time spent in territorial vigilance was positively correlated to
351 conspecific stimulus duration (LMM: $\chi^2 = 11.44$, $df = 1$, $p = 0.0007$, $N = 64$ trials; $\beta \pm$ se =
352 0.24 ± 0.07) and negatively correlated to playback order (LMM: $\chi^2 = 6.17$, $df = 1$, $p =$
353 0.013 ; $\beta \pm$ se = -0.14 ± 0.06). However, the time spent in territorial vigilance for either
354 males or females was not affected by conspecific playback treatments (LMM: $\chi^2 = 2.10$,
355 $df = 4$, $p = 0.72$; Figure 4-3C), when controlling for stimulus duration and playback
356 order.

357 *Vocal responses*

358 Most of the songs emitted by focal birds across playback treatments were duets
359 (90%, $n = 134$ songs). The latency to sing was lower after the playback of conspecific
360 songs (median < 6.38 s, 95% CI = 6.00–6.63s) than after the playback of heterospecific
361 songs (median = 730.56s, 95% CI = 468.32–1016.46; LMM: playback treatment: $\chi^2 =$
362 75.66, $df = 5$, $p < 0.0001$, $N = 75$ trials $\beta < 3.98$, $t < 8.13$, $p < 0.0001$; Figure 4-4A),
363 regardless of sex (LMM: playback treatment \times sex: $\chi^2 = 4.76$, $df = 5$, $p = 0.45$). However,
364 the latency to sing did not vary across conspecific playback treatments (post-hoc tests:
365 all $p > 0.05$). Males tended to sing more quickly than females after the playback (LMM:
366 sex: $\chi^2 = 3.27$, $df = 1$ $p = 0.07$; $\beta \pm se = -0.36 \pm 0.19$; Figure 4-4A).

367 Neither female nor male song rates varied among conspecific playback treatments
368 (GLMM: playback treatment: $\chi^2 = 0.72$, $df = 4$, $p = 0.95$, $N = 64$ trials; Figure 4-4B), and
369 song rate did not differ between the sexes (GLMM: $\chi^2 = 0.36$, $df = 1$, $p = 0.55$). For both
370 sexes, song rate increased with playback order ($\chi^2 = 5.87$, $df = 1$, $p = 0.015$; $\beta \pm se =$
371 0.16 ± 0.07) and tended to be negatively related to stimulus duration ($\chi^2 = 3.68$, $df = 1$,
372 $p = 0.055$; $\beta \pm se = -0.12 \pm 0.07$).

373 Males initiated 64% of the duets ($N = 118$ duets) across playback treatments.
374 Singing role (i.e. song initiator or song responder) was affected by the interaction
375 between sex and playback treatment (GLMM: $\chi^2 = 15.92$, $df = 5$, $p = 0.007$, $N = 75$ trials;
376 Figure 4-4C). Females were more likely to initiate than answer songs in response to the
377 playback of non-overlapped solos compared with synthetic duets (loosely overlapped
378 synthetic duet: $\beta \pm se = 1.78 \pm 0.71$, $z = 2.51$, $p = 0.012$; highly overlapped synthetic
379 duet: $\beta \pm se = 1.71 \pm 0.75$, $z = 2.70$, $p = 0.023$). Accordingly, males were more likely to
380 answer than to initiate songs in response to playbacks of non-overlapped solos
381 compared to loosely overlapped synthetic duet ($\beta \pm se = -1.49 \pm 0.68$, $t = 2.21$, $p =$
382 0.027). Males were also more likely (though marginally non-significantly) to answer
383 than to initiate songs in response to highly overlapped synthetic duet ($\beta \pm se = -1.40 \pm$
384 0.72 , $t = 1.95$, $p = 0.051$; Figure 4-4C).

385 Similarly, the latency to answer partner-initiated songs tended to vary with
386 playback treatment (LMM: $\chi^2 = 9.68$, $df = 5$, $p = 0.085$, $N = 75$ trials; Figure 4-4D),

387 regardless of the bird's sex (LMM: playback treatment \times sex: $\chi^2 = 1.75$, $df = 5$, $p = 0.88$).
388 Post-hoc tests indicate that birds answered their partner's songs more quickly to
389 create duets in response to the playback of loosely overlapped natural duets ($\beta \pm se = -$
390 0.97 ± 0.35 , $t = 2.74$, $p = 0.0096$) and loosely overlapped synthetic duets ($\beta \pm se = -0.72$
391 ± 0.34 , $t = 2.11$, $p = 0.04$) in comparison with heterospecific songs. In addition, birds
392 answered their partner's songs more quickly after the playback of loosely overlapped
393 natural duets than after the playback of non-overlapped solos ($\beta \pm se = -0.64 \pm 0.30$, t
394 $= 2.13$, $p = 0.04$; Figure 4-4D).

395 Song duration (length of duet phrases or solos) varied with playback treatment
396 (LMM: $\chi^2 = 13.92$, $df = 5$, $p = 0.016$, $N = 75$ trials; Figure 4-4E), singing role (LMM: $\chi^2 =$
397 17.10 , $df = 1$, $p < 0.0001$) and order of the vocal response (LMM: $\chi^2 = 3.91$, $df = 1$, $p =$
398 0.048), and tended to vary with sex (LMM: $\chi^2 = 3.33$, $df = 1$, $p = 0.068$). Both sexes sang
399 longer songs in response to the playback of natural duets (highly overlapped natural
400 duets: $\beta \pm se = 1.31 \pm 0.52$, $t = 2.51$, $p = 0.016$; loosely overlapped natural duets: $\beta \pm se$
401 $= 1.72 \pm 0.51$, $t = 3.38$, $p = 0.001$), non-overlapped solos ($\beta \pm se = 1.08 \pm 0.48$, $t = 2.24$, p
402 $= 0.030$) and loosely overlapped synthetic duets ($\beta \pm se = 1.45 \pm 0.48$, $t = 3.02$, $p =$
403 0.004) when compared with heterospecific songs. Males tended to sing longer songs
404 than females ($\beta \pm se = 0.41 \pm 0.22$), regardless of playback treatment (LMM: playback
405 treatment \times sex: $\chi^2 = 2.32$, $p = 0.80$; Figure 4-4E).

406 The frequency parameters of pairs' duets were not affected by playback treatment
407 (PC1, LMM: $\chi^2 = 2.01$, $df = 5$, $p = 0.85$; PC2, LMM: $\chi^2 = 2.53$, $df = 5$, $p = 0.77$, $N = 66$
408 trials). The degree of phrase overlap by partners in duets tended to be influenced by
409 playback treatment (LMM: $\chi^2 = 9.54$, $df = 5$, $p = 0.089$, $N = 75$ trials). Partners tended to
410 show a higher degree of phrase overlap in response to loosely overlapped natural
411 duets compared with non-overlapped solos ($\beta \pm se = 0.21 \pm 0.07$, $t = 2.32$, $p = 0.03$) and
412 heterospecific songs ($\beta \pm se = 0.14 \pm 0.06$, $t = 2.86$, $p = 0.007$; Figure 4-4F). Detailed
413 statistic results can be found in the Supplementary Material.

414 DISCUSSION

415 The coalition quality hypothesis (Hall and Magrath 2007) suggests that temporal
416 coordination in duets signals ability or motivation of partners to engage in aggressive
417 interactions with outsiders (Hall and Magrath 2007). Thus, we expected a higher
418 response to coordinated than uncoordinated duet playbacks in our experiment with
419 Neotropical rufous hornero pairs. In general, partners responded more strongly to
420 conspecific than to heterospecific songs, approaching the speaker, staying closer to
421 each other and singing promptly and for longer periods. These responses to the
422 playbacks converged between the sexes and across the treatments to which birds
423 were exposed.

424 Relative to the five conspecific treatments representing uncoordinated and
425 coordinated duets (Table 4-1), we found that neither male nor female responses varied
426 in terms of: closest approach to the speaker, time spent in territorial vigilance, latency
427 to sing, song rate and duration, and acoustic frequency parameters of duets.
428 Therefore, our results do not support the coalition quality hypothesis in attempting to
429 explain two potentially important measures of temporal coordination in polyphonal
430 duetting species: association of phrase rhythms (Laje and Mindlin 2003) and phrase
431 overlap degree (which means rapid reaction time, Smith 1994). The only two other
432 studies of polyphonal duetting species (banded wrens, *Thryothorus pleurostictus*;
433 rufous-and-white wrens, *Cantorchilus modestus*) produced similar results, showing no
434 difference in their responses to coordinated duets, uncoordinated duets and
435 alternated solos (Kovach et al. 2014). In fact, the coalition quality hypothesis has only
436 been supported in magpie-larks, an antiphonal duetter (Hall and Magrath 2007). Our
437 results, taken together with the two studies of polyphonal duetting species, suggest
438 that duet coordination almost certainly does not play a role in threat assessment by
439 territorial polyphonal duetters.

440 Although rufous horneros apparently responded more strongly to non-overlapped
441 solos, by approaching the speaker more closely and spending more time in territorial
442 vigilance (Figure 4-3), this pattern disappeared when controlling for stimulus duration.
443 Conspecific stimulus duration varied inversely with closest approach to the speaker,

444 and was positively correlated with time spent in territorial vigilance for both sexes.
445 These results suggest that longer duets represent a higher level of threat to rufous
446 horneros, leading them to stay closer to the speaker and spend more time in an alert
447 state. In other birds tested, duration of stimulus affects the response to playbacks and
448 also elicits longer duration of song responses (Lattin and Ritchison 2009; Linhart et al.
449 2012). Our results suggest that song duration may be relevant in mediating aggressive
450 interactions in rufous horneros and should be considered in future playback studies of
451 duetting species.

452 Partners responded slightly differently across conspecific playback treatments in
453 terms of singing role, latency to answer partner song and degree of song overlap in
454 duets, suggesting birds were able to distinguish among playback stimuli (see Kovach et
455 al. 2014). For example, females were more likely to initiate songs than males when
456 responding to non-overlapped solos, but not to other conspecific playback treatments.
457 Most non-overlapped solos stimuli used here were initiated by female solo song (see
458 Methods: Playback stimuli). Thus, our results suggest females take the lead in
459 territorial defense against other females in the breeding season. However, females
460 were not more likely to initiate songs in response to female solo songs versus male
461 solo songs in the non-breeding season (Diniz 2017) (Chapter 2), when females show
462 the highest rate of song initiation (Diniz 2017) (Chapter 1). Thus, it remains unclear
463 whether singing role in territorial horneros is sensitive to intruder sex or level of
464 threat.

465 If temporal coordination does not signal coalition quality, why do rufous horneros
466 (and possibly other polyphonal duetters) actively coordinate phrase timing into duets
467 in such complex ways (Laje and Mindlin 2003; Kovach et al. 2014)? Perhaps the
468 signaling of coalition quality depends upon other measures of coordination not
469 investigated here, such as consistency in reaction times (Thorpe 1963; Farabaugh
470 1982; Hall 2009). Consistency in reaction times is the variation in timing measures
471 between duets, that is, repeatability in the interval length between consecutive notes
472 in antiphonal duets (Kroodsma et al. 1987; Hall 2009; Rivera-Cáceres 2015). This is
473 particularly plausible if consistent reaction timing across duets is difficult to achieve in
474 comparison with the coordinated phrases within a single duet. However, rufous

475 horneros (Diniz 2017) (Chapter 1) and other Neotropical birds do not sing very often
476 (e.g. plain wrens, Cuthbert and Mennill 2007; rufous-naped wren, *Campylorhynchus*
477 *rufinucha*, Bradley and Mennill 2009), even when responding to simulated intruders
478 (Diniz 2017) (Chapter 2), which implies that rivals have little opportunity to evaluate
479 precision in reaction times. Future studies could submit polyphonal duetters (with high
480 song rates) to interactive playbacks of duets varying in reaction times, to test the role
481 of consistency in reaction times upon territorial interactions.

482 Instead of signaling coalition quality, an alternative explanation for phrase overlap
483 is that it could increase signal amplitude, allowing communal signals (such as duets) to
484 propagate to greater distances compared with solo songs (signal enhancement
485 hypothesis: Rehberg-Besler et al. 2016). In this context, perfectly overlapped phrases
486 in duets would be favored. However, although rufous horneros overlap their phrases,
487 their notes are not perfectly overlapped (Laje and Mindlin 2003; Roper 2005),
488 suggesting the maintenance of individual and sex acoustic elements that might be
489 important. Inter-duet variation in phrase overlap may also be a by-product of
490 individual location relative to the partner bird (Hall 2004; Logue 2007; Mennill and
491 Vehrencamp 2008). For example, an individual may take longer to answer a partner
492 song when the partner is farther away, resulting in decreased phrase overlap.

493 Within-pair communication can be another explanation for temporal coordination
494 in duets. For instance, in zebra finches, partners coordinate private calls during nest
495 relief in the incubation phase (Elie et al. 2010). Call structure and acceleration in duets
496 influence the time parents spend incubating and foraging, suggesting a vocal
497 negotiation over parental care by parents (Boucaud et al. 2016). In rufous horneros,
498 temporal precision in duets, and duet structure in general, may be used by partners to
499 inform and negotiate time budgets in foraging, territorial vigilance and breeding
500 activities, or even to synchronize these activities.

501 Although several playback studies have compared the response of territorial birds
502 to playbacks of duets versus solos (reviews: Hall 2004; Hall 2009; Douglas and Mennill
503 2010; Dahlin and Benedict 2013), they have not clarified whether the birds react to
504 the number of simulated intruders (one or two) or degree of coordination of duets.

505 Our study contributes towards solving this particular issue by isolating these factors, by
506 simulating the intrusion of pairs either overlapping (i.e. duets) or not overlapping their
507 songs (i.e. non-overlapped solos). Since rufous horneros respond more strongly to
508 duets than to solos (Diniz 2017) (Chapter 2), and here we found no effect of temporal
509 coordination of duets upon aggressiveness, our results suggest that the numerical
510 advantage encoded in duets might play a role in territorial interactions. As suggested
511 by Kovach et al. (2014), we advocate future studies about duet function in other
512 species that adopt experimental designs that allow differentiating between
513 coordination of duets and number of intruders.

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699

TABLES

Table 4-1. Mean \pm se and sample sizes for the stimuli created for the six playback treatments. Song overlap is the proportion of overlap between male and female phrases in a temporal scale. Latency is time difference between the start of the first song relative to the second song in a duet.

Playback treatment	Song overlap (%)	Latency (s)	Duration (s)	n stimuli created	n stimuli used
Highly overlapped natural duet ¹	93.22 \pm 0.49	0.29 \pm 0.03	6.71 \pm 0.47	10	10
Loosely overlapped natural duet ¹	57.86 \pm 2.86	2.31 \pm 0.25	6.98 \pm 0.38	10	9
Highly overlapped synthetic duet ²	74.99 \pm 3.62	0.27 \pm 0.03	5.01 \pm 0.14	20	12
Loosely overlapped synthetic duet ²	43.02 \pm 3.03	1.66 \pm 0.18	6.16 \pm 0.20	20	13
Non-overlapped solos ²		5.25 \pm 0.22	9.80 \pm 0.25	20	12
Heterospecific song			6.71 \pm 0.11	10	7

¹ Stimuli created from spontaneous duet; ² synthetic duet stimuli made from solo songs.

Table 4-2. Playback responses measured for each focal bird or pair. Modified from Diniz (2017) (Chapter 2).

Behavioral response	
Distance travelled to approach the speaker (m)	The distance between the bird's position at the closest approach to the speaker and the bird's position just before (1s) the playback
Closest approach to the speaker (m) ¹	Minimum distance between focal bird and speaker at a 15s-interval after broadcast of stimulus
Closest distance between partners (m)	Minimum distance between partners at a 30s-interval after broadcast of stimulus
Territorial vigilance (s) ¹	Time spent perched in vigilant state after broadcasting the stimulus until resuming foraging or nest building activities
Vocal responses	
Latency to sing (s)	Time taken for each focal bird to sing after the playback
Song rate (songs/h) ¹	Number of songs (solos and duet phrases) divided by the time the bird was observed
Singing role (initiator or responder)	Whether the bird initiated (solo or initiated duets) or answered (duet responder) each song
Latency to answer partner initiated songs (s)	Time taken for the bird answer each partner-initiated duet
Song duration (s)	Duration of each solo and duet phrase
Frequency parameters of pairs' duets (PC1, PC2)	Five acoustic measurements represented in two PCA scores
Degree of song overlap (%)	Proportion of each duet time that male and female phrases were overlapped in temporal scale

¹Modelled as a function of conspecific, but not heterospecific, treatments.

FIGURE LEGENDS

Figure 4-1. Experimental design showing how we manipulated the (i) proportion of overlap and (ii) rhythm coordination between male and female phrases in rufous hornero duets to produce the playback stimuli. (1) Highly and (2) loosely overlapped natural duets consisted of duet stimuli of which male and female phrases are coordinated rhythmically. (3) Highly and (4) loosely overlapped synthetic duet are synthetic stimuli made overlapped solos and are representing duets of which male and female phrases are not coordinated rhythmically. (5) Non-overlapped solos are synthetic stimuli made from spontaneous solo songs.

Figure 4-2. Examples of playback stimuli of duets varying in the (i) proportion of overlap and (ii) rhythm coordination between male and female phrases. Highly and loosely overlapped natural duets consisted of duet stimuli of which male and female phrases are coordinated rhythmically. Highly and loosely overlapped synthetic duet are synthetic stimuli made overlapped solos and are representing duets of which male and female phrases are not coordinated rhythmically. Non-overlapped solos are synthetic stimuli made from spontaneous solo songs. Female and male phrases indicated by grey and black bars, respectively. Great Kiskadee song was used as a control.

Figure 4-3. Physical responses of mated rufous horneros to the playback of duets varying in (i) phrase overlap and (ii) rhythm coordination. Playback treatments: HN – highly overlapped natural duet (coordinated phrase rhythms), LN – loosely overlapped natural duet (coordinated phrase rhythms), HS – highly overlapped synthetic duet (uncoordinated phrase rhythms), LS – loosely overlapped synthetic duet (uncoordinated phrase rhythms), NS – non-overlapped solos, Control – great kiskadee song. Bars indicate means and 95% CI.

Figure 4-4. Vocal responses of mated rufous horneros to the playback of duets varying in phrase overlap and coordination. Playback treatments: HN – highly overlapped natural duet (coordinated phrase rhythms), LN – loosely overlapped natural duet (coordinated phrase rhythms), HS – highly overlapped synthetic duet (uncoordinated phrase rhythms), LS – loosely overlapped synthetic duet (uncoordinated phrase rhythms), NS – non-overlapped solos, Control – great kiskadee song. Bars indicate means and 95% CI.

FIGURES

Figure 4-1

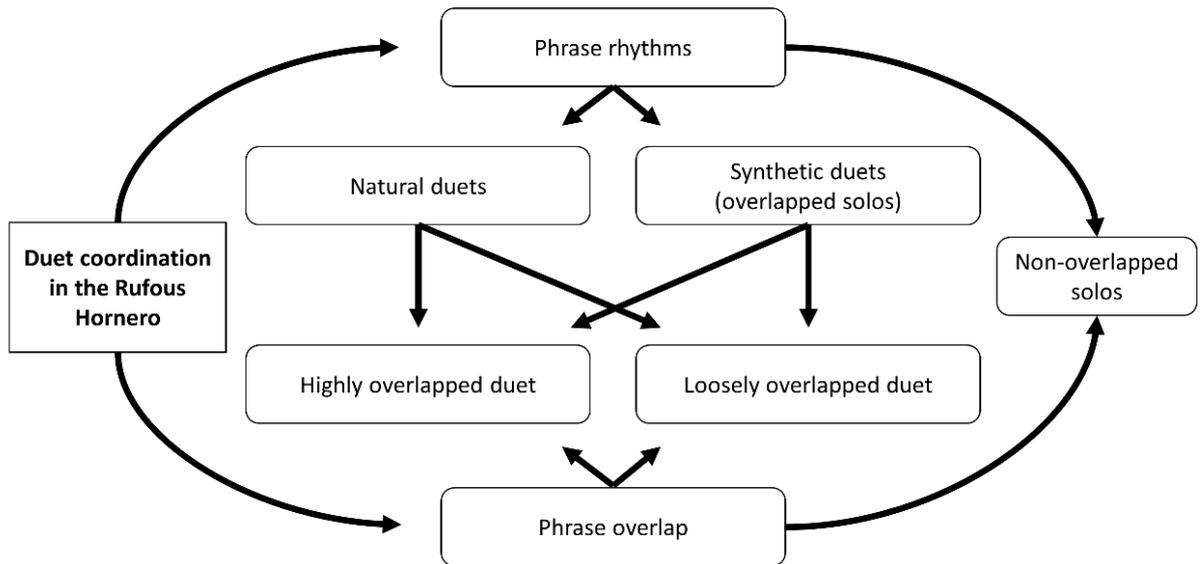


Figure 4-2

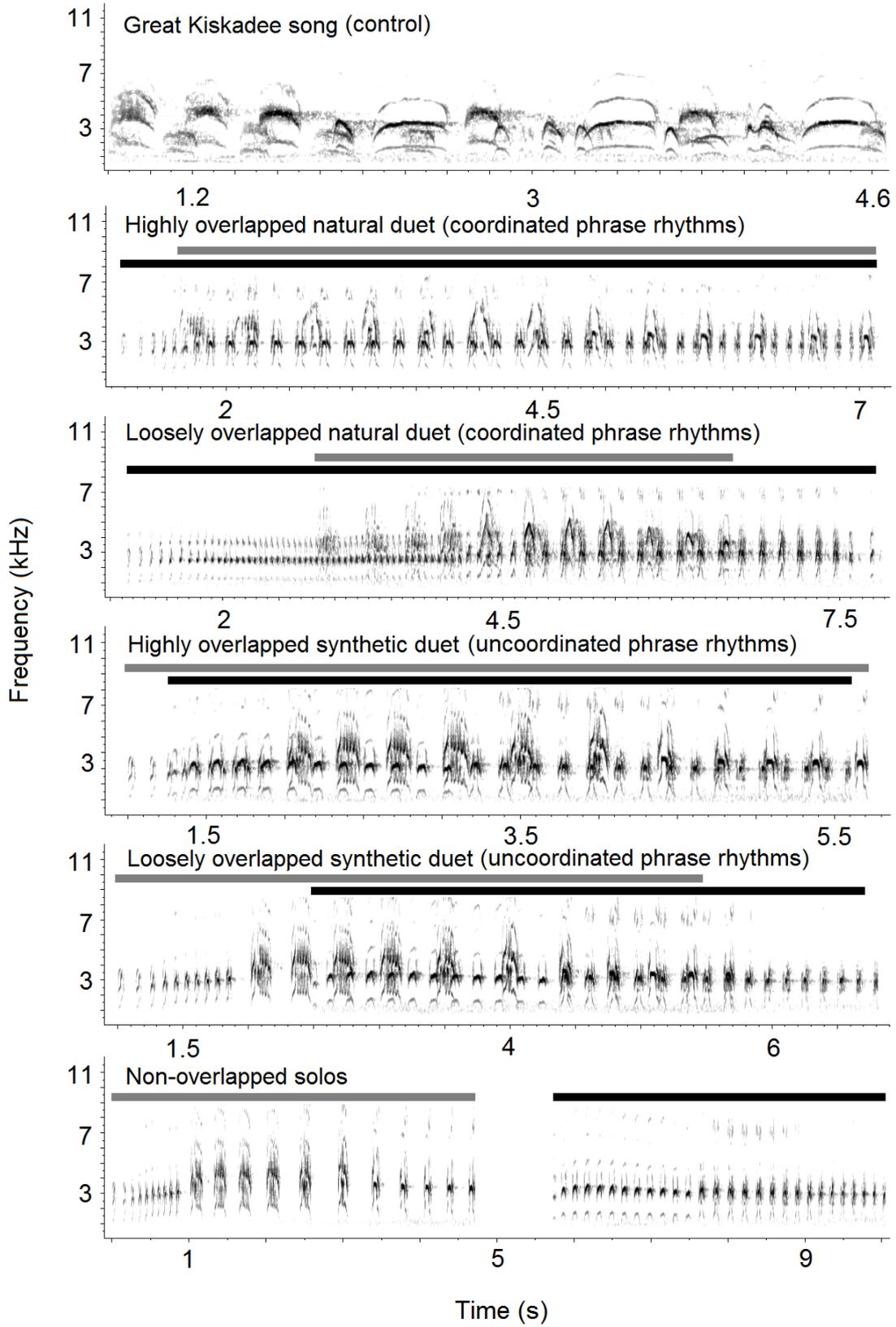


Figure 4-3

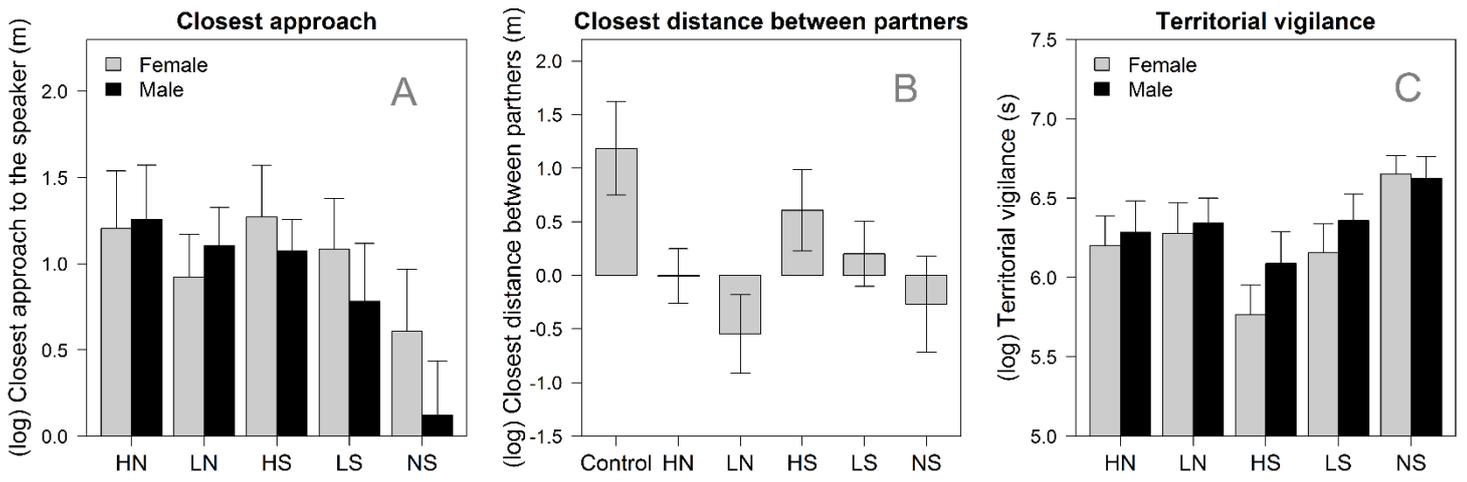
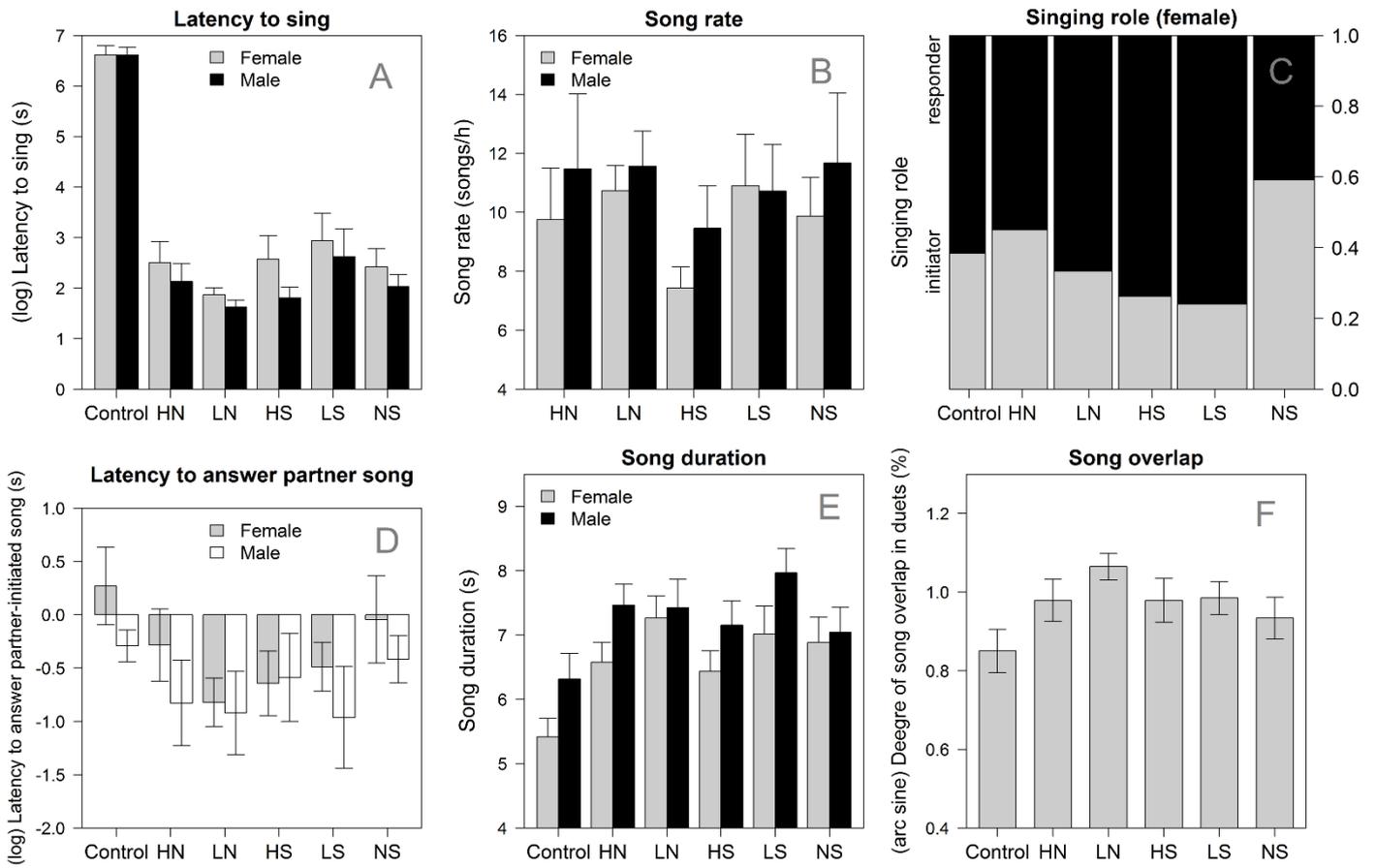


Figure 4-4



SUPPLEMENTARY MATERIAL

Table 4-3s. Principal component analysis for frequency parameters of pairs' duets.

	PC1	PC2
Eigenvalue	2.09	1.38
Variance explained (%)	62.39%	27.17%
Loadings		
Mean frequency (kHz)	-0.46	-0.12
Median frequency (kHz)	-0.35	-0.36
First quantile (kHz)	-0.23	-0.60
Third quantile (kHz)	-0.43	0.24
Interquantile range (kHz)	-0.28	0.57
Spectral entropy	-0.38	0.32
Frequency centroid	-0.46	-0.12

Table 4-4s. Global mixed models for physical and vocal playback responses.

Response variable	Family	Predictor variables	Random effects
Physical responses			
Distance travelled to approach the speaker (m)	Gaussian	treatment × sex + playback order + stimulus duration	Individual ID nested in group ID + stimulus ID
Closest approach to the speaker (m, log)	Gaussian	treatment × sex + playback order + stimulus duration	Individual ID nested in group ID + stimulus ID
Closest distance between partners (m, log)	Gaussian	treatment + playback order + stimulus duration	group ID + stimulus ID
Territorial vigilance (s, log)	Gaussian	treatment × sex + playback order + stimulus duration	Individual ID nested in group ID + stimulus ID
Vocal responses			
Latency to sing (s, log)	Gaussian	treatment × sex + playback order + stimulus duration	Individual ID nested in group ID + stimulus ID
Song rate (songs/h) ¹	Poisson	treatment × sex + playback order + stimulus duration	Individual ID nested in group ID + stimulus ID
Singing role (initiator or responder)	Binomial	treatment × sex + order of the vocal response + playback order + stimulus duration	Playback trial ID nested in individual ID nested in group ID + stimulus ID
Latency to answer partner initiated songs (s, log)	Gaussian	treatment × sex + order of the vocal response + playback order + stimulus duration	Playback trial ID nested in individual ID nested in group ID + stimulus ID
Song duration (s)	Gaussian	treatment × sex + order of the vocal response + playback order + stimulus duration + singing role	Playback trial ID nested in individual ID nested in group ID + stimulus ID
Frequency parameters of pairs' duets (PC1, PC2)	Gaussian	treatment × sex + order of the vocal response + playback order + stimulus duration	Playback trial ID nested in group ID + stimulus ID
Degree of song overlap (% arc sine)	Gaussian	treatment + order of the vocal response + playback order + stimulus duration	Playback trial ID nested in group ID + stimulus ID

ID = identity; ¹the time spent (in h) observing the focal bird was added as an offset in the model.

Table 4-5s. Backward stepwise model selection on global models of playback responses (Table 4-1s). We show the significance of each predictor in descending order as they were removed during the model selection. LRT = likelihood ratio test.

Response variable	Predictor variables	LRT	P
Physical responses			
Distance travelled to approach the speaker (m)	Order of the stimulus broadcasted	0.46	0.50
	Stimulus duration	0.52	0.47
	Treatment × sex	5.56	0.35
	Sex	1.14	0.29
	Treatment	33.89	<0.0001
Closest approach to the speaker (m, log)	Order of the stimulus broadcasted	0.57	0.45
	Treatment × sex	3.94	0.41
	Treatment	2.12	0.71
	Sex	1.00	0.32
	Stimulus duration	6.16	0.13
Closest distance between partners (m, log)	Order of the stimulus broadcasted	0.04	0.84
	Stimulus duration	1.00	0.32
	Treatment	17.53	0.0036
Territorial vigilance (s, log)	Treatment × sex	3.30	0.51
	Treatment	2.10	0.72
	Sex	2.10	0.15
	Order of the stimulus broadcasted	6.17	0.013
	Stimulus duration	11.44	0.0007
Vocal responses			
Latency to sing (s, log)	Stimulus duration	0.02	0.89
	Treatment × sex	4.76	0.45
	Sex	3.27	0.07
	Order of the stimulus broadcasted	4.28	0.039
	Treatment	75.66	<0.0001
Song rate (songs/h)	Treatment × sex	0.19	1.00
	Treatment	0.72	0.95
	Sex	0.36	0.55
	Stimulus duration	3.68	0.055
	Order of the stimulus broadcasted	5.87	0.015
Singing role (initiator or responder)	Order of the vocal response	0.04	0.84
	Order of the stimulus broadcasted	0.06	0.80
	Stimulus duration	0.06	0.80
	Treatment × sex	15.92	0.007
	Treatment	9.68	0.08
Latency to answer partner-initiated songs (s, log)	Treatment × sex	1.75	0.88
	Stimulus duration	0.14	0.71
	Order of the stimulus broadcasted	0.20	0.65
	Order of the vocal response	0.17	0.68
	Sex	2.11	0.15
	Treatment	9.68	0.08

Table 4-5s

Song duration (s)	Treatment × sex	2.32	0.80
	Stimulus duration	0.27	0.60
	Order of the stimulus broadcasted	0.43	0.51
	Sex	3.33	0.068
	Order of the vocal response	3.91	0.048
	Treatment	13.92	0.016
	Singing role	17.10	<0.0001
Frequency parameters of pairs' duets (PC1)	Stimulus duration	0.02	0.89
	Order of the vocal response	0.03	0.87
	Treatment	2.01	0.85
	Order of the stimulus broadcasted	0.29	0.59
Frequency parameters of pairs' duets (PC2) ¹	Order of the stimulus broadcasted	0.004	0.95
	Treatment	2.53	0.77
	Order of the vocal response	1.82	0.18
	Stimulus duration	2.23	0.14
Degree of song overlap (% arc sine)	Order of the stimulus broadcasted	0.08	0.78
	Order of the vocal response	0.54	0.46
	Stimulus duration	1.24	0.26
	Treatment	9.54	0.089

¹One outlier removed.

Table 4-6s. Beta (β) coefficients for playback treatments when retained in the best model resulting from model selection. P values were adjusted by false discovery rates. Playback treatments: HN – highly overlapped natural duet (coordinated phrase rhythms), LN – loosely overlapped natural duet (coordinated phrase rhythms), HS – highly overlapped synthetic duet (uncoordinated phrase rhythms), LS – loosely overlapped synthetic duet (uncoordinated phrase rhythms), NS – non-overlapped solos, Control – great kiskadee song. Bars indicate means and 95% CI.

Response variable	β	SE	z ratio or t value	<i>p</i>
Physical responses				
Distance travelled to approach the speaker (m) (both sexes)				
Control – HN	-9.48	2.32	-4.09	0.00015
Control – LN	-11.62	2.36	-4.92	<0.0001
Control – HS	-10.92	2.24	-4.88	<0.0001
Control – LS	-11.78	2.20	-5.36	<0.0001
Control – NS	-13.07	2.23	-5.85	<0.0001
HN – LN	-2.15	2.25	-0.95	0.34
HN – HS	-1.44	2.12	-0.68	0.50
HN – LS	-2.31	2.08	-1.11	0.27
HN – NS	-3.60	2.12	-1.70	0.096
LN – HN	0.70	2.17	0.32	0.75
LN – LS	-0.16	2.13	-0.08	0.94
LN – NS	-1.45	2.17	-0.67	0.51
HS – LS	-0.87	1.99	-0.44	0.67
HS – NS	-2.16	2.03	-1.06	0.29
LS – NS	-1.29	1.99	-0.65	0.52
Closest distance between partners (m, log) (both sexes)				
Control – HN	1.24	0.49	2.52	0.058
Control – LN	1.84	0.49	3.78	0.007
Control – HS	0.63	0.48	1.33	0.33
Control – LS	1.02	0.47	2.17	0.11
Control – NS	1.53	0.48	3.18	0.020
HN – LN	0.61	0.49	1.25	0.33
HN – HS	-0.60	0.47	-1.27	0.33
HN – LS	-0.21	0.47	-0.45	0.65
HN – NS	0.30	0.48	0.62	0.58
LN – HN	-1.21	0.47	-2.56	0.058
LN – LS	-0.82	0.47	-1.75	0.19
LN – NS	-0.31	0.48	-0.65	0.58
HS – LS	0.39	0.46	0.85	0.50
HS – NS	0.90	0.47	1.93	0.15
LS – NS	0.51	0.46	1.10	0.38
Vocal responses				
Latency to sing (s, log) (both sexes)				
Control – HN	4.38	0.46	9.50	<0.0001
Control – LN	4.87	0.45	10.75	<0.0001
Control – HS	4.54	0.46	9.81	<0.0001

Table 4-6s

Control – LS	3.99	0.49	8.13	<0.0001
Control – NS	4.43	0.49	9.12	<0.0001
HN – LN	0.48	0.41	1.17	0.46
HN – HS	0.16	0.42	0.39	0.81
HN – LS	-0.40	0.45	-0.89	0.52
HN – NS	0.05	0.44	0.10	0.92
LN – HN	-0.32	0.41	-0.78	0.55
LN – LS	-0.88	0.44	-1.98	0.13
LN – NS	-0.44	0.44	-1.00	0.52
HS – LS	-0.56	0.45	-1.24	0.46
HS – NS	-0.11	0.45	-0.26	0.86
LS – NS	0.44	0.47	0.93	0.52
Singing role (probability of sang as responder) (female)				
Control – HN	0.29	0.81	0.36	0.77
Control – LN	-0.36	0.81	-0.45	0.76
Control – HS	-0.72	0.84	-0.85	0.59
Control – LS	-0.79	0.81	-0.98	0.59
Control – NS	0.99	0.80	1.24	0.54
HN – LN	-0.65	0.72	-0.90	0.59
HN – HS	-1.01	0.77	-1.31	0.54
HN – LS	-1.08	0.72	-1.50	0.51
HN – NS	0.70	0.70	1.00	0.59
LN – HN	-0.36	0.76	-0.47	0.76
LN – LS	-0.43	0.72	-0.60	0.75
LN – NS	1.35	0.71	1.90	0.29
HS – LS	-0.08	0.76	-0.10	0.92
HS – NS	1.71	0.75	2.27	0.18
LS – NS	1.78	0.71	2.51	0.18
Singing role (probability of sang as responder) (male)				
Control – HN	-0.56	0.81	-0.69	0.81
Control – LN	-0.02	0.81	-0.03	0.98
Control – HS	0.32	0.84	0.38	0.81
Control – LS	0.42	0.81	0.51	0.81
Control – NS	-1.07	0.77	-1.40	0.54
HN – LN	0.54	0.71	0.75	0.81
HN – HS	0.88	0.77	1.15	0.63
HN – LS	0.97	0.72	1.34	0.54
HN – NS	-0.52	0.67	-0.77	0.81
LN – HN	0.34	0.75	0.46	0.81
LN – LS	0.44	0.71	0.62	0.81
LN – NS	-1.05	0.67	-1.57	0.54
HS – LS	0.09	0.75	0.12	0.97
HS – NS	-1.40	0.72	-1.95	0.38
LS – NS	-1.49	0.68	-2.21	0.38
Latency to answer partner-initiated songs (s, log) (both sexes)				
Control – HN	0.58	0.37	1.59	0.36

Table 4-6s

Control – LN	0.97	0.35	2.74	0.14
Control – HS	0.71	0.36	1.97	0.21
Control – LS	0.72	0.34	2.11	0.21
Control – NS	0.33	0.35	0.93	0.51
HN – LN	0.38	0.31	1.21	0.44
HN – HS	0.12	0.32	0.38	0.76
HN – LS	0.14	0.31	0.45	0.76
HN – NS	-0.26	0.31	-0.84	0.51
LN – HN	-0.26	0.31	-0.83	0.51
LN – LS	-0.24	0.29	-0.84	0.51
LN – NS	-0.64	0.30	-2.13	0.21
HS – LS	0.01	0.30	0.05	0.96
HS – NS	-0.38	0.31	-1.24	0.44
LS – NS	-0.40	0.29	-1.37	0.44
Song duration (s) (both sexes)				
Control – HN	-1.31	0.52	-2.51	0.078
Control – LN	-1.72	0.51	-3.38	0.022
Control – HS	-0.86	0.50	-1.73	0.23
Control – LS	-1.45	0.48	-3.02	0.031
Control – NS	-1.08	0.48	-2.24	0.11
HN – LN	-0.41	0.49	-0.84	0.55
HN – HS	0.45	0.48	0.95	0.55
HN – LS	-0.15	0.46	-0.32	0.75
HN – NS	0.22	0.46	0.48	0.68
LN – HN	0.86	0.46	1.87	0.21
LN – LS	0.26	0.44	0.59	0.68
LN – NS	0.63	0.45	1.41	0.32
HS – LS	-0.60	0.43	-1.38	0.32
HS – NS	-0.23	0.44	-0.52	0.68
LS – NS	0.37	0.42	0.89	0.55
Degree of song overlap (% arc sine) (both sexes)				
Control – HN	-0.12	0.08	-1.59	0.33
Control – LN	-0.21	0.07	-2.86	0.11
Control – HS	-0.12	0.08	-1.62	0.33
Control – LS	-0.13	0.07	-1.77	0.33
Control – NS	-0.07	0.07	-0.98	0.55
HN – LN	-0.09	0.07	-1.38	0.33
HN – HS	0.00	0.07	-0.01	0.99
HN – LS	-0.01	0.06	-0.10	0.99
HN – NS	0.05	0.07	0.76	0.57
LN – HN	0.09	0.07	1.38	0.33
LN – LS	0.09	0.06	1.38	0.33
LN – NS	0.14	0.06	2.23	0.24
HS – LS	-0.01	0.06	-0.09	0.99
HS – NS	0.05	0.06	0.78	0.57
LS – NS	0.06	0.06	0.92	0.55

Table 4-7s. Beta (β) coefficients of covariables retained in the best model resulting from model selection.

Response variable	Predictor variables	$\beta \pm SE$	z ratio or t value
Physical responses			
Closest approach to the speaker (m, log)	Stimulus duration	-0.28 ± 0.11	-2.54
Territorial vigilance (s, log)	Stimulus duration	0.24 ± 0.07	3.50
	Order of the stimulus broadcasted	-0.14 ± 0.06	-2.51
Vocal responses			
Latency to sing (s, log)	Order of the stimulus broadcasted	-0.23 ± 0.10	-2.29
Song rate (songs/h)	Stimulus duration	-0.12 ± 0.07	-1.89
	Order of the stimulus broadcasted	0.16 ± 0.07	2.43
Song duration (s)	Order of the vocal response	-0.20 ± 0.10	-1.99
	Singing role (responder relative to initiator)	-0.82 ± 0.19	-4.27

Table 4-8s. Standard deviation for random effects in the top models. ID = identity.

Response variable	Playback trial	Individual ID	Group ID	Stimulus ID
Physical responses				
Distance travelled to approach the speaker (m)		1.87	0.00	4.57
Closest approach to the speaker (m, log)		0.29	0.32	0.66
Closest distance between partners (m, log)			0.65	0.56
Territorial vigilance (s, log)		0.17	0.04	0.39
Vocal responses				
Latency to sing (s, log)		0.41	0.47	0.91
Song rate (songs/h)		0.00	0.00	0.00
Singing role (initiator or responder)	0.00	1.02	0.00	0.00
Latency to answer partner-initiated song (s, log)	0.08	0.29	0.00	0.00
Song duration (s)	0.00	0.30	0.73	0.74
Frequency parameters of pairs' duets (PC1)	0.97		0.58	0.00
Frequency parameters of pairs' duets (PC2)	0.16		0.52	0.00
Degree of song overlap (% arc sine)	0.03		0.08	0.00

Table 4-9s. Pseudo R-squares for fixed and random effects at each top model for each variable.

Response variable	Fixed effects	Random effects
Physical responses		
Distance travelled to approach the speaker (m)	0.38	0.47
Closest approach to the speaker (m, log)	0.07	0.52
Closest distance between partners (m, log)	0.17	0.35
Territorial vigilance (s, log)	0.18	0.49
Vocal responses		
Latency to sing (s, log)	0.63	0.27
Song rate (songs/h)	0.30	0.00
Singing role (initiator or responder)	0.18	0.20
Latency to answer partner-initiated song (s, log)	0.07	0.08
Song duration (s)	0.14	0.33
Frequency parameters of pairs' duets (PC1)	0.00	0.29
Frequency parameters of pairs' duets (PC2)	0.00	0.32
Degree of song overlap (% arc sine)	0.07	0.14

Table 4-10s. Sample sizes.

Response variable	Playback treatment (HC, HN, LN, HS, LS, NS)	Trials, groups, stimuli
Physical responses		
Distance travelled to approach the speaker (m)	13, 12, 13, 12, 13, 12	76, 13, 64
Closest approach to the speaker (m, log)	no control, 12, 13, 12, 13, 13	64, 13, 56
Closest distance between partners (m, log)	13, 12, 13, 13, 13, 12	76, 13, 64
Territorial vigilance (s, log)	no control, 12, 13, 13, 13, 13	64, 13, 56
Vocal responses		
Latency to sing (s, log)	12, 13, 13, 13, 11, 13	75, 13, 63
Song rate (songs/h)	no control, 13,13,13,12,13	64, 13, 56
Singing role (initiator or responder)	28, 40, 44, 39, 51, 48 (sexes combined)	75, 13, 63
Latency to answer partner-initiated song (s, log)	12, 19, 21, 19, 25, 22 (sexes combined)	75, 13, 63
Song duration (s)	28, 40, 44, 39, 51, 48 (sexes combined)	75, 13, 63
Frequency parameters of pairs' duets (PC1)	14, 17, 13, 12, 14, 16	66, 13, 56
Frequency parameters of pairs' duets (PC2)	14, 17, 13, 11, 14, 16	66, 13, 56
Degree of song overlap (% arc sine)	12, 19, 21, 19, 25, 22	75, 13, 63

HC – heterospecific control song, HN – highly overlapped natural duet (coordinated phrase rhythms), LN – loosely overlapped natural duet (coordinated phrase rhythms), HS – highly overlapped synthetic duet (uncoordinated phrase rhythms), LS – loosely overlapped synthetic duet (uncoordinated phrase rhythms), NS – non-overlapped solos.

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CAPÍTULO 5

Monochromatism, cryptic sexual dimorphism and lack of assortative mating in the Rufous Hornero (*Furnarius rufus albogularis*)⁶

⁶Uma versão deste capítulo foi publicada como “Diniz P, Ribeiro PHL, Rech GS, Macedo RH (2016) Monochromatism, cryptic sexual dimorphism and lack of assortative mating in the Rufous Hornero (*Furnarius rufus albogularis*) Emu – Austral Ornithology, 116(3): 294-300. doi: 10.1071/MU15118”

1 **ABSTRACT**

2 Neotropical ovenbirds (family Furnariidae) are largely sexually monomorphic and
3 monochromatic, which leads to the assumption that sexual selection has had little
4 effect on the evolution of the morphological and plumage traits of the species in the
5 family. We studied a wild population of the Rufous Hornero (*Furnarius rufus*
6 *albogularis*) and used morphological measurements, molecular sexing, spectrometer
7 analyses and visual modelling to investigate the assumption of sexual monomorphism
8 and monochromatism in this species. We also tested for assortative mating with
9 respect to these traits. On average, males had slightly longer wings and tails than
10 females but there were no sexual differences in other morphological traits (mass,
11 tarsus and bill) or in the spectral properties of plumage coloration for six body parts.
12 Visual modelling indicated that Rufous Horneros can perceive variation in colour
13 between individuals but colour does not vary with sex. We did not find any evidence of
14 assortative mating for size or colour traits. In conclusion, males from the studied
15 population differ slightly from females in external morphological measurements but
16 not in plumage coloration. This study is among the first to demonstrate complete
17 sexual monochromatism in birds assessed against the avian visual system.

18 Additional keywords: Furnariidae, neotropical birds, plumage colour, visual modelling.

19 INTRODUCTION

20 Sexual selection is the main driver of the evolution of sexual dimorphism and
21 dichromatism in birds (reviews by Owens and Hartley 1998; Dunn *et al.* 2001; Székely
22 *et al.* 2007). Many species, however, show little or no differences in external
23 morphology, including coloration, between the sexes, suggesting low levels of
24 variation in mating success and limited opportunity for sexual selection. This may be
25 the case in the Rufous Hornero (*Furnarius rufus*), a common Neotropical ground-
26 foraging species of ovenbird (family Furnariidae: ovenbirds and wood-creepers)
27 inhabiting rural and urban areas in central and southern South America (Marreis and
28 Sander 2006). Both male and female Rufous Horneros have cryptic reddish-brown
29 plumage coloration (Sick 2001). Rufous Horneros are socially monogamous, territorial
30 (Burger 1979; Sick 2001) with high adult survival rates (Fraga 1980) and parental care
31 of offspring is shared equally (Braga 2012; Massoni *et al.* 2012). It would thus appear
32 that the conditions for sexual selection to generate sexual dimorphism in the Rufous
33 Hornero are lacking.

34 The species comprising the Furnariidae are widely described as predominantly
35 sexually monomorphic and monochromatic (Skutch 1996; Sick 2001; Remsen 2003).
36 However, this assumption is based mostly on field observations and human perception
37 of colour rather than detailed objective analyses. Sexual monomorphism has been
38 investigated in only a small number of Furnariidae species and those studies have
39 found subtle sexual dimorphism, with males slightly larger than females (Winker *et al.*
40 1994; Faria *et al.* 2007; Moreno *et al.* 2007; Cardoni *et al.* 2009; Puebla-Olivares and
41 Figueroa-Esquivel 2009).

42 It has been argued that cryptic sexual dichromatism in the ultraviolet (UV) range –
43 a type of dichromatism perceived as monochromatism by human vision – is somewhat
44 unlikely in ovenbirds (Seddon *et al.* 2010). This is because antbirds (family
45 Thamnophilidae), and probably other tracheophone suboscines (family Furnariidae:
46 woodcreepers, ovenbirds and allies), have a visual system sensitive only to violet
47 within the visible spectrum (and not UV) and low levels of UV reflectance in their
48 plumages (Seddon *et al.* 2010; Tobias *et al.* 2012). However, even among violet-

49 sensitive bird species that are apparently monochromatic there are considerable
50 sexual differences in colour evident to the avian eye (Eaton 2005). To date, sexual
51 dichromatism has been objectively studied in only two furnariids, which showed
52 contrasting patterns: sexual monochromatism in the Thorn-tailed Rayadito
53 (*Aphrastura spinicauda*; Moreno *et al.* 2007) and dichromatism in the Puna Miner
54 (*Geositta punensis*; Eaton 2005).

55 Assortative mating is the correlation of any phenotypic trait across members of
56 mated pairs, and can evolve through selection on mating preferences or as a
57 consequence of ecological or physiological constraints (reviewed by Jiang *et al.* 2013).
58 Assortative mating in birds has been investigated in mutually ornamented species (e.g.
59 Regosin and Pruett-Jones 2001; Boland *et al.* 2004) and rarely been assessed in birds
60 without obvious ornamental traits (Delestrade 2001), such as the Rufous Hornero.
61 Investigation of assortative mating for colour and size in non-ornamented bird species
62 may contribute to our understanding on the evolution of cryptic sexual dimorphism
63 and dichromatism in birds.

64 Generating descriptive information about sexual dimorphism in poorly studied
65 avian taxa, such as the Furnariidae, is crucial to substantiating comparative and
66 behavioural research about the evolutionary causes and consequences of sexual
67 dimorphism in birds (e.g. Owens and Hartley 1998; Dunn *et al.* 2001; Székely *et al.*
68 2007). We used morphometric measurements, molecular sexing, spectrophotometer
69 analyses, and visual modelling to test for sexual dimorphism and dichromatism in a
70 wild population of Rufous Hornero from central Brazil. In addition, we tested for
71 assortative mating by size and plumage colour.

72 **METHODS**

73 **Field work and molecular sexing**

74 We studied an urban and wild population of Rufous Hornero on the campus of the
75 Universidade de Brasília, Brazil (15°45'S, 47°51'W). We captured 61 incubating birds
76 (31 males and 30 females) in September and October 2013, using a funnel fish-trap

77 placed over the entrance to the Rufous Hornero's domed nest as described by Braga *et*
78 *al.* (2014). We captured both members of 23 breeding pairs and one parent of 15 pairs.

79 We banded individuals with unique combinations of unnumbered coloured plastic
80 (Avinet, Dryden, New York, NY) or, occasionally, metal (Anilhas Capri, São Paulo, Brazil)
81 leg-bands. On capture, we collected ~60mL of blood using brachial venipuncture, and
82 blood samples were transferred to filter paper for later determination of sex. We also
83 recorded mass (using a dynamometer; Pesola AG, model Light Line 10050, Baar,
84 Switzerland; accuracy 0.5 g) and maximum unflattened wing-chord, tarsal-length (from
85 inter-tarsal joint to the base of the toes), tail-length (with one exception; from the
86 uropygial gland to the tip of the longest feather), bill-length (from anterior edge of
87 nostril to tip), and depth and width of bill at junction with skull (all with 150-mm digital
88 callipers, Stainless Hardened, China; 0.01mm graduations). All measurements were
89 taken by one person (P. Diniz). We also collected 3–4 feathers from each of the
90 following body regions: breast, throat, crown, back, rump and undertail-coverts. We
91 wrapped feathers in aluminum foil and stored them at room temperature and dry
92 conditions. Sex was determined for 55 individuals (27 females, 28 males) using
93 molecular methods (Griffiths *et al.* 1998) by a commercial laboratory (Grupo São
94 Camilo – Medicina Diagnóstica, Maringá, Paraná, Brazil); the sex of the remaining six
95 individuals (3 females, 3 males) was based on the sex of their partners as determined
96 by molecular methods.

97 **Measurements of plumage coloration**

98 We used an Ocean Optics USB4000 spectrometer and PX-2 pulsed xenon light-
99 source (Ocean Optics, Dunedin, FL, USA) to assess the spectral characteristics of
100 Rufous Hornero feathers. The PX-2 light-source provides illumination in the visible
101 spectrum for birds (300–700 nm). We positioned the feathers in an overlapping
102 pattern on a non-reflective, black velvet substrate. We placed the optical probe
103 perpendicularly above the feathers (at an angle of 90°) and measured reflectance
104 spectra three times – removing the probe and replacing it upon the feathers between
105 recordings – with the spectrometer and SpectraSuite software (Ocean Optics, Dunedin,
106 FL). We followed the SpectraSuite manual instructions to choose the configuration

107 parameters (integration time = 40 μ sec, scans to average = 50, boxcar width= 30).
108 These measurements of reflectance spectra (percentages) were obtained relative to a
109 white standard (WS-1-SS) and a dark reference (i.e. the black velvet substrate). We
110 used the combined average spectra for each body region of each individual to prevent
111 pseudoreplication in the analyses described below.

112 We analysed sexual differences in the colour of Rufous Hornero plumage with
113 visual modelling, which incorporates avian visual sensitivities (cone absorbance;
114 Vorobyev and Osorio 1998; Vorobyev *et al.* 1998). All analyses were performed in the
115 pavo package within R version 3.2.3 (R Development CoreTeam 2015), following the
116 systematic procedure suggested by Maia *et al.* (2013). Furnariids are likely to have a
117 violet-sensitive visual system as other suboscines (Seddon *et al.* 2010) but this has not
118 been studied in any species in the family. We therefore applied visual modelling to
119 consider both the average avian ultraviolet (UVS) and the average violet-sensitive (VS)
120 visual systems. We set the models assuming homogeneous illuminance across
121 wavelengths and absolute quantum catches, which is ideal to contrast colours through
122 ΔS (Vorobyev and Osorio 1998; Maia *et al.* 2013).

123 We used the visual models to measure the intrasexual and intersexual Euclidean
124 chromatic distances (ΔS) (Vorobyev and Osorio 1998), assuming a noise level of 0.1
125 (Weber fraction) for the long-wavelength sensitive photoreceptor (Vorobyev and
126 Osorio 1998; Olsson *et al.* 2015) and relative cone proportions for the Blue Tit
127 (*Cyanistes caeruleus*; wavelengths: UV or V = 1, short = 2, medium = 2, long = 4). ΔS is
128 expressed in just noticeable differences (JNDs) and indicates how two spectra are
129 perceived as different, considering the visual space of the receiver; values > 1 are
130 considered discernible by birds (Vorobyev *et al.* 1998; Endler and Mielke 2005). We
131 made 1830 comparisons of chromatic distances for each body region within each
132 visual system (UVS or VS): 435 intra-female comparisons, 465 intra-male comparisons
133 and 930 intersexual comparisons (31 males and 30 females).

134 We also extracted three colour variables from each spectrum to investigate sexual
135 differences in colorimetric reflectance: mean brightness (mean relative reflectance
136 over all wavelengths), contrast (difference between maximum and minimum

137 reflectances), and red chroma (reflectance of the red spectral range, 605–700 nm,
138 relative to the total brightness) (Montgomerie 2006; Maia *et al.* 2013). We did not use
139 UV chroma (reflectance of the UV spectral range, 300–400 nm, relative to the total
140 brightness) in subsequent analyses, because preliminary analyses showed low UV
141 chroma in feathers of all body regions (<5% for all except throat (12%)) and no sexual
142 differences in this variable (results not shown).

143 **Statistical analysis**

144 All analyses were carried out using R version 3.2.3 (R Development Core Team
145 2015). We tested for sexual dichromatism with linear mixed modelling and univariate
146 statistics. First, we modelled variation in ΔS (log-transformed) between individuals as a
147 function of the type of comparison (intra-female, intra-male or intersexual) interacting
148 with body region. We included the identities of the two individuals being compared,
149 the identity of the comparison (i.e. the combination of the two individuals being
150 compared) and the paired status of the individuals being compared (i.e. whether or
151 not they belonged to the same breeding pair) as random effects in the model. We
152 tested the existence of the interaction and main effects with analysis of deviance
153 (Wald χ^2 test). We carried out post hoc comparisons of least-squared means among
154 factor levels. If the Rufous Hornero is sexually dimorphic, we would expect ΔS to be
155 greater between sexes than within sexes and, on average, ΔS to be > 1 for intersexual
156 comparisons. Since the comparison of intrasexual and intersexual ΔS has never been
157 conducted for this species, we also modelled the four receptor quantum catches (UV
158 or V, short, medium and long wavelength) as a function of sex interacting with body
159 region (similar to Eaton 2005) in a mixed model (with individual identity as a random
160 factor). We found the same qualitative results as in the previous analyses (i.e.
161 monochromatism; results not presented here). We also used linear mixed modelling to
162 analyse sexual differences in each colorimetric variable (e.g. red chroma). We included
163 sex, body region and their interaction as predictor factors, and individual identity as a
164 random effect. Model inference followed the same protocol previously described.

165 We tested for sexual dimorphism with multivariate and univariate statistics. We
166 excluded body mass of one female before all analyses because she was thought to be

167 gravid (>60 g; Roper 2005). We used multivariate Hotelling's T-squared test to
168 investigate sexual dimorphism, comparing matrices of size measurements. We
169 identified and removed multivariate outliers (two female size data points) before the
170 analyses using Mahalanobis distances.

171 We used t-tests with Welch approximation for degrees of freedom to compare
172 colour and size variables between sexes. We identified and sequentially removed
173 univariate outliers before the analyses using Grubbs' test (Grubbs 1950). To express
174 the magnitude of morphological and plumage colour differences between the sexes,
175 we computed the effect size (i.e. magnitude) of mean differences between sexes for
176 each size variable using Cohen's d values and respective confidence intervals (see
177 Nakagawa and Cuthill 2007). For example, Cohen's d values of 0.2 and 0.8 are
178 considered small and large difference, respectively (reviewed by Nakagawa and Cuthill
179 2007).

180 We used a discriminant function analysis based on the maximum likelihood
181 estimation method of classification to investigate the accuracy of size measurements
182 to predict sex in the Rufous Hornero. In the discriminant analysis we did not include
183 plumage colour variables because sexes did not differ in colour (see below) or wing-
184 chord, because it was highly correlated with tail-length ($r > 0.5$). Outliers (two female
185 body size data points) were identified and removed before analyses. Finally, to test for
186 assortative mating in relation to size or coloration, we conducted correlation Mantel
187 and Pearson tests of these traits between paired individuals. In the Mantel test, we
188 used correlation of dissimilarity matrices (Euclidean distance) of multiple sexual traits,
189 separately, for colour and size measurements (999 permutations for each one). We
190 controlled for false discovery rates in multiple comparisons (see Benjamini and
191 Hochberg 1995).

192 **RESULTS**

193 We found no differences in plumage coloration between sexes of Rufous Hornero.
194 Although we found high inter-individual perceived chromatic distance (mean $\Delta S \pm$ s.e.:
195 UVS, 11.69 ± 0.14 ; VS, 5.44 ± 0.07), intersexual ΔS was not greater than intrasexual ΔS

196 (Wald χ^2 test: UVS $\chi^2 = 0.32$, $P = 0.85$; VS $\chi^2 = 0.32$, $P = 0.85$), and this result was
197 consistent for all body regions from which feathers were collected and analysed. We
198 found an effect of the interaction between body region and type of comparison (i.e.
199 intrasexual or intersexual) on ΔS (Wald χ^2 test: UVS $\chi^2 = 37.06$, $P < 0.0001$; VS $\chi^2 =$
200 47.85 , $P < 0.0001$). This interaction was a result of a tendency of smaller intra-female
201 ΔS compared with intersexual ΔS for undertail-coverts, but there was no difference
202 between intersexual ΔS and intra-male ΔS for these same feathers (Figure 5-1; Table
203 5-2s in supplementary material), as would be expected in sexual dichromatism.
204 Moreover, we found no difference between sexes in the measurements of
205 colourimetric reflectance (Wald χ^2 test: $\chi^2 < 0.67$, $P > 0.41$), regardless of the body
206 region from which feathers came (Wald χ^2 test: $\chi^2 < 6.57$, $P > 0.26$), though females
207 tended to have brighter breast feathers than males (Figure 5-2; Table 5-3s).

208 In contrast, male Rufous Horneros differed from females in external measurements
209 (Hotelling's T -squared test = 9.24, $P < 0.0001$). Male Rufous Horneros had, on average,
210 slightly longer (~4%) wings and tails than females, although sexes overlapped in
211 measurements (Figure 5-3), and males tended to have longer (1%) tarsi than females,
212 and to be lighter (2%) than females. There were no differences between sexes in bill-
213 depth, bill-length and bill-width (Table 5-1).

214 The discriminant function analysis had an 86% probability of correctly classifying
215 sex, correctly allocating 22 of 26 females and 27 of 31 males (Figure 5-4s). The analysis
216 generated the following discriminant function of unstandardised measurements:

$$217 \quad D = (-0.28 \times \text{mass}) + (0.41 \times \text{tail length}) + (0.20 \times \text{tarsal length}) + (2.42 \times \\ 218 \quad \text{bill depth}) - (1.01 \times \text{bill width}) - (0.91 \times \text{bill length}) + 13.31.$$

219 A positive D indicates an individual is male, and a negative D , female. The
220 largest absolute loadings (i.e. contribution to the predicted sex) of standardised
221 measurements were given by tail-length (1.14) and mass (-0.67), followed by bill-
222 length (-0.70), bill-depth (0.54), bill-width (-0.30), and tarsal-length (0.19). Thus, for
223 example, the longer the tail, the higher the chance of an individual being predicted as
224 male by discriminant analysis.

225 We found no correlation between paired individuals in colour (Mantel test, $P >$
226 0.13) or size (Mantel test, $r = 0.01$, $P = 0.40$, $n = 23$ breeding pairs; see Table 5-4s and
227 Table 5-5s).

228 **DISCUSSION**

229 Our results show that our population of Rufous Hornero males from central Brazil
230 have slightly longer tails and wings (~4%) and tend to have longer tarsi than females,
231 and that females tend to be marginally heavier than males. Despite the slight
232 differences in size between sexes and the overlap in size between sexes, the
233 discriminant analysis correctly classified most of the studied birds (86%). We found no
234 differences in sex for other measurements of size, a pattern of sexual dimorphism also
235 described for the Henna-capped Foliage-gleaner (*Hylocryptus rectirostris*; Faria *et al.*
236 2007) and similar to the pattern found in other ovenbirds, where males are slightly
237 larger than females (Moreno *et al.* 2007; Cardoni *et al.* 2009; Puebla-Olivares and
238 Figueroa-Esquivel 2009). Montalti *et al.* (2004) found no differences between sexes in
239 length of wing or tail in the Rufous Hornero, but the mean values for both traits for
240 males that they presented were outside the range of their measurements, indicating
241 some error in their analysis. Because of the subtle nature of sexual dimorphism in
242 ovenbirds, we suggest that future studies of horneros (*Furnarius* spp.) use highly
243 precise measurements (e.g. reducing measuring bias) and include measurements of
244 additional morphological traits to increase the accuracy of sex-determination by
245 morphology.

246 Sexual size-dimorphism in birds may have arisen from differences between sexes in
247 mating competition, display agility and resource division, or female fecundity (Székely
248 *et al.* 2007). Sexual dimorphism in the flight feathers of our studied Rufous Hornero
249 population may have resulted from differences between sexes in territorial
250 competition (Owens and Hartley 1998), in which the reproductive value of a territory is
251 typically higher for males than for females. Alternatively, Rufous Horneros may not be
252 able to recognise sexes based on this small difference in size between sexes, which in
253 turn may have evolved as a by-product of fertility selection for smaller females
254 (Székely *et al.* 2007). Other hypotheses could include sex-specific feather abrasion

255 (Merilä and Hemborg 2000), for example as a result of the long incubation bouts of
256 female Rufous Horneros at night (Fraga 1980), or age-specific differences in length of
257 feathers (Francis and Wood 1989) coupled with sex-specific adult mortality. We found
258 no assortative mating for size, suggesting that mutual mate-choice is unlikely to drive
259 the evolution of these traits. Future studies could address these functional
260 explanations for the evolution of sexual dimorphism in this Rufous Hornero population
261 and test if these birds can distinguish sexes by size.

262 Our results suggest the Rufous Hornero is sexually monochromatic. We found high
263 chromatic distances (ΔS) between individuals. However, ΔS was not greater between
264 sexes than within sexes. Since ΔS measures how birds can discriminate colours, in
265 relation to the avian visual colour space (Endler and Mielke 2005), these results
266 suggest that Rufous Horneros can use colour to discriminate between individuals but
267 not between sexes. In addition, we found no differences in plumage reflectance
268 between the sexes of the Rufous Hornero, except a tendency of females to have
269 brighter breast feathers. Finally, we did not find any evidence of assortative mating
270 based on plumage colour. These results suggest that, for this species, sexual selection
271 is unlikely to have been important in the evolution of plumage colour, and that natural
272 selection may have influenced the evolution of this trait in a similar way for both sexes.

273 Previous studies have suggested that selection for female crypsis may drive the
274 evolution of sexual dichromatism (Burns 1998), with nest predation being among the
275 mechanisms favouring female crypsis (Martin and Badyaev 1996; Götmark *et al.* 1997).
276 However, rates of nest predation appear to be low in the Rufous Hornero (25%,
277 Massoni *et al.* 2012), and another study suggests weaker selection on plumage crypsis
278 in species with concealed nests (i.e. hanging baskets or domed nests, such as the
279 Rufous Hornero) compared with open-nesting birds (Drury and Burroughs 2015). The
280 Rufous Hornero forages on the ground and both sexes have very similar foraging and
281 parental care behaviours (Fraga 1980), suggesting males and females are under similar
282 predation risk. Thus, we suggest that adult predation rather than nest predation may
283 be favouring the evolution of crypsis in both sexes of the Rufous Hornero.

284 Our study is among the first to demonstrate complete sexual monochromatism in
285 birds in relation to the avian visual system (see also Eaton 2005; Burns and Shultz
286 2012; Doutrelant *et al.* 2013). Sexual monochromatism is likely to evolve in birds that
287 exhibit negligible UV-reflection (Seddon *et al.* 2010), and such seems to be the case for
288 furnariids. On the other hand, cryptic sexual dichromatism could be more likely in UV-
289 reflecting taxa, like tanagers and cardinals (Burns and Shultz 2012).

290 It has been suggested that individual recognition of conspecifics may be rare
291 among species of ovenbirds because of their apparent monomorphism and
292 monochromatism (Skutch 1996). However, our study suggests that individual identity
293 may be assessed by plumage colour, with such recognition possibly selected in socially
294 monogamous species with high pair-fidelity and permanent territoriality (Fraga 1980),
295 like the Rufous Hornero. Rufous Horneros also appear to be able to recognise
296 conspecific individuals acoustically, and their song duets are characterised by sex-
297 specific elements (Roper 2005). In summary, male Rufous Horneros in the studied
298 population are slightly larger than females but the sexes do not differ in plumage
299 coloration. Cryptic sexual dimorphism and sexual monochromatism are probably
300 widespread in ovenbirds (furnariids), and more studies on sexual differences in colour
301 and size in other species of Furnariidae are desirable to shed light on this hypothesis.

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TABLES

Table 5-1. Sexual differences in external morphology of adult Rufous Horneros. Cohen's *d* expresses the effect size (\pm 95% confidence interval (CI)) of mean differences in morphological attributes between the sexes (Nakagawa and Cuthill 2007). The *t*-test: all birds is testing means for all males vs all females; the paired *t*-test is testing means for males vs females of a mated pair; the degrees of freedom (d.f.) for all birds is computed assuming the Welch approximation. Values of *P* in bold indicate significant results ($P < 0.05$) after controlling for false discovery rates (Benjamini and Hochberg 1995)

Measurement	Mean \pm SE		Cohen's <i>d</i> (CI)	<i>t</i> -test: all birds		Paired <i>t</i> -test (<i>n</i> = 23 breeding pairs, d.f. = 22)	
	Male (<i>n</i> = 31)	Female (<i>n</i> = 30)		<i>t</i> (df)	<i>P</i>	<i>t</i>	<i>P</i>
Bill depth (mm)	5.69 \pm 0.04	5.64 \pm 0.04	0.23 (−0.29, 0.75)	0.90 (57.73)	0.37	1.45	0.16
Bill length (mm)	15.64 \pm 0.11	15.70 \pm 0.17 ^A	−0.08 (−0.60, 0.45)	−0.30 (48.62)	0.77	−1.26	0.22
Bill width (mm)	5.78 \pm 0.04	5.84 \pm 0.07	−0.24 (−0.76, 0.28)	−0.92 (46.64)	0.36	−0.73	0.47
Mass (g)	52.34 \pm 0.40	53.57 \pm 0.44 ^A	−0.53 (−1.07, 0.0008)	−2.07 (57.10)	0.043	−1.16	0.26
Tail-length (mm)	68.92 \pm 0.48	66.12 \pm 0.37 ^B	1.18 (0.60, 1.75)	4.60 (55.16)	< 0.0001	3.78	0.001
Tarsal-length (mm)	32.92 \pm 0.18	32.45 \pm 0.15	0.51 (−0.02, 1.04)	2.01 (58.13)	0.049	1.50	0.15
Wing-length (mm)	92.75 \pm 0.58	89.36 \pm 0.34	1.28 (0.70, 1.85)	5.02 (48.27)	< 0.0001	6.23	< 0.0001

^A *N* = 29, with single outlier removed.

^B *N* = 29, with data missing for one female.

FIGURE LEGENDS

Figure 5-1. Mean chromatic distances (\pm s.e.) within and between sexes of the Rufous Hornero. JNDs, just noticeable differences; UVS, ultraviolet-sensitive; VS, violet-sensitive.

Figure 5-2. Mean reflectance (\pm s.e.) of the reddish-brown feathers from six body regions of male ($n = 31$, dark shade) and female ($n = 30$, light shade) Rufous Horneros.

Figure 5-3. Covariation between lengths of wing and tail of male ($n = 31$) and female ($n = 29$) Rufous Horneros.

FIGURES

Figure 5-1

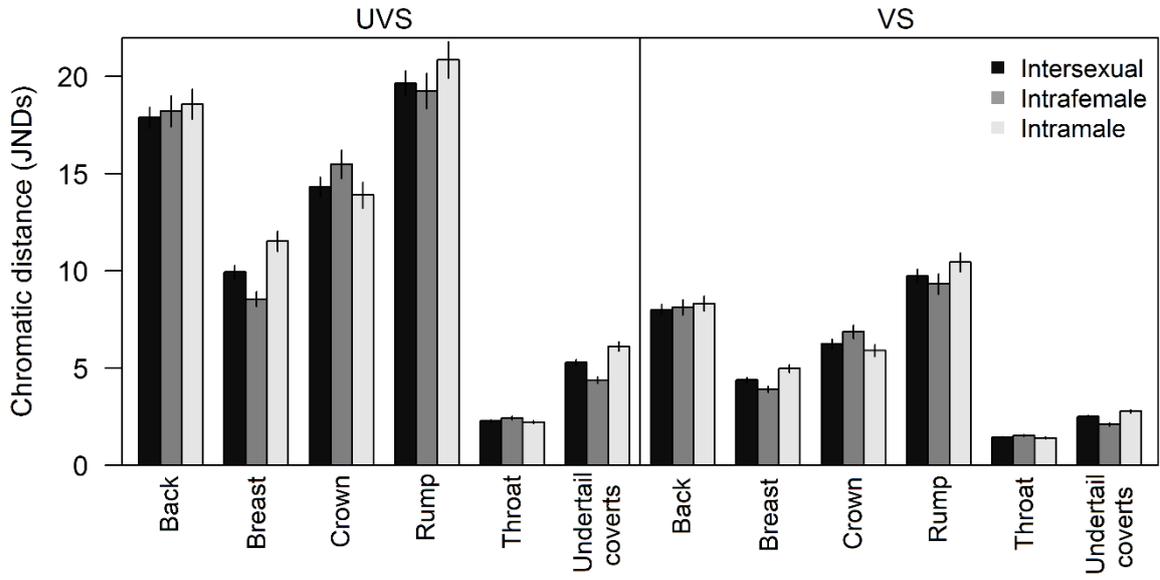


Figure 5-2

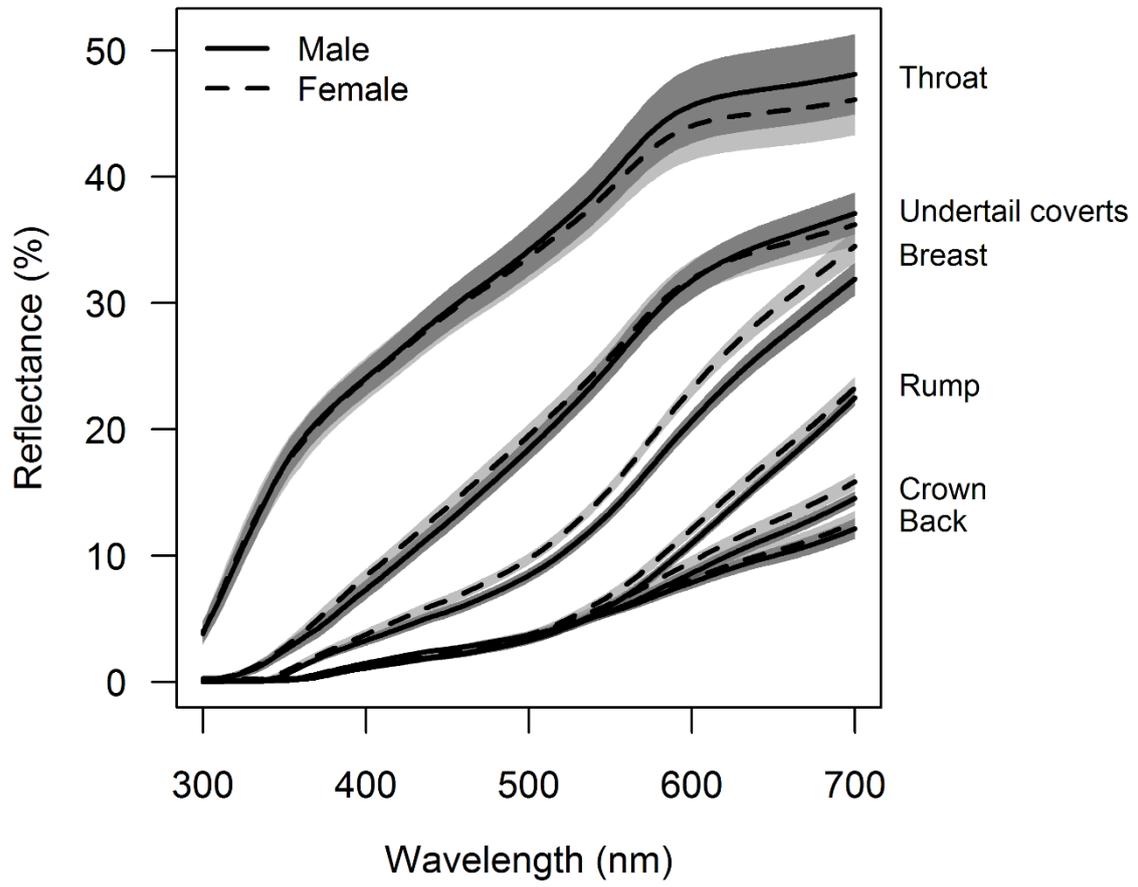
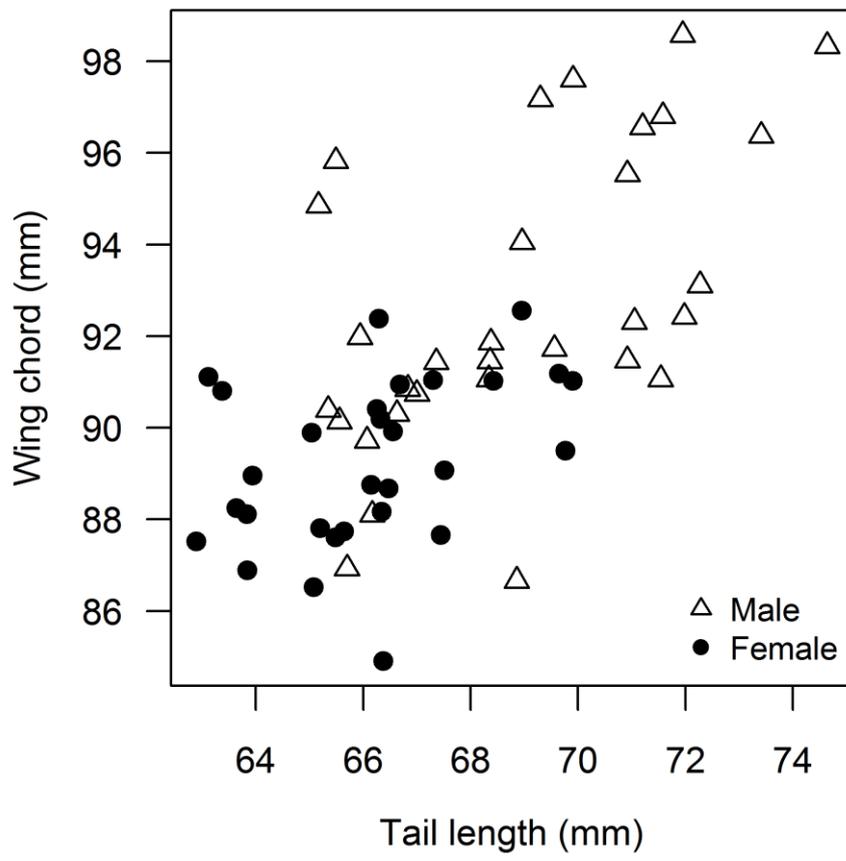


Figure 5-3



SUPPLEMENTARY MATERIAL

Table 5-2s. Post-hoc contrasts between inter-sexual and intra-sexual chromatic distances of perceived plumage colour in Rufous Horneros

Post-hoc comparisons were made between inter-sexual (IS) and intra-sexual (IM, males; IF, females) chromatic distances (log-transformed). We show the estimates of least-squares means and *t* ratios. *P* values in bold indicate non-significant results ($P < 0.05$) that were previously significant before controlling for false discovery rates (Benjamini and Hochberg 1995). *N*: 31 males and 30 females. U. coverts: undertail coverts. Degrees of freedom: 1,655.26 (IS – IF), 1,639.68 (IS – IM), 1,826 (IF – IM).

	Violet-sensitive system (VS)			Ultraviolet-sensitive system (UVS)		
	Estimate ± SE	<i>t</i> ratio	<i>P</i>	Estimate ± SE	<i>t</i> ratio (df)	<i>P</i>
IS – IF						
Back	−0.08 ± 0.19	−0.40	0.69	−0.09 ± 0.18	−0.50	0.62
Breast	−0.02 ± 0.17	−0.10	0.92	0.001 ± 0.20	−0.003	1.00
Crown	−0.50 ± 1.27	−0.40	0.69	−0.99 ± 2.57	−0.39	0.71
Rump	0.11 ± 0.23	0.46	0.65	0.04 ± 0.21	0.20	0.85
Throat	−0.07 ± 0.11	−0.64	0.52	−0.05 ± 0.11	−0.48	0.63
U. coverts	0.36 ± 0.16	2.26	0.028	0.28 ± 0.17	1.68	0.097
IS – IM						
Back	−0.03 ± 0.19	−0.17	0.87	−0.05 ± 0.18	−0.27	0.79
Breast	−0.10 ± 0.17	−0.59	0.56	−0.12 ± 0.20	−0.63	0.53
Crown	0.28 ± 1.24	0.23	0.82	0.26 ± 2.47	0.10	0.92
Rump	−0.12 ± 0.20	−0.61	0.54	−0.03 ± 0.19	−0.16	0.87
Throat	−0.03 ± 0.11	−0.03	0.76	−0.04 ± 0.10	−0.40	0.69
U. coverts	−0.13 ± 0.10	−1.26	0.21	−0.17 ± 0.12	−1.38	0.17
IF – IM						
Back	0.04 ± 0.27	0.16	0.87	0.04 ± 0.25	0.18	0.86
Breast	−0.08 ± 0.24	−0.34	0.73	−0.12 ± 0.28	−0.44	0.66
Crown	0.78 ± 1.77	0.44	0.66	1.25 ± 3.54	0.35	0.72
Rump	−0.23 ± 0.30	−0.76	0.45	−0.07 ± 0.28	−0.25	0.80
Throat	0.04 ± 0.15	0.25	0.80	0.01 ± 0.15	0.08	0.93
U. coverts	−0.49 ± 0.19	−2.63	0.010	−0.45 ± 0.20	−2.21	0.030

Table 5-3s. Sexual differences in plumage colour of adult Rufous Horneros

We show the magnitude of mean differences and *t*-test results. Sample sizes: 31 males and 30 females, except for paired *t*-test results (*N* = 23 breeding pairs, *df* =22). U. coverts: undertail coverts.

	Mean (%) ± SE		Cohen's <i>d</i> (CI)	<i>t</i> -test		Paired <i>t</i> -test	
	Male	Female		<i>t</i> (<i>df</i>)	<i>P</i>	<i>t</i>	<i>P</i>
Mean reflectance							
Back	4.94 ± 0.32	5.43 ± 0.29	-0.29 (-0.82, 0.23)	-1.14 (58.61)	0.26	-0.34	0.74
Breast	11.82 ± 0.48	13.23 ± 0.36	-0.60 (-1.13, -0.06)	-2.34 (55.25)	0.02	-1.90	0.07
Crown	4.67 ± 0.30	4.77 ± 0.34	-0.06 (-0.58, 0.46)	-0.22 (57.66)	0.82	0.47	0.64
Rump	6.41 ± 0.27	6.94 ± 0.29	-0.34 (-0.87, 0.18)	-1.34 (58.26)	0.19	-0.86	0.40
Throat	33.04 ± 1.94	32.25 ± 1.92	0.07 (-0.45, 0.60)	0.29 (59.00)	0.77	0.50	0.62
U. coverts	18.90 ± 0.85	19.34 ± 0.81	-0.10 (-0.62, 0.43)	-0.38 (58.95)	0.71	-0.44	0.66
Contrast							
Back	14.59 ± 0.56	15.86 ± 0.67	-0.38 (-0.90, 0.15)	-1.47 (56.83)	0.15	-0.60	0.55
Breast	32.00 ± 1.32	34.62 ± 1.27	-0.37 (-0.89, 0.16)	-1.59 (58.63)	0.12	-0.99	0.33
Crown	12.15 ± 0.80	12.69 ± 0.90	-0.12 (-0.64, 0.41)	-0.45 (58.03)	0.65	0.47	0.65
Rump	22.55 ± 0.65	22.81 ± 0.75	-0.07 (-0.60, 0.46)	-0.27 (56.15)	0.79	-0.71	0.48
Throat	44.49 ± 3.37	42.58 ± 3.02	0.11 (-0.41, 0.63)	0.42 (58.50)	0.68	0.33	0.74
U. coverts	37.11 ± 1.65	36.14 ± 1.73	0.10 (-0.42, 0.63)	0.40 (58.76)	0.69	-0.01	0.99
Red chroma							
Back	59.24 ± 1.49	58.02 ± 1.44	0.15 (-0.37, 0.67)	0.59 (58.97)	0.56	0.28	0.78
Breast	55.21 ± 1.39	53.65 ± 1.23	0.21 (-0.31, 0.74)	0.84 (58.38)	0.41	0.70	0.49
Crown	52.83 ± 1.24	53.25 ± 1.30	-0.06 (-0.59, 0.47)	-0.23 (57.56)	0.82	-0.53	0.60
Rump	65.00 ± 1.68	63.27 ± 1.52	0.19 (-0.33, 0.72)	0.76 (58.63)	0.45	0.42	0.68
Throat	33.82 ± 0.67	33.71 ± 0.73	0.03 (-0.49, 0.55)	0.10 (58.31)	0.92	-0.34	0.74
U. coverts	44.59 ± 0.93	42.71 ± 0.78	0.40 (-0.13, 0.92)	1.55 (57.59)	0.13	0.92	0.37

Table 5-4s. Multivariate correlation in plumage coloration between paired individuals of the Rufous Hornero

Mantel tests of dissimilarity matrices based on Euclidean distances and the variables' mean reflectance, contrast and red chroma. *P* values were obtained based on 999 permutations. Sample size: 23 breeding pairs. U. coverts: undertail coverts.

Body region	Mantel <i>r</i>	<i>P</i>
Back	-0.03	0.53
Breast	0.01	0.42
Crown	-0.02	0.52
Rump	-0.05	0.63
Throat	0.13	0.14
U. coverts	-0.10	0.80

Table 5-5s. Correlation of isolated colour measurements between paired individuals of the Rufous Hornero

N = 23 breeding pairs. U. coverts: undertail coverts.

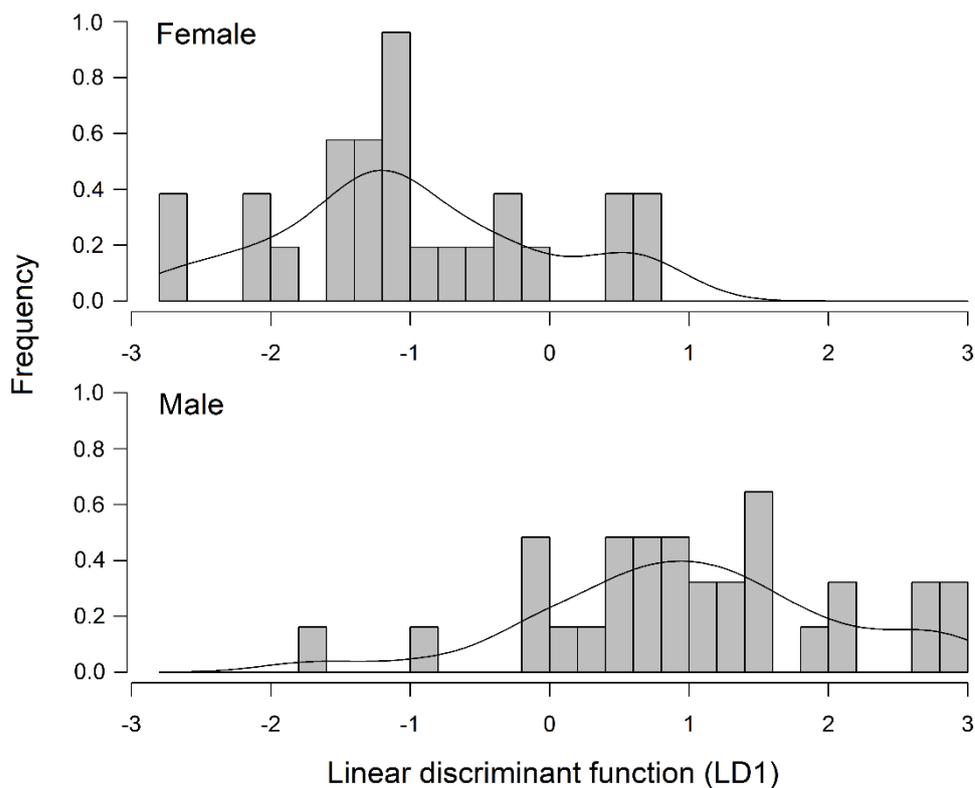
Body region/ colour trait	Pearson correlation r_p (<i>P</i>)		
	Mean reflectance	Contrast	Red chroma
Back	-0.23 (0.28)	-0.17 (0.43)	-0.21 (0.34)
Breast	-0.05 (0.81)	-0.20 (0.36)	0.06 (0.78)
Crown	-0.08 (0.71)	0.17 (0.43)	0.13 (0.56)
Rump	-0.36 (0.10)	-0.14 (0.53)	-0.28 (0.19)
Throat	0.08 (0.70)	0.009 (0.97)	-0.11 (0.61)
U. coverts	0.09 (0.68)	-0.009 (0.97)	0.05 (0.81)

Table 5-6s. Correlation of isolated size measurements between paired individuals of the Rufous Hornero

We show results of Pearson correlation tests. $N = 23$ breeding pairs.

Size variable (N)	r_p	P
Bill depth	0.35	0.10
Bill length	0.05	0.84
Bill width	-0.44	0.04
Mass	0.03	0.89
Tail	0.05	0.82
Tarsus	0.02	0.92
Wing chord	0.26	0.23

Figure 5-4s. Histogram generated by a Linear Discriminant Analysis showing discriminant function values for Rufous Hornero males and females. Positive LD1 values would indicate that an individual is a male, and negative LD1 values would indicate a female.



DISCUSSÃO GERAL

Exibições coletivas complexas envolvendo dois (duetos) ou mais indivíduos (coros) fascinam cientistas há décadas (Farabaugh, 1982; Levin, 1996; Hall, 2004) e representam uma das formas menos compreendidas de comunicação social encontradas na natureza (Tobias *et al.*, 2016). O canto em dueto se destaca como uma das exibições coletivas mais estudadas, mas cuja natureza e função permanecem mal compreendidas (Hall, 2009; Logue e Krupp, 2016; Tobias *et al.*, 2016). Por ser um comportamento coletivo, cada participante do dueto pode ter um interesse distinto e o dueto pode ter uma função diferente para cada participante (Hall, 2009; Logue e Krupp, 2016). Por outro lado, dois indivíduos podem ter interesses e benefícios em comum quando cantam em dueto (Hall, 2009; Logue e Krupp, 2016). Neste estudo, explorei detalhadamente os duetos do João-de-barro, relacionando as características, expressão e ocorrência do dueto com a biologia reprodutiva, territorialidade e a socialidade da espécie. Estudos intensivos em uma espécie modelo, como este estudo, podem contribuir significativamente para o entendimento da função do canto em aves, especialmente em espécies que cantam em dueto.

Primeiro, avaliei a relação entre as características do canto, sazonalidade reprodutiva e ocorrência de interações territoriais (capítulo 1). Nesse capítulo, testei e encontrei apoio para a ideia de que a função do dueto varia de acordo com o sexo, papel no canto (inicia o canto ou responde ao canto iniciado pelo parceiro) e nível de organização do dueto (individual ou do par reprodutor). Fêmeas iniciaram mais cantos no período não-reprodutivo, enquanto machos iniciaram mais cantos nos períodos pré-reprodutivo e pós-reprodutivo. Por outro lado, tanto machos quanto fêmeas responderam proporcionalmente mais e mais rapidamente aos cantos iniciados pelo parceiro (i.e. criando duetos) nos períodos pré-reprodutivo e fértil da fêmea. Os duetos ocorreram com maior frequência nos períodos pré-reprodutivo e pós reprodutivo. Por fim, a taxa de início de canto e a taxa de duetos, mas não a proporção de cantos do parceiro que foram respondidos para a criação de duetos, foram relacionadas à ocorrência de interações territoriais.

Os resultados do capítulo 1 sugerem que machos iniciam cantos para defenderem território, parceira, ou ambos, e para estimular a fisiologia reprodutiva da parceira (Capítulo 1: Tabela 1). Por outro lado, fêmeas parecem iniciar cantos para defenderem

territórios, principalmente no período não reprodutivo. Os resultados sugerem que a resposta de canto (canto executado em resposta ao canto iniciado pelo parceiro) funciona como um mecanismo de guarda mútua de parceiro e estímulo hormonal para reprodução em ambos os sexos. Em conclusão, os resultados do capítulo 1 apoiam parcialmente a ideia de que o dueto a nível do par funciona na defesa de território e guarda mútua de parceiro, e sugerem fortemente que os cantos no João-de-barro se baseiam na cooperação entre parceiros reprodutores.

A avaliação da resposta comportamental ao *playback* de solos *versus* duetos é o método mais utilizado para se testar indiretamente a função de cantos em duetos (Douglas e Mennill, 2010). Esse método permite avaliar o nível relativo de ameaça gerado pela simulação da intrusão territorial por um indivíduo (solo) *versus* por dois indivíduos coordenando seus cantos (dueto) (Douglas e Mennill, 2010). De acordo com a hipótese de defesa conjunta de território, indivíduos devem responder mais ou tão intensamente aos *playbacks* de duetos em comparação com os *playbacks* de solos (Benedict, 2010; Douglas e Mennill, 2010). De acordo com a hipótese de guarda de parceiro unilateral, o *playback* de solos de indivíduos do mesmo sexo deve representar uma maior ameaça aos indivíduos focais em comparação com o *playback* de solos de indivíduos do sexo oposto (Rogers *et al.*, 2006; Seddon e Tobias, 2006). Considerei que a presença de jovens no território dos pais poderia afetar o custo relativo dos pais em perderem o território *versus* o parceiro, pressupondo que a perda de território comprometeria a sobrevivência de ambos os adultos e jovens. Portanto, propus que a presença de jovens poderia afetar como os adultos responderiam ao *playback* de solos *versus* duetos.

No capítulo 2, expus grupos de João-de-barro ao *playback* de solo de fêmea, solo de macho, dueto e um controle heterospecífico, com o objetivo de avaliar duas questões: (1) adultos respondem diferentemente a *playbacks* de cantos solo de indivíduo do mesmo sexo, do sexo oposto, ou dueto? (2) a resposta diferencial ao *playback* de cantos solo de macho, solo de fêmea e duetos varia entre casais de João-de-barro com jovens *versus* casais de João-de-barro sem jovens? Mensurei a resposta física e vocal dos adultos focais ao *playback*, e também avaliei o grau de coordenação em que os parceiros respondiam ao *playback*.

Parceiros responderam equivalente e coordenadamente aos *playbacks* de cantos coespecíficos, especialmente duetos. Entretanto, casais com jovens diferiram de casais sem jovens na forma e intensidade em que responderam ao *playback* de solos *versus* duetos. Casais sem jovens responderam mais agressivamente ao *playback* de solos, enquanto casais com jovens responderam mais agressivamente ao *playback* de duetos e solos de fêmeas. Esses resultados sugerem que o dueto funcione principalmente no contexto de defesa conjunta de território, mas que a guarda mútua de parceiro também tenha um papel importante no dueto em casais sem jovens (Capítulo 2: Tabela 1).

Nos capítulos 1 e 2, explorei a função do dueto e de seus componentes individuais no João-de-barro, utilizando observações e experimentos. Mostrei que os cantos do João-de-barro estão mais relacionados à defesa conjunta de territórios e do vínculo social do par reprodutor. No capítulo 3, examinei alguns potenciais benefícios desses cantos. Existe ampla evidência na literatura de que o canto de aves reflete a qualidade de machos e afeta de várias maneiras a aptidão desses machos, através de vantagens competitivas em interações com outros machos ou na escolha de parceiros pelas fêmeas (Kroodsma e Byers, 1991; Gil e Gahr, 2002; Catchpole e Slater, 2008). Em contrapartida, pouco se sabe sobre as vantagens adaptativas do canto de fêmeas (Brunton *et al.*, 2016; Cain e Langmore, 2016) e dos duetos em aves (Hall, 2009; Dahlin e Benedict, 2013).

No capítulo 3, explorei se características do canto refletiriam o sucesso reprodutivo genético e a qualidade dos territórios no João-de-barro. Realizei análises de parentesco para descrever o sistema de acasalamento genético e as taxas de paternidade extrapar na população estudada. Amostrei a área de cada território bem como a disponibilidade de sítios para forrageamento e nidificação, como medidas para estimar a qualidade dos territórios. Por fim, estimei a quantidade e a sobrevivência de jovens que deixaram o ninho com sucesso. Considerando a natureza cooperativa do canto na defesa conjunta de territórios, demonstrada nos capítulos 1 e 2, esperava encontrar uma baixa taxa de paternidade extrapar na população, e uma relação positiva entre as características de canto, qualidade territorial e sucesso reprodutivo.

Como esperado, encontrei uma baixa taxa de paternidade extrapar na população de João-de-barro (<4% dos ninhegos). O sucesso reprodutivo aparente foi de 100%, ou seja,

ao menos um filhote social de cada casal deixou o ninho com sucesso. Encontrei também uma relação positiva entre o investimento da fêmea em canto e a velocidade com a qual respondia ao canto do parceiro, com a qualidade de seu território, no que se refere à disponibilidade de manchas de recursos alimentares e tamanho do território. Similarmente, a duração do dueto foi relacionada positivamente ao tamanho do território do casal. No entanto, nenhuma característica do canto ou do território foi relacionada ao sucesso reprodutivo de fêmeas, machos ou casais. Em conclusão, os resultados do capítulo 3 sugerem que o canto em fêmeas e o dueto são utilizados na defesa de recursos alimentares dentro dos territórios (ver Cooney e Cockburn, 1995; Cain *et al.*, 2015), ou permitem a aquisição de territórios de alta qualidade (ver Rosvall, 2011; Cain *et al.*, 2015).

A coordenação temporal é um componente fundamental da definição de dueto e consiste na alternância (Rivera-Cáceres, 2015), sobreposição (Rehberg-Besler *et al.*, 2016) ou associação rítmica entre elementos acústicos (Laje e Mindlin, 2003; Benichov *et al.*, 2016). A coordenação temporal do dueto varia amplamente entre espécies (Hall, 2009). Em algumas espécies, como em *Cantorchilus modestus zeledoni*, machos e fêmeas intercalam elementos acústicos com pouca ou nenhuma sobreposição espectral-temporal (Rivera-Cáceres, 2015). Em outras espécies, como o João-de-barro, os elementos acústicos de macho e fêmea se sobrepõem parcialmente em frequência e tempo (Laje e Mindlin, 2003), e estudos prévios revelaram a existência de associação rítmica entre as frases de fêmea e macho no João-de-barro (Laje and Mindlin 2003; Diniz, dados não publicados).

Do ponto de vista do emissor do sinal, o nível de coordenação do dueto pode ter um papel no aumento de sua propagação espacial (Rehberg-Besler *et al.*, 2016), refletir a interferência de um sinal sobre outro (Seddon e Tobias, 2006; Dahlin e Wright, 2007; Hall, 2009; Tobias e Seddon, 2009), ou sinalizar a motivação ou habilidade de um casal em lutar por um recurso em comum (hipótese da qualidade da coalisão) (Hall e Magrath, 2007). Do ponto de vista do receptor do sinal, sabe-se muito pouco sobre o que faz com que um dueto desencadeie uma resposta mais agressiva do que um canto solo: a vantagem numérica do dueto (Molles e Waas, 2006; Douglas e Mennill, 2010; Kovach *et*

al., 2014) ou propriedades da coordenação dos cantos (hipótese da qualidade da coalisão) (Hall e Magrath, 2007; Kovach *et al.*, 2014).

No capítulo 4, testei a hipótese da qualidade da coalisão (Hall e Magrath, 2007) no João-de-barro por meio de um experimento de *playback* que consistiu na apresentação de duetos com diferentes níveis de coordenação e sobreposição temporal de frases. Embora machos e fêmeas aparentemente identificaram diferenças no nível de coordenação temporal do dueto, eles responderam com o mesmo nível de agressividade a todos os *playbacks* de duetos coespecíficos, independentemente do nível de coordenação temporal desses duetos. Portanto, os resultados do capítulo 4 refutam a hipótese de qualidade da coalisão (Hall e Magrath, 2007) como uma possível explicação para o papel do nível de coordenação temporal do dueto no João-de-barro. Sugiro que o nível de coordenação temporal de frases nos duetos do João-de-barro tenha um papel no incremento da propagação espacial do sinal acústico (Rehberg-Besler *et al.*, 2016) ou na comunicação entre parceiros (Boucaud *et al.*, 2016).

Nos primeiros quatro capítulos, estudei em detalhes o papel do canto nas interações sociais e no sucesso reprodutivo no João-de-barro. Porém, ainda é possível que existam outros sinais sexuais ou sociais, além do sinal acústico, que tenham um papel importante na aptidão dos adultos nessa espécie (Guindre-Parker *et al.*, 2012). Explorei essa possibilidade por meio do estudo do componente sensorial visual. Presume-se que a maioria das espécies de aves da família Furnariidae é sexualmente monocromática, mas esse pressuposto advém da percepção humana de coloração das aves (Seddon *et al.*, 2010). No capítulo 5, investiguei a existência de dimorfismo sexual em relação ao tamanho dos indivíduos e à coloração da plumagem no João-de-barro. Também testei se fêmeas e machos se pareavam por associação de caracteres morfológicos: e.g. fêmeas com coloração mais brilhante se associariam com machos com coloração mais brilhante, ou fêmeas menores com machos menores.

Machos tiveram comprimentos de asa e cauda um pouco (~4%) maiores do que fêmeas, mas os sexos não diferiram em peso e medidas de tarso e bico. Não encontrei dicromatismo sexual. Modelos visuais indicam que, em média, indivíduos diferem na coloração da plumagem, independentemente do sexo. Também não encontrei

evidência de pareamento associativo no tamanho ou coloração da plumagem. Os resultados do capítulo 5 sugerem que no João-de-barro a coloração da plumagem e o tamanho do corpo têm papel irrelevante nas interações sexuais, e não estão sob pressão de seleção sexual. Adicionalmente, esses resultados reforçam a convergência de papéis sexuais na espécie.

CONCLUSÕES

1. A maioria dos cantos do João-de-barro é coordenada em duetos, que são iniciados principalmente por machos.
2. O canto do João-de-barro tem múltiplas funções, que dependem do sexo, papel no dueto (início ou resposta de canto), nível de organização do dueto (individual ou casal) e estrutura do grupo social.
3. Em geral, fêmea e macho pareados cooperam quando cantam em dueto para defenderem um território em comum e o próprio vínculo social do casal.
4. Fêmea e macho pareados são altamente coordenados nas interações territoriais direcionadas a intrusos.
5. O sistema de acasalamento é socialmente monogâmico com baixa taxa de paternidade extrapar e alto sucesso reprodutivo.
6. O canto da fêmea e o dueto do casal refletem a qualidade do território, mas não o sucesso reprodutivo do casal.
7. O nível de coordenação de cantos no dueto não sinaliza a qualidade ou motivação do casal em competir por recursos territoriais.
8. Machos e fêmeas são praticamente idênticos no que se refere à coloração e à morfologia, indicando ausência de seleção sexual sobre esses caracteres.

Em suma, nossos resultados sugerem que machos e fêmeas de João-de-barro cooperam quando cantam em dueto na defesa de um território comum e do vínculo social do casal, e que essa cooperação se reflete em seu sistema de acasalamento socialmente monogâmico com baixas taxas de paternidade extrapar.

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